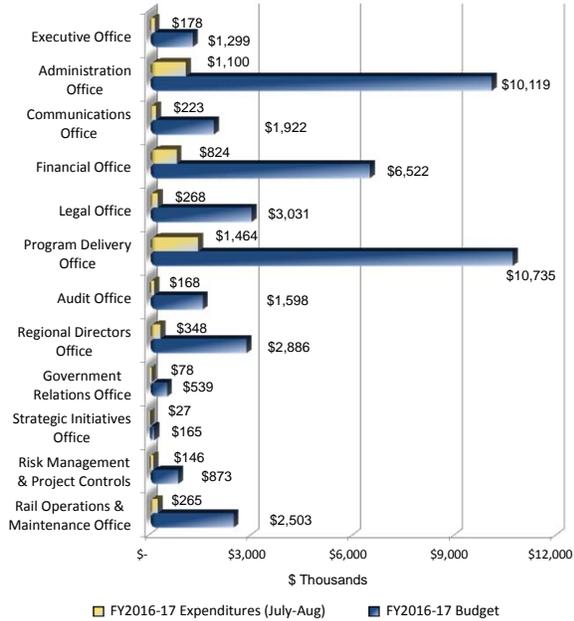


Budget Summary

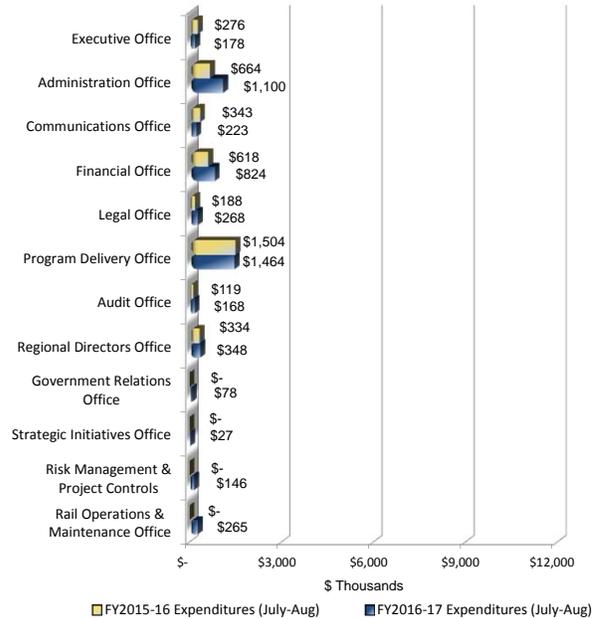
| Current Year 2016-17 (\$ in thousands) | FY2016-17 Total Budget | Prior Month Expenditures (Aug) | YTD FY2016-17 Expenditures (July-Aug) | Total Remaining Budget | YTD % of Budgeted Expenditures | FY2016-17 Forecast (Sept-June) | 2016-17 YTD Expenditures & Forecast |
|--|---------------------------|---|--|------------------------------|--------------------------------------|--------------------------------------|---|
| | ^{1,4} A | B | C | (A - C) | (C / A) | D | ¹ (C + D) |
| Executive Office | \$1,299 | \$92 | \$178 | \$1,121 | 13.7% | \$1,090 | \$1,268 |
| Administration Office | \$10,119 | \$580 | \$1,100 | \$9,019 | 10.9% | \$8,480 | \$9,580 |
| Communications Office | \$1,922 | \$113 | \$223 | \$1,699 | 11.6% | \$1,673 | \$1,896 |
| Financial Office | \$6,522 | \$421 | \$824 | \$5,698 | 12.6% | \$5,605 | \$6,429 |
| Legal Office | \$3,031 | \$104 | \$268 | \$2,763 | 8.8% | \$2,689 | \$2,957 |
| Program Delivery Office | \$10,735 | \$712 | \$1,464 | \$9,271 | 13.6% | \$8,875 | \$10,339 |
| Audit Office ⁴ | \$1,598 | \$83 | \$168 | \$1,430 | 10.5% | \$1,170 | \$1,338 |
| Regional Directors Office | \$2,886 | \$177 | \$348 | \$2,538 | 12.1% | \$2,361 | \$2,709 |
| Government Relations Office | \$539 | \$44 | \$78 | \$461 | 14.5% | \$435 | \$513 |
| Strategic Initiatives Office | \$165 | \$15 | \$27 | \$138 | 16.4% | \$136 | \$163 |
| Risk Management and Project Controls Office ⁵ | \$873 | \$73 | \$146 | \$727 | 16.7% | \$750 | \$896 |
| Rail Operations and Maintenance Office | \$2,503 | \$134 | \$265 | \$2,238 | 10.6% | \$2,065 | \$2,330 |
| TOTAL | \$42,190 | \$2,548 | \$5,089 | \$37,101 | 12.1% | \$35,329 | \$40,418 |

| Prior Year 2015-16 (\$ in thousands) | FY2015-16 Total Budget | Prior Month Expenditures (Aug) | YTD FY2015-16 Expenditures (July-Aug) | Total Remaining Budget | YTD % of Budgeted Expenditures | FY2015-16 Forecast Fiscal Year End (Sept-June) | 2015-16 YTD Expenditures & Actuals |
|--|---------------------------|---|--|------------------------------|--------------------------------------|---|--|
| | A | B | C | (A - C) | (C / A) | D | (C + D) |
| Executive Office | \$1,235 | \$138 | \$276 | \$959 | 22.3% | \$1,656 | \$1,932 |
| Administration Office | \$9,007 | \$431 | \$664 | \$8,343 | 7.4% | \$5,876 | \$6,540 |
| Communication Office | \$1,717 | \$186 | \$343 | \$1,374 | 20.0% | \$1,718 | \$2,061 |
| Financial Office | \$8,850 | \$311 | \$618 | \$8,232 | 7.0% | \$3,614 | \$4,232 |
| Legal Office | \$2,850 | \$114 | \$188 | \$2,662 | 6.6% | \$2,706 | \$2,894 |
| Program Delivery | \$10,181 | \$755 | \$1,504 | \$8,677 | 14.8% | \$13,638 | \$15,142 |
| Audit Office | \$856 | \$62 | \$119 | \$737 | 13.9% | \$720 | \$839 |
| Regional Directors Office | \$2,925 | \$167 | \$334 | \$2,591 | 11.4% | \$2,489 | \$2,823 |
| Government Relations Office ³ | \$524 | \$0 | \$0 | \$524 | 0.0% | \$524 | \$524 |
| Strategic Initiatives Office ³ | \$0 | \$0 | \$0 | \$0 | 0.0% | \$0 | \$0 |
| Risk Management and Project Controls Office ³ | \$803 | \$0 | \$0 | \$803 | 0.0% | \$803 | \$803 |
| Rail Operations and Maintenance Office ³ | \$2,443 | \$0 | \$0 | \$2,443 | 0.0% | \$2,443 | \$2,443 |
| TOTAL | \$41,391 | \$2,164 | \$4,046 | \$37,345 | 9.8% | \$36,188 | \$40,234 |

**Expenditures vs. Total Budget
FY2016-17
(Aug)**



**Comparison of YTD
Expenditures YOY ²
(Aug)**



1 Current FY2016-17 Budget does not reflect a Benefit and General Salary Increase (GSI), dependent on CalHR adjustments resulting from Bargaining Unit Agreement(s) and Department of Finance adjustments in the Fall Budget Development process, and pending approval of the Governor's budget (anticipated January 10, 2017). Current expenditures and forecast data include bargaining units with approved GSI. Benefits are calculated based on salaries and are adjusted if positions are reclassified.

2 Year-Over-Year

3 New offices as a result of the integrated organizational restructure. During FY2015-16 the Authority consisted of eight offices; as of the May 2016 report the integrated organization restructure took effect which resulted in four new offices, totaling to 12 offices.

4 Budget increase Year-Over-Year includes an allocation from the approval of six (6) permanent positions in the Audit Office for BCP FY2016-17

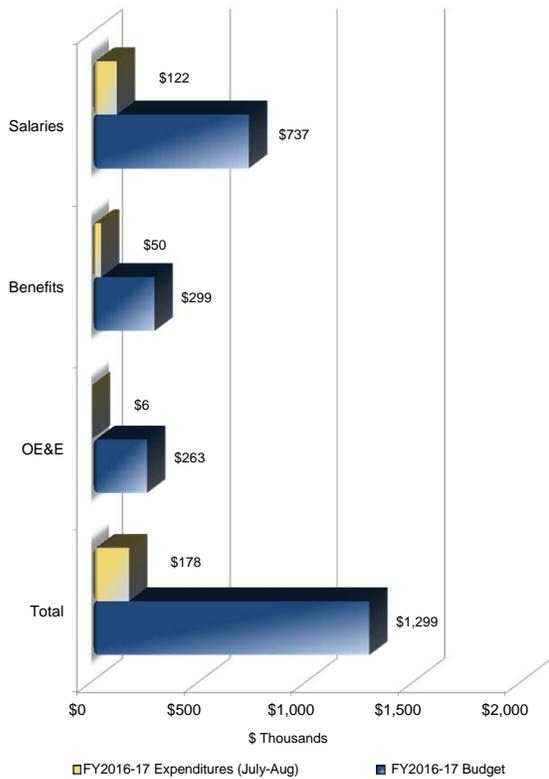
5 The Risk Management and Project Controls Office, which is fully staffed, shows YTD forecast and expenditures of \$896K, \$23K more than the budget of \$873K. The forecast includes a Benefit and General Salary Increase (GSI) pending the Governor's budget (anticipated July 10, 2017). When the Governor's budget is approved, the budget will be revised upward for the GSI. Current expenditures and forecasted data include bargaining units with approved GSI.

Executive Office - By Category

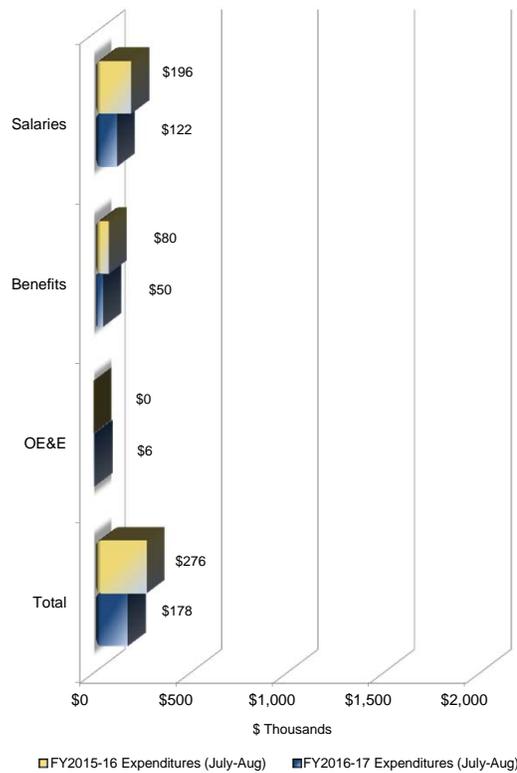
| Current Year 2016-17 (\$ in thousands) | FY2016-17 Total Budget | Prior Month Expenditures (Aug) | YTD FY2016-17 Expenditures (July-Aug) | Total Remaining Budget | YTD % of Budgeted Expenditures | FY2016-17 Forecast (Sept-June) | 2016-17 YTD Expenditures & Forecast |
|---|---------------------------|---|--|------------------------------|--------------------------------------|--------------------------------------|---|
| | A | B | C | (A - C) | (C / A) | D | (C + D) |
| Salaries & Wages (Personal Services) | \$737 | \$61 | \$122 | \$615 | 16.6% | \$612 | \$734 |
| Benefits | \$299 | \$25 | \$50 | \$249 | 16.7% | \$247 | \$297 |
| OE&E | \$263 | \$6 | \$6 | \$257 | 2.3% | \$231 | \$237 |
| TOTAL | \$1,299 | \$92 | \$178 | \$1,121 | 13.7% | \$1,090 | \$1,268 |

| Prior Year 2015-16 (\$ in thousands) | FY2015-16 Total Budget | Prior Month Expenditures (Aug) | YTD FY2015-16 Expenditures (July-Aug) | Total Remaining Budget | YTD % of Budgeted Expenditures | FY2015-16 Forecast Fiscal Year End (Sept-June) | 2015-16 YTD Expenditures & Actuals |
|---|---------------------------|---|--|------------------------------|--------------------------------------|---|--|
| | A | B | C | (A - C) | (C / A) | D | (C + D) |
| Salaries & Wages (Personal Services) | \$733 | \$98 | \$196 | \$537 | 26.7% | \$1,076 | \$1,272 |
| Benefits | \$307 | \$40 | \$80 | \$227 | 26.1% | \$447 | \$527 |
| OE&E | \$195 | \$0 | \$0 | \$195 | 0.0% | \$133 | \$133 |
| TOTAL | \$1,235 | \$138 | \$276 | \$959 | 22.3% | \$1,656 | \$1,932 |

**Expenditures vs. Total Budget
 FY2016-17
 (Aug)**



**Comparison of YTD
 Expenditures YOY²
 (Aug)**



1 Current FY2016-17 Budget does not reflect a Benefit and General Salary Increase (GSI), dependent on CalHR adjustments resulting from Bargaining Unit Agreement(s) and Department of Finance adjustments in the Fall Budget Development process, and pending approval of the Governor's budget (anticipated January 10, 2017).

Current expenditures and forecast data include bargaining units with approved GSI. Benefits are calculated based on salaries and are adjusted if positions are reclassified.

2 Year-Over-Year

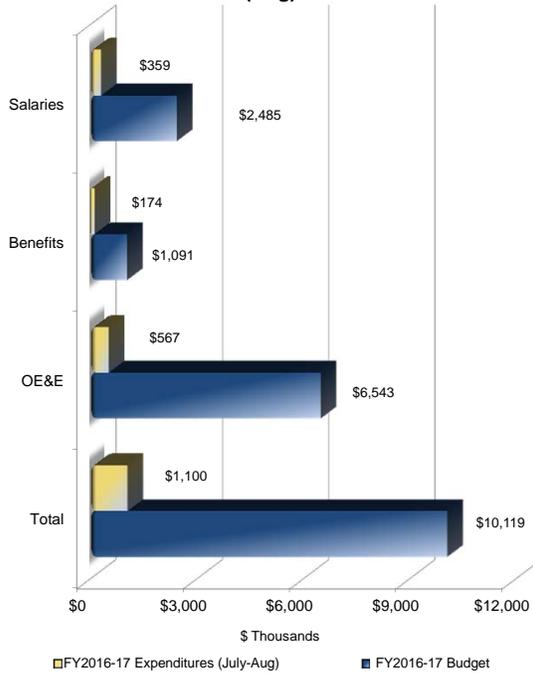
3 FY2015-16 budget is shown after the May 2016 reorganization, prior to this, Executive Office included Risk Management & Project Controls

Administration Office - By Category

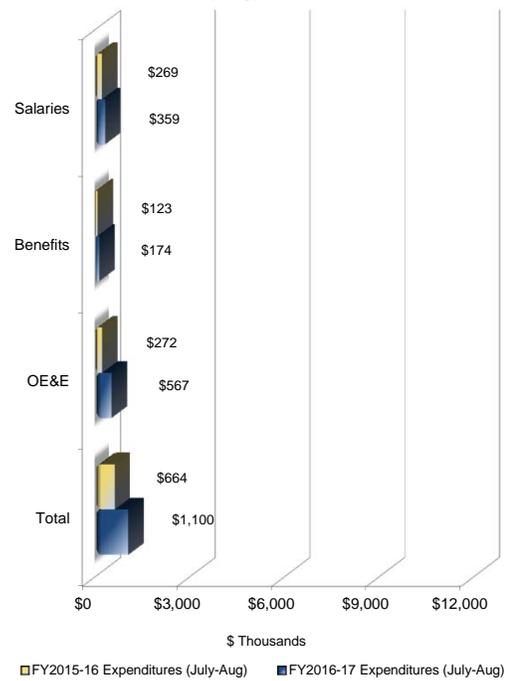
| Current Year 2016-17 (\$ in thousands) | FY2016-17 Total Budget | Prior Month Expenditures (Aug) | YTD FY2016-17 Expenditures (July-Aug) | Total Remaining Budget | YTD % of Budgeted Expenditures | FY2016-17 Forecast (Sept-June) | 2016-17 YTD Expenditures & Forecast |
|---|---------------------------|---|--|------------------------------|--------------------------------------|--------------------------------------|---|
| | A | B | C | (A - C) | (C / A) | D | (C + D) |
| Salaries & Wages (Personal Services) | \$2,485 | \$181 | \$359 | \$2,126 | 14.4% | \$1,945 | \$2,304 |
| Benefits | \$1,091 | \$85 | \$174 | \$917 | 16.0% | \$905 | \$1,079 |
| OE&E | \$6,543 | \$314 | \$567 | \$5,976 | 8.7% | \$5,630 | \$6,197 |
| TOTAL | \$10,119 | \$580 | \$1,100 | \$9,019 | 10.9% | \$8,480 | \$9,580 |

| Prior Year 2015-16 (\$ in thousands) | FY2015-16 Total Budget | Prior Month Expenditures (Aug) | YTD FY2015-16 Expenditures (July-Aug) | Total Remaining Budget | YTD % of Budgeted Expenditures | FY2015-16 Forecast Fiscal Year End (Sept-June) | 2015-16 YTD Expenditures & Actuals |
|---|---------------------------|---|--|------------------------------|--------------------------------------|---|--|
| | A | B | C | (A - C) | (C / A) | D | (C + D) |
| Salaries & Wages (Personal Services) | \$2,326 | \$142 | \$269 | \$2,057 | 11.6% | \$1,742 | \$2,011 |
| Benefits | \$1,028 | \$62 | \$123 | \$905 | 12.0% | \$822 | \$945 |
| OE&E | \$5,652 | \$227 | \$272 | \$5,380 | 4.8% | \$3,312 | \$3,584 |
| TOTAL | \$9,007 | \$431 | \$664 | \$8,343 | 7.4% | \$5,876 | \$6,540 |

Expenditures vs. Total Budget
 FY2016-17
 (Aug)



Comparison of YTD
 Expenditures YOY²
 (Aug)



1 Current FY2016-17 Budget does not reflect a Benefit and General Salary Increase (GSI), dependent on CalHR adjustments resulting from Bargaining Unit Agreement(s) and Department of Finance adjustments in the Fall Budget Development process, and pending approval of the Governor's budget (anticipated January 10, 2017). Current expenditures and forecast data include bargaining units with approved GSI. Benefits are calculated based on salaries and are adjusted if positions are reclassified.

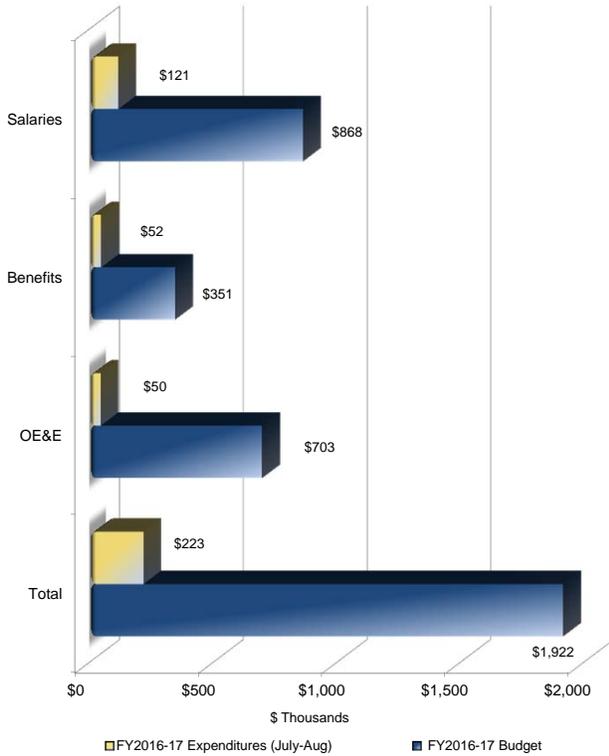
2 Year-Over-Year

Communications Office - By Category

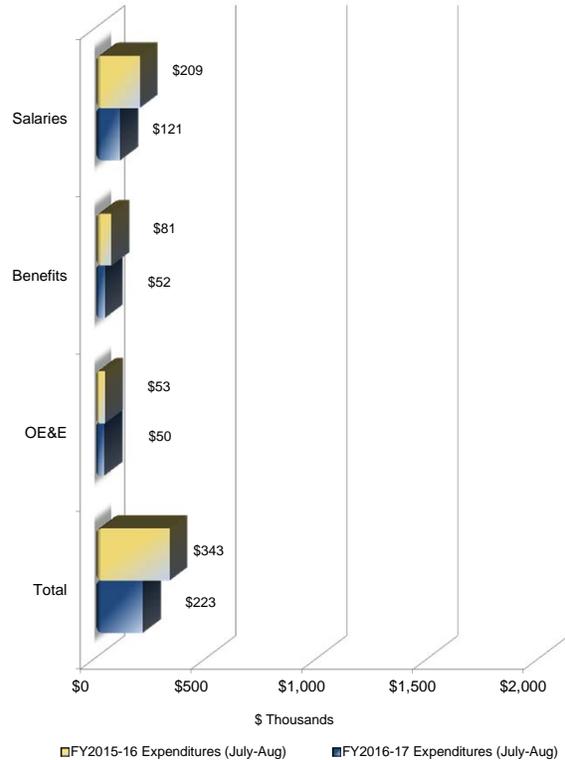
| Current Year 2016-17 (\$ in thousands) | FY2016-17 Total Budget | Prior Month Expenditures (Aug) | YTD FY2016-17 Expenditures (July-Aug) | Total Remaining Budget | YTD % of Budgeted Expenditures | FY2016-17 Forecast (Sept-June) | 2016-17 YTD Expenditures & Forecast |
|---|---------------------------|---|--|------------------------------|--------------------------------------|--------------------------------------|---|
| | ¹ A | B | C | (A - C) | (C / A) | D | (C + D) |
| Salaries & Wages (Personal Services) | \$868 | \$62 | \$121 | \$747 | 13.9% | \$732 | \$853 |
| Benefits | \$351 | \$27 | \$52 | \$299 | 14.8% | \$288 | \$340 |
| OE&E | \$703 | \$24 | \$50 | \$653 | 7.1% | \$653 | \$703 |
| TOTAL | \$1,922 | \$113 | \$223 | \$1,699 | 11.6% | \$1,673 | \$1,896 |

| Prior Year 2015-16 (\$ in thousands) | FY2015-16 Total Budget | Prior Month Expenditures (Aug) | YTD FY2015-16 Expenditures (July-Aug) | Total Remaining Budget | YTD % of Budgeted Expenditures | FY2015-16 Forecast Fiscal Year End (Sept-June) | 2015-16 YTD Expenditures & Actuals |
|---|---------------------------|---|--|------------------------------|--------------------------------------|---|--|
| | A | B | C | (A - C) | (C / A) | D | (C + D) |
| Salaries & Wages (Personal Services) | \$845 | \$110 | \$209 | \$636 | 24.7% | \$1,016 | \$1,225 |
| Benefits | \$347 | \$41 | \$81 | \$266 | 23.3% | \$385 | \$466 |
| OE&E | \$525 | \$35 | \$53 | \$472 | 10.1% | \$317 | \$370 |
| TOTAL | \$1,717 | \$186 | \$343 | \$1,374 | 20.0% | \$1,718 | \$2,061 |

Expenditures vs Total Budget
 FY 2016-17
 (Aug)



Comparison of YTD
 Expenditures YOY ²
 (Aug)



1 Current FY2016-17 Budget does not reflect a Benefit and General Salary Increase (GSI), dependent on CalHR adjustments resulting from Bargaining Unit Agreement(s) and Department of Finance adjustments in the Fall Budget Development process, and pending approval of the Governor's budget (anticipated January 10, 2017). Current expenditures and forecast data include bargaining units with approved GSI. Benefits are calculated based on salaries and are adjusted if positions are reclassified.

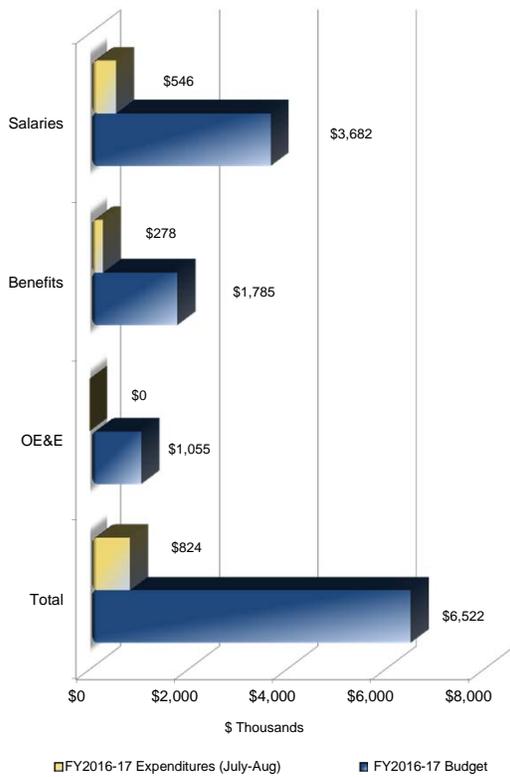
2 Year-Over-Year

Financial Office - By Category

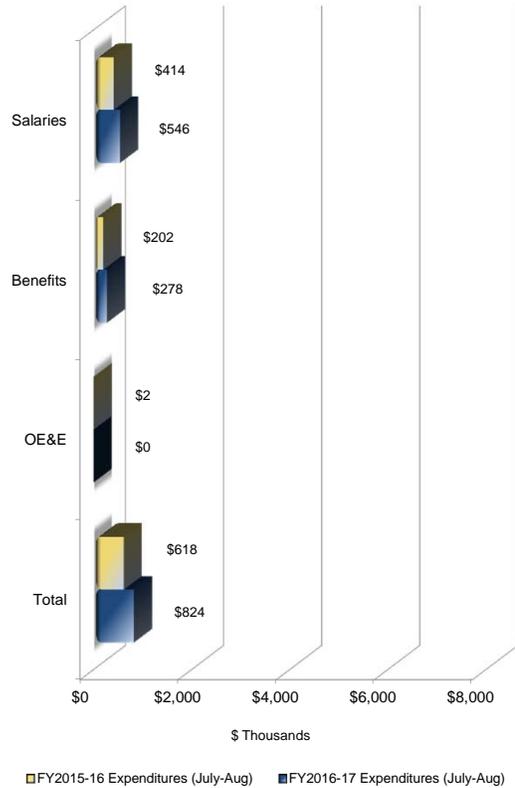
| Current Year 2016-17 (\$ in thousands) | FY2016-17 Total Budget | Prior Month Expenditures (Aug) | YTD FY2016-17 Expenditures (July-Aug) | Total Remaining Budget | YTD % of Budgeted Expenditures | FY2016-17 Forecast (Sept-June) | 2016-17 YTD Expenditures & Forecast |
|---|---------------------------|---|--|------------------------------|--------------------------------------|--------------------------------------|---|
| | ¹ A | B | ³ C | (A - C) | (C / A) | D | (C + D) |
| Salaries & Wages (Personal Services) | \$3,682 | \$278 | \$546 | \$3,136 | 14.8% | \$3,068 | \$3,614 |
| Benefits | \$1,785 | \$143 | \$278 | \$1,507 | 15.6% | \$1,485 | \$1,763 |
| OE&E | \$1,055 | \$0 | \$0 | \$1,055 | 0.0% | \$1,051 | \$1,051 |
| TOTAL | \$6,522 | \$421 | \$824 | \$5,698 | 12.6% | \$5,605 | \$6,429 |

| Prior Year 2015-16 (\$ in thousands) | FY2015-16 Total Budget | Prior Month Expenditures (Aug) | YTD FY2015-16 Expenditures (July-Aug) | Total Remaining Budget | YTD % of Budgeted Expenditures | FY2015-16 Forecast Fiscal Year End (Sept-June) | 2015-16 YTD Expenditures & Actuals |
|---|---------------------------|---|--|------------------------------|--------------------------------------|---|--|
| | A | B | C | (A - C) | (C / A) | D | (C + D) |
| Salaries & Wages (Personal Services) | \$3,394 | \$208 | \$414 | \$2,980 | 12.2% | \$2,415 | \$2,829 |
| Benefits | \$1,675 | \$101 | \$202 | \$1,473 | 12.1% | \$1,183 | \$1,385 |
| OE&E | \$3,781 | \$2 | \$2 | \$3,779 | 0.1% | \$16 | \$18 |
| TOTAL | \$8,850 | \$311 | \$618 | \$8,232 | 7.0% | \$3,614 | \$4,232 |

Expenditures vs Total Budget
 FY 2016-17
 (Aug)



Comparison of YTD
 Expenditures YOY ²
 (Aug)



1 Current FY2016-17 Budget does not reflect a Benefit and General Salary Increase (GSI), dependent on CalHR adjustments resulting from Bargaining Unit Agreement(s) and Department of Finance adjustments in the Fall Budget Development process, and pending approval of the Governor's budget (anticipated January 10, 2017). Current expenditures and forecast data include bargaining units with approved GSI. Benefits are calculated based on salaries and are adjusted if positions are reclassified.

2 Year-Over-Year

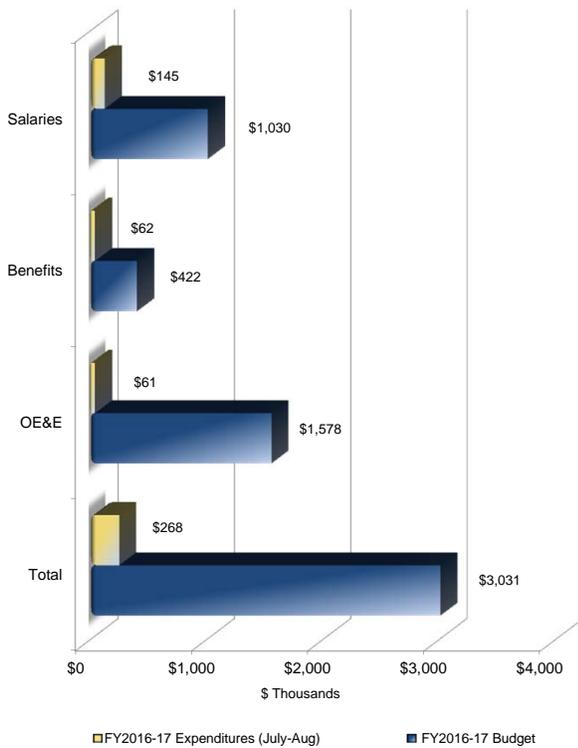
3 Total Personal Services YTD salaries/wages and benefits are adjusted by \$20K to reflect an employee shift from a permanent position in the Financial Office/Contract Administration to a temporary full-time blanket position in Program Delivery/Program Support Section.

Legal Office - By Category

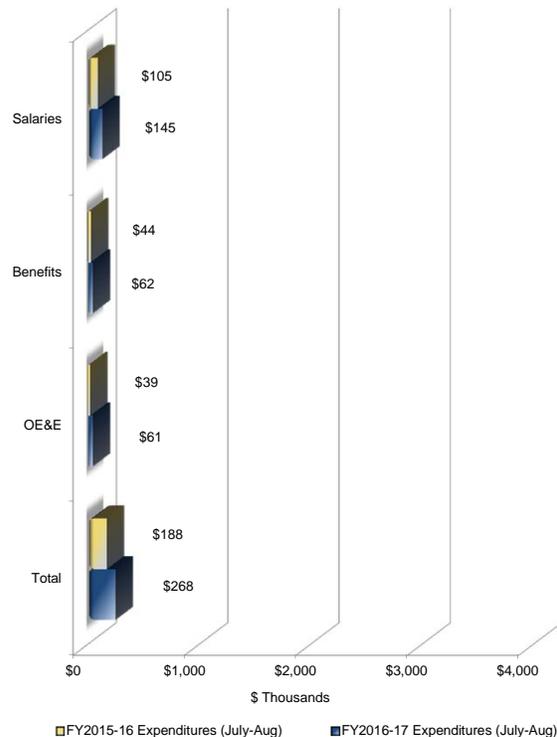
| Current Year 2016-17 (\$ in thousands) | FY2016-17 Total Budget | Prior Month Expenditures (Aug) | YTD FY2016-17 Expenditures (July-Aug) | Total Remaining Budget | YTD % of Budgeted Expenditures | FY2016-17 Forecast (Sept-June) | 2016-17 YTD Expenditures & Forecast |
|---|---------------------------|---|--|------------------------------|--------------------------------------|--------------------------------------|---|
| | ¹ A | B | C | (A - C) | (C / A) | D | (C + D) |
| Salaries & Wages (Personal Services) | \$1,030 | \$72 | \$145 | \$885 | 14.1% | \$830 | \$975 |
| Benefits | \$422 | \$31 | \$62 | \$360 | 14.7% | \$343 | \$405 |
| OE&E | \$1,578 | \$1 | \$61 | \$1,517 | 3.9% | \$1,515 | \$1,576 |
| TOTAL | \$3,031 | \$104 | \$268 | \$2,763 | 8.8% | \$2,689 | \$2,957 |

| Prior Year 2015-16 (\$ in thousands) | FY2015-16 Total Budget | Prior Month Expenditures (Aug) | YTD FY2015-16 Expenditures (July-Aug) | Total Remaining Budget | YTD % of Budgeted Expenditures | FY2015-16 Forecast Fiscal Year End (Sept-June) | 2015-16 YTD Expenditures & Actuals |
|---|---------------------------|---|--|------------------------------|--------------------------------------|---|--|
| | A | B | C | (A - C) | (C / A) | D | (C + D) |
| Salaries & Wages (Personal Services) | \$906 | \$53 | \$105 | \$801 | 11.6% | \$850 | \$955 |
| Benefits | \$387 | \$22 | \$44 | \$343 | 11.4% | \$352 | \$396 |
| OE&E | \$1,556 | \$39 | \$39 | \$1,517 | 2.5% | \$1,504 | \$1,543 |
| TOTAL | \$2,850 | \$114 | \$188 | \$2,662 | 6.6% | \$2,706 | \$2,894 |

**Expenditures vs. Total Budget
FY2016-17
(Aug)**



**Comparison of YTD
Expenditures YOY ²
(Aug)**



¹ Current FY2016-17 Budget does not reflect a Benefit and General Salary Increase (GSI), dependent on CalHR adjustments resulting from Bargaining Unit Agreement(s) and Department of Finance adjustments in the Fall Budget Development process, and pending approval of the Governor's budget (anticipated January 10, 2017). Current expenditures and forecast data include bargaining units with approved GSI. Benefits are calculated based on salaries and are adjusted if positions are reclassified.

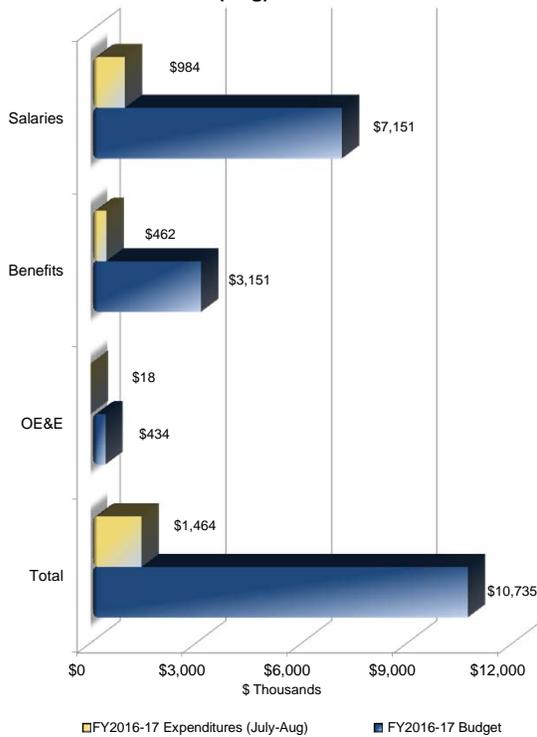
² Year-Over-Year

Program Delivery Office - By Category

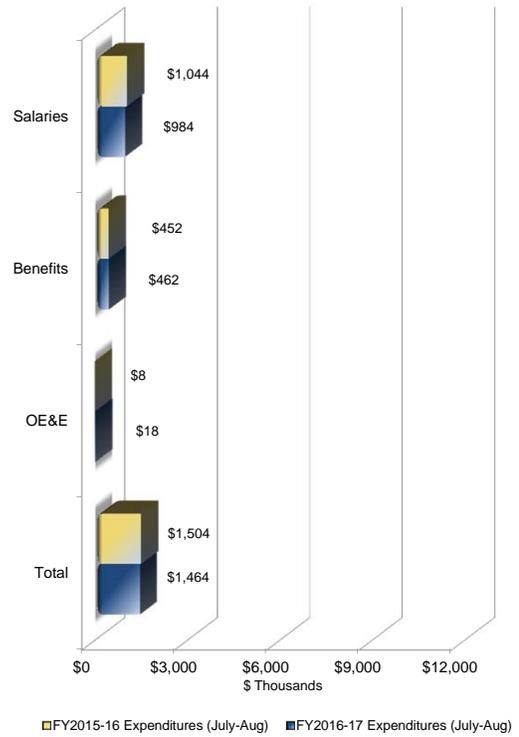
| Current Year 2016-17 (\$ in thousands) | FY2016-17 Total Budget | Prior Month Expenditures (Aug) | YTD FY2016-17 Expenditures (July-Aug) | Total Remaining Budget | YTD % of Budgeted Expenditures | FY2016-17 Forecast (Sept-June) | 2016-17 YTD Expenditures & Forecast |
|---|---------------------------|---|--|------------------------------|--------------------------------------|--------------------------------------|---|
| | ¹ A | B | ⁴ C | (A - C) | (C / A) | D | (C + D) |
| Salaries & Wages (Personal Services) | \$7,151 | \$475 | \$984 | \$6,167 | 13.8% | \$5,880 | \$6,864 |
| Benefits | \$3,151 | \$224 | \$462 | \$2,689 | 14.7% | \$2,591 | \$3,053 |
| OE&E | \$434 | \$13 | \$18 | \$416 | 4.2% | \$404 | \$422 |
| TOTAL | \$10,735 | \$712 | \$1,464 | \$9,271 | 13.6% | \$8,875 | \$10,339 |

| Prior Year 2015-16 (\$ in thousands) | FY2015-16 Total Budget | Prior Month Expenditures (Aug) | YTD FY2015-16 Expenditures (July-Aug) | Total Remaining Budget | YTD % of Budgeted Expenditures | FY2015-16 Forecast Fiscal Year End (Sept-June) | 2015-16 YTD Expenditures & Actuals |
|---|---------------------------|---|--|------------------------------|--------------------------------------|---|--|
| | ³ A | B | C | (A - C) | (C / A) | D | (C + D) |
| Salaries & Wages (Personal Services) | \$6,805 | \$529 | \$1,044 | \$5,761 | 15.3% | \$8,207 | \$9,251 |
| Benefits | \$3,137 | \$225 | \$452 | \$2,685 | 14.4% | \$3,373 | \$3,825 |
| OE&E | \$239 | \$1 | \$8 | \$231 | 3.3% | \$2,058 | \$2,066 |
| TOTAL | \$10,181 | \$755 | \$1,504 | \$8,677 | 14.8% | \$13,638 | \$15,142 |

**Expenditures vs Total Budget
FY2016-17
(Aug)**



**Comparison of YTD
Expenditures YOY²
(Aug)**



1 Current FY2016-17 Budget does not reflect a Benefit and General Salary Increase (GSI), dependent on CalHR adjustments resulting from Bargaining Unit Agreement(s) and Department of Finance adjustments in the Fall Budget Development process, and pending approval of the Governor's budget (anticipated January 10, 2017). Current expenditures and forecast data include bargaining units with approved GSI. Benefits are calculated based on salaries and are adjusted if positions are reclassified.

2 Year-Over-Year

3 FY2015-16 budget is shown after the May 2016 reorganization, prior to this, Program Delivery Office included Rail Operations and Maintenance Office

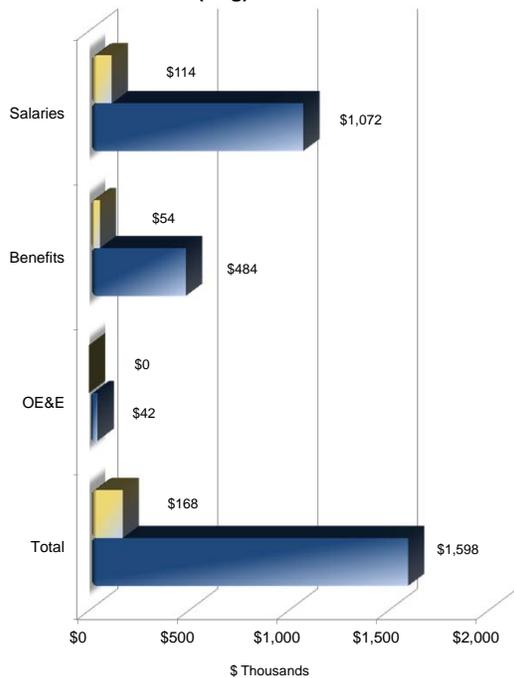
4 Total Personal Services YTD salaries/wages and benefits are adjusted by \$20K to reflect an employee shift from a permanent position in the Financial Office/Contract Administration to a temporary full-time blanket position in Program Delivery/Program Support Section.

Audit Office - By Category

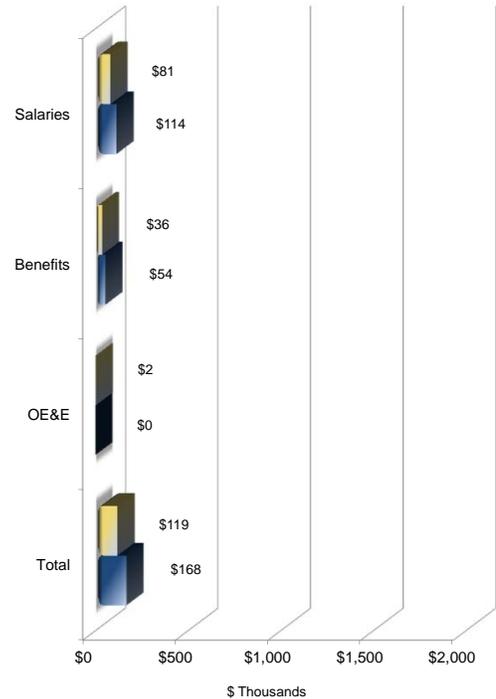
| Current Year 2016-17 (\$ in thousands) | FY2016-17 Total Budget | Prior Month Expenditures (Aug) | YTD FY2016-17 Expenditures (July-Aug) | Total Remaining Budget | YTD % of Budgeted Expenditures | FY2016-17 Forecast (Sept-June) | 2016-17 YTD Expenditures & Forecast |
|---|---------------------------|---|--|------------------------------|--------------------------------------|--------------------------------------|---|
| | ^{1,3} A | B | C | (A - C) | (C / A) | D | (C + D) |
| Salaries & Wages (Personal Services) | \$1,072 | \$57 | \$114 | \$958 | 10.6% | \$779 | \$893 |
| Benefits | \$484 | \$26 | \$54 | \$430 | 11.2% | \$351 | \$405 |
| OE&E | \$42 | \$0 | \$0 | \$42 | 0.0% | \$41 | \$41 |
| TOTAL | \$1,598 | \$83 | \$168 | \$1,430 | 10.5% | \$1,170 | \$1,338 |

| Prior Year 2015-16 (\$ in thousands) | FY2015-16 Total Budget | Prior Month Expenditures (Aug) | YTD FY2015-16 Expenditures (July-Aug) | Total Remaining Budget | YTD % of Budgeted Expenditures | FY2015-16 Forecast Fiscal Year End (Sept-June) | 2015-16 YTD Expenditures & Actuals |
|---|---------------------------|---|--|------------------------------|--------------------------------------|---|--|
| | A | B | C | (A - C) | (C / A) | D | (C + D) |
| Salaries & Wages (Personal Services) | \$570 | \$42 | \$81 | \$489 | 14.2% | \$480 | \$561 |
| Benefits | \$267 | \$18 | \$36 | \$231 | 13.5% | \$224 | \$260 |
| OE&E | \$19 | \$2 | \$2 | \$17 | 10.8% | \$16 | \$18 |
| TOTAL | \$856 | \$62 | \$119 | \$737 | 13.9% | \$720 | \$839 |

**Expenditures vs Total Budget
FY2016-17
(Aug)**



**Comparison of YTD
Expenditures YOY²
(Aug)**



■ FY2016-17 Expenditures (July-Aug)

■ FY2016-17 Budget

■ FY2015-16 Expenditures (July-Aug)

■ FY2016-17 Expenditures (July-Aug)

1 Current FY2016-17 Budget does not reflect a Benefit and General Salary Increase (GSI), dependent on CalHR adjustments resulting from Bargaining Unit Agreement(s) and Department of Finance adjustments in the Fall Budget Development process, and pending approval of the Governor's budget (anticipated January 10, 2017). Current expenditures and forecast data include bargaining units with approved GSI. Benefits are calculated based on salaries and are adjusted if positions are reclassified.

2 Year-Over-Year

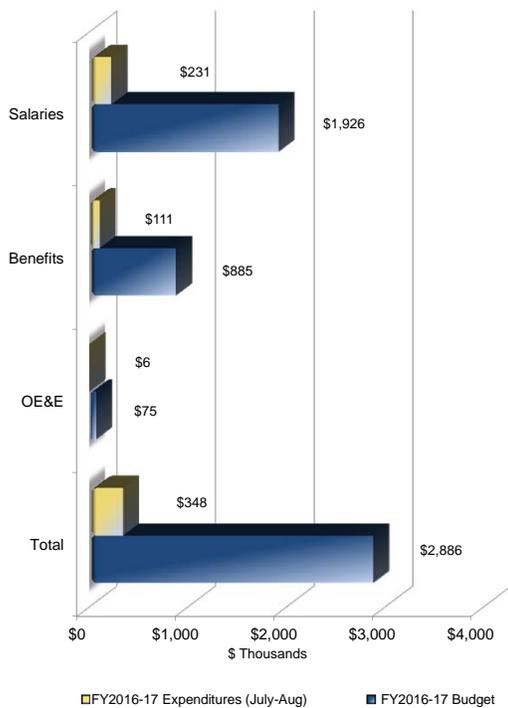
3 Budget increase Year-Over-Year includes an allocation from the approval of six (6) permanent positions in the Audit Office for FY2016-17

Regional Directors Office - By Category

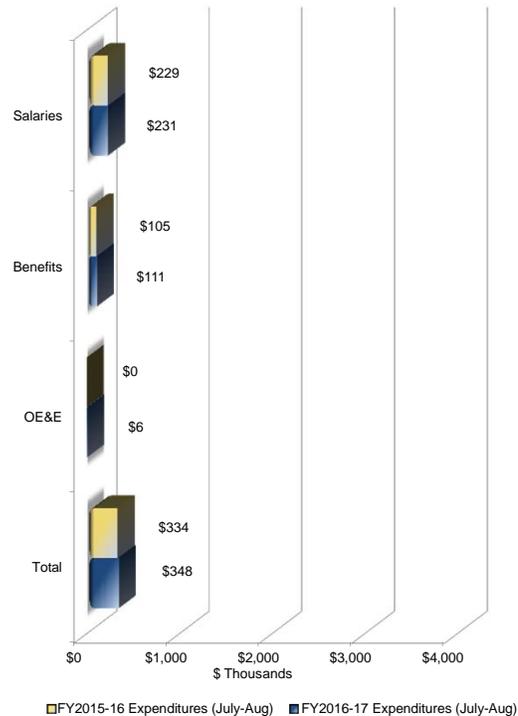
| Current Year 2016-17 (\$ in thousands) | FY2016-17 Total Budget | Prior Month Expenditures (Aug) | YTD FY2016-17 Expenditures (July-Aug) | Total Remaining Budget | YTD % of Budgeted Expenditures | FY2016-17 Forecast (Sept-June) | 2016-17 YTD Expenditures & Forecast |
|---|---------------------------|---|--|------------------------------|--------------------------------------|--------------------------------------|---|
| | A | B | C | (A - C) | (C / A) | D | (C + D) |
| Salaries & Wages (Personal Services) | \$1,926 | \$116 | \$231 | \$1,695 | 12.0% | \$1,573 | \$1,804 |
| Benefits | \$885 | \$55 | \$111 | \$774 | 12.5% | \$720 | \$831 |
| OE&E | \$75 | \$6 | \$6 | \$69 | 8.0% | \$68 | \$74 |
| TOTAL | \$2,886 | \$177 | \$348 | \$2,538 | 12.1% | \$2,361 | \$2,709 |

| Prior Year 2015-16 (\$ in thousands) | FY2015-16 Total Budget | Prior Month Expenditures (Aug) | YTD FY2015-16 Expenditures (July-Aug) | Total Remaining Budget | YTD % of Budgeted Expenditures | FY2015-16 Forecast Fiscal Year End (Sept-June) | 2015-16 YTD Expenditures & Actuals |
|---|---------------------------|---|--|------------------------------|--------------------------------------|---|--|
| | A | B | C | (A - C) | (C / A) | D | (C + D) |
| Salaries & Wages (Personal Services) | \$1,956 | \$115 | \$229 | \$1,727 | 11.7% | \$1,692 | \$1,921 |
| Benefits | \$933 | \$52 | \$105 | \$828 | 11.3% | \$767 | \$872 |
| OE&E | \$37 | \$0 | \$0 | \$37 | 0.0% | \$30 | \$30 |
| TOTAL | \$2,925 | \$167 | \$334 | \$2,591 | 11.4% | \$2,489 | \$2,823 |

Expenditures vs Total Budget
 FY2016-17
 (Aug)



Comparison of YTD
 Expenditures YOY²
 (Aug)



1 Current FY2016-17 Budget does not reflect a Benefit and General Salary Increase (GSI), dependent on CalHR adjustments resulting from Bargaining Unit Agreement(s) and Department of Finance adjustments in the Fall Budget Development process, and pending approval of the Governor's budget (anticipated January 10, 2017). Current expenditures and forecast data include bargaining units with approved GSI. Benefits are calculated based on salaries and are adjusted if positions are reclassified.

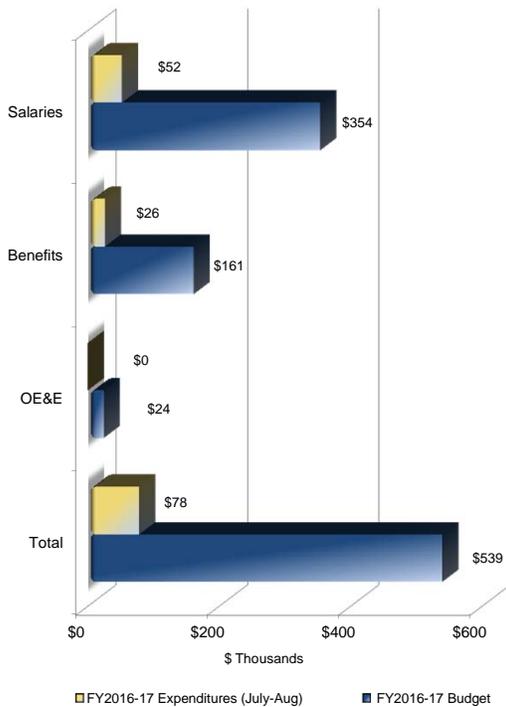
2 Year-Over-Year

Government Relations Office - By Category ³

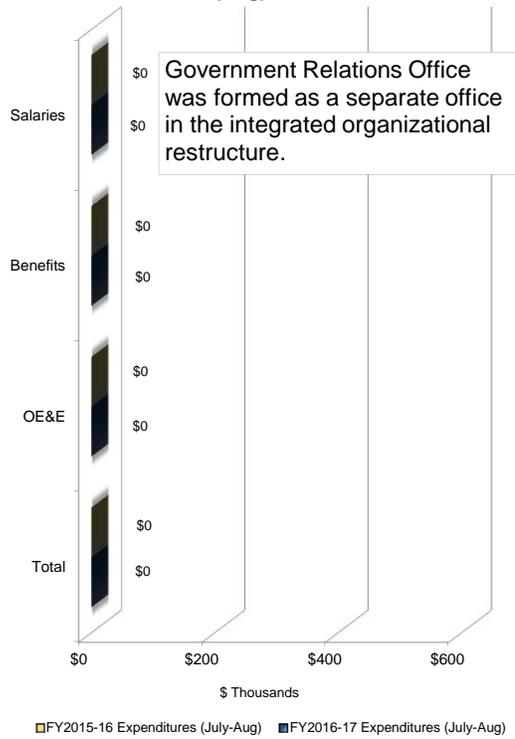
| Current Year 2016-17 (\$ in thousands) | FY2016-17 Total Budget | Prior Month Expenditures (Aug) | YTD FY2016-17 Expenditures (July-Aug) | Total Remaining Budget | YTD % of Budgeted Expenditures | FY2016-17 Forecast (Sept-June) | 2016-17 YTD Expenditures & Forecast |
|---|---------------------------|---|--|------------------------------|--------------------------------------|--------------------------------------|---|
| | A | B | C | (A - C) | (C / A) | D | (C + D) |
| Salaries & Wages (Personal Services) | \$354 | \$29 | \$52 | \$302 | 14.7% | \$282 | \$334 |
| Benefits | \$161 | \$15 | \$26 | \$135 | 16.1% | \$129 | \$155 |
| OE&E | \$24 | \$0 | \$0 | \$24 | 0.0% | \$24 | \$24 |
| TOTAL | \$539 | \$44 | \$78 | \$461 | 14.5% | \$435 | \$513 |

| Prior Year 2015-16 (\$ in thousands) | FY2015-16 Total Budget | Prior Month Expenditures (Aug) | YTD FY2015-16 Expenditures (July-Aug) | Total Remaining Budget | YTD % of Budgeted Expenditures | FY2015-16 Forecast Fiscal Year End (Sept-June) | 2015-16 YTD Expenditures & Actuals |
|---|---------------------------|---|--|------------------------------|--------------------------------------|---|--|
| | A | B | C | (A - C) | (C / A) | D | (C + D) |
| Salaries & Wages (Personal Services) | \$347 | \$0 | \$0 | \$347 | 0.0% | \$347 | \$347 |
| Benefits | \$170 | \$0 | \$0 | \$170 | 0.0% | \$170 | \$170 |
| OE&E | \$6 | \$0 | \$0 | \$6 | 0.0% | \$6 | \$6 |
| TOTAL | \$524 | \$0 | \$0 | \$524 | 0.0% | \$524 | \$524 |

Expenditures vs Total Budget
 FY2016-17
 (Aug)



Comparison of YTD
 Expenditures YOY ²
 (Aug)



Government Relations Office was formed as a separate office in the integrated organizational restructure.

1 Current FY2016-17 Budget does not reflect a Benefit and General Salary Increase (GSI), dependent on CalHR adjustments resulting from Bargaining Unit Agreement(s) and Department of Finance adjustments in the Fall Budget Development process, and pending approval of the Governor's budget (anticipated January 10, 2017). Current expenditures and forecast data include bargaining units with approved GSI. Benefits are calculated based on salaries and are adjusted if positions are reclassified.

2 Year-Over-Year

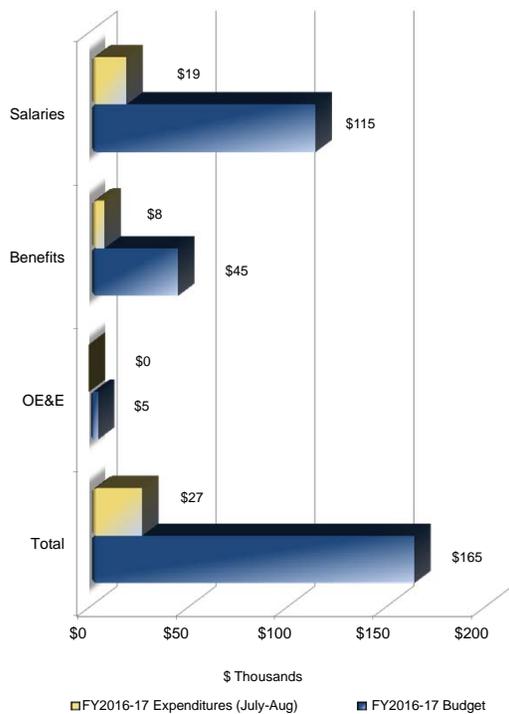
3 New office as a result of the integrated organizational restructure effective FY2015-16 May 2016 report

Strategic Initiatives Office - By Category ³

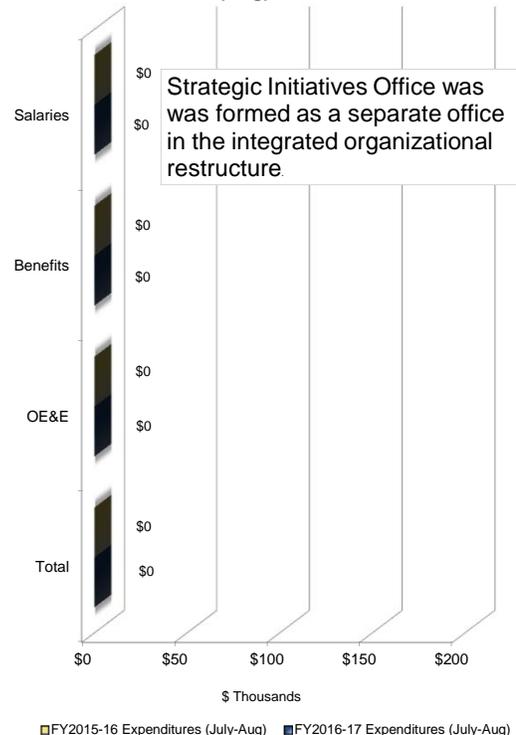
| Current Year 2016-17 (\$ in thousands) | FY2016-17 Total Budget | Prior Month Expenditures (Aug) | YTD FY2016-17 Expenditures (July-Aug) | Total Remaining Budget | YTD % of Budgeted Expenditures | FY2016-17 Forecast (Sept-June) | 2016-17 YTD Expenditures & Forecast |
|---|---------------------------|---|--|------------------------------|--------------------------------------|--------------------------------------|---|
| | ¹ A | B | C | ³ (A-C) | (C / A) | D | (C + D) |
| Salaries & Wages (Personal Services) | \$115 | \$10 | \$19 | \$96 | 16.6% | \$96 | \$115 |
| Benefits | \$45 | \$5 | \$8 | \$37 | 17.7% | \$37 | \$45 |
| OE&E | \$5 | \$0 | \$0 | \$5 | 0.0% | \$4 | \$4 |
| TOTAL | \$165 | \$15 | \$27 | \$138 | 16.4% | \$136 | \$163 |

| Prior Year 2015-16 (\$ in thousands) | FY2015-16 Total Budget | Prior Month Expenditures (Aug) | YTD FY2015-16 Expenditures (July-Aug) | Total Remaining Budget | YTD % of Budgeted Expenditures | FY2015-16 Forecast Fiscal Year End (Sept-June) | 2015-16 YTD Expenditures & Actuals |
|---|---------------------------|---|--|------------------------------|--------------------------------------|---|--|
| | ⁴ A | B | C | (A - C) | (C / A) | D | (C + D) |
| Salaries & Wages (Personal Services) | \$0 | \$0 | \$0 | \$0 | 0.0% | \$0 | \$0 |
| Benefits | \$0 | \$0 | \$0 | \$0 | 0.0% | \$0 | \$0 |
| OE&E | \$0 | \$0 | \$0 | \$0 | 0.0% | \$0 | \$0 |
| TOTAL | \$0 | \$0 | \$0 | \$0 | 0.0% | \$0 | \$0 |

**Expenditures vs Total Budget
 FY2016-17
 (Aug)**



**Comparison of YTD
 Expenditures YOY ²
 (Aug)**



¹ Current FY2016-17 Budget does not reflect a Benefit and General Salary Increase (GSI), dependent on CalHR adjustments resulting from Bargaining Unit Agreement(s) and Department of Finance adjustments in the Fall Budget Development process, and pending approval of the Governor's budget (anticipated January 10, 2017). Current expenditures and forecast data include bargaining units with approved GSI. Benefits are calculated based on salaries and are adjusted if positions are reclassified.

² Year-Over-Year

³ New office as a result of the integrated organizational restructure effective FY2015-16 May 2016 report

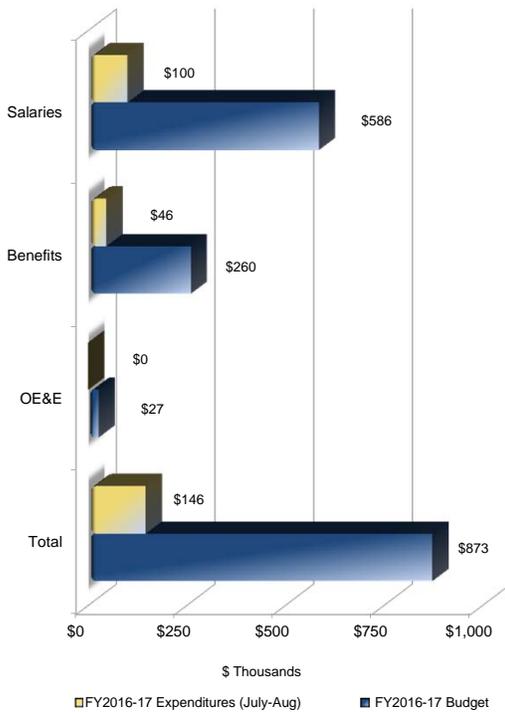
⁴ In FY2015-16 the office contained a blanket position that was not budgeted

Risk Management and Project Controls Office - By Category ³

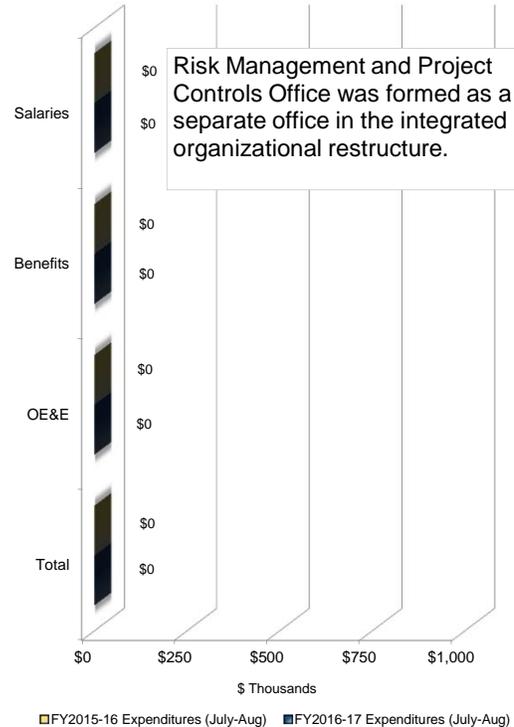
| Current Year 2016-17 (\$ in thousands) | FY2016-17 Total Budget | Prior Month Expenditures (Aug) | YTD FY2016-17 Expenditures (July-Aug) | Total Remaining Budget | YTD % of Budgeted Expenditures | FY2016-17 Forecast (Sept-June) | 2016-17 YTD Expenditures & Forecast |
|---|---------------------------|---|--|------------------------------|--------------------------------------|--------------------------------------|---|
| | ¹ A | B | C | (A - C) | (C / A) | D | ¹ (C + D) |
| Salaries & Wages (Personal Services) ₄ | \$586 | \$50 | \$100 | \$486 | 17.1% | \$500 | \$600 |
| Benefits ₄ | \$260 | \$23 | \$46 | \$214 | 17.7% | \$225 | \$271 |
| OE&E | \$27 | \$0 | \$0 | \$27 | 0.0% | \$25 | \$25 |
| TOTAL | \$873 | \$73 | \$146 | \$727 | 16.7% | \$750 | \$896 |

| Prior Year 2015-16 (\$ in thousands) | FY2015-16 Total Budget | Prior Month Expenditures (Aug) | YTD FY2015-16 Expenditures (July-Aug) | Total Remaining Budget | YTD % of Budgeted Expenditures | FY2015-16 Forecast Fiscal Year End (Sept-June) | 2015-16 YTD Expenditures & Actuals |
|---|---------------------------|---|--|------------------------------|--------------------------------------|---|--|
| | A | B | C | (A - C) | (C / A) | D | (C + D) |
| Salaries & Wages (Personal Services) | \$550 | \$0 | \$0 | \$550 | 0.0% | \$550 | \$550 |
| Benefits | \$230 | \$0 | \$0 | \$230 | 0.0% | \$230 | \$230 |
| OE&E | \$24 | \$0 | \$0 | \$24 | 0.0% | \$24 | \$24 |
| TOTAL | \$803 | \$0 | \$0 | \$803 | 0.0% | \$803 | \$803 |

**Expenditures vs Total Budget
 FY2016-17
 (Aug)**



**Comparison of YTD
 Expenditures YOY ²
 (Aug)**



Risk Management and Project Controls Office was formed as a separate office in the integrated organizational restructure.

¹ Current FY2016-17 Budget does not reflect a Benefit and General Salary Increase (GSI), dependent on CalHR adjustments resulting from Bargaining Unit Agreement(s) and Department of Finance adjustments in the Fall Budget Development process, and pending approval of the Governor's budget (anticipated January 10, 2017). Current expenditures and forecast data include bargaining units with approved GSI. Benefits are calculated based on salaries and are adjusted if positions are reclassified.

² Year-Over-Year

³ FY2015-16 budget is shown after the May 2016 reorganization, prior to this, Executive Office included Risk Management and Project Controls

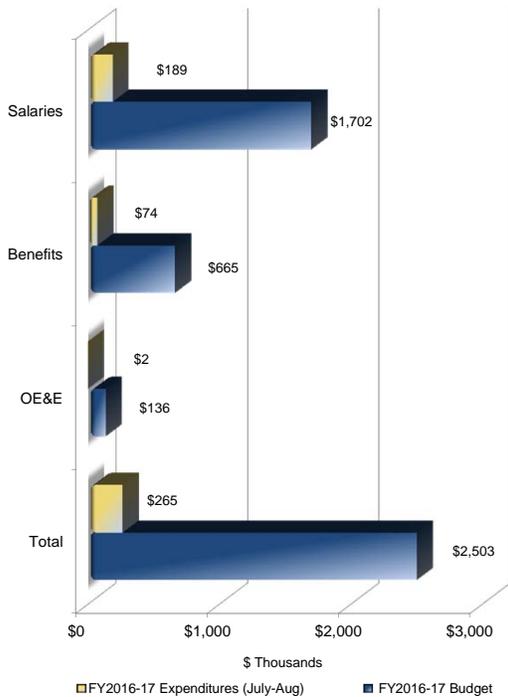
⁴ The Risk Management and Project Controls Office, which is fully staffed, shows YTD forecast and expenditures of \$896K, \$23K more than the budget of \$873K. The forecast includes a Benefit and General Salary Increase (GSI) pending the Governor's budget (anticipated July 10, 2017). When the Governor's budget is approved, the budget will be revised upward for the GSI. Current expenditures and forecasted data include bargaining units with approved GSI.

Rail Operations and Maintenance Office - By Category ³

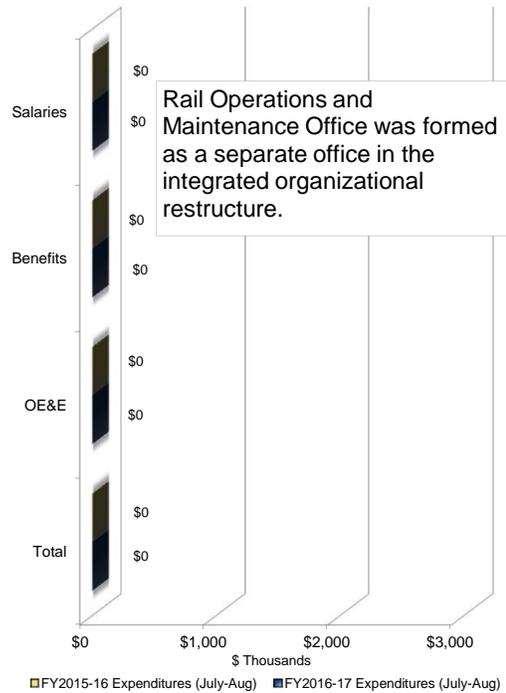
| Current Year 2016-17 (\$ in thousands) | FY2016-17 Total Budget | Prior Month Expenditures (Aug) | YTD FY2016-17 Expenditures (July-Aug) | Total Remaining Budget | YTD % of Budgeted Expenditures | FY2016-17 Forecast (Sept-June) | 2016-17 YTD Expenditures & Forecast |
|---|---------------------------|---|--|------------------------------|--------------------------------------|--------------------------------------|---|
| | A | B | C | (A - C) | (C / A) | D | (C + D) |
| Salaries & Wages (Personal Services) | \$1,702 | \$95 | \$189 | \$1,513 | 11.1% | \$1,401 | \$1,590 |
| Benefits | \$665 | \$37 | \$74 | \$591 | 11.1% | \$562 | \$636 |
| OE&E | \$136 | \$2 | \$2 | \$134 | 1.5% | \$102 | \$104 |
| TOTAL | \$2,503 | \$134 | \$265 | \$2,238 | 10.6% | \$2,065 | \$2,330 |

| Prior Year 2015-16 (\$ in thousands) | FY2015-16 Total Budget | Prior Month Expenditures (Aug) | YTD FY2015-16 Expenditures (July-Aug) | Total Remaining Budget | YTD % of Budgeted Expenditures | FY2015-16 Forecast Fiscal Year End (Sept-June) | 2015-16 YTD Expenditures & Actuals |
|---|---------------------------|---|--|------------------------------|--------------------------------------|---|--|
| | A | B | C | (A - C) | (C / A) | D | (C + D) |
| Salaries & Wages (Personal Services) | \$1,702 | \$0 | \$0 | \$1,702 | 0.0% | \$1,702 | \$1,702 |
| Benefits | \$711 | \$0 | \$0 | \$711 | 0.0% | \$711 | \$711 |
| OE&E | \$30 | \$0 | \$0 | \$30 | 0.0% | \$30 | \$30 |
| TOTAL | \$2,443 | \$0 | \$0 | \$2,443 | 0.0% | \$2,443 | \$2,443 |

**Expenditures vs Total Budget
FY 2016-17
(Aug)**



**Comparison of YTD
Expenditures YOY ²
(Aug)**



Rail Operations and Maintenance Office was formed as a separate office in the integrated organizational restructure.

1 Current FY2016-17 Budget does not reflect a Benefit and General Salary Increase (GSI), dependent on CalHR adjustments resulting from Bargaining Unit Agreement(s) and Department of Finance adjustments in the Fall Budget Development process, and pending approval of the Governor's budget (anticipated January 10, 2017). Current expenditures and forecast data include bargaining units with approved GSI. Benefits are calculated based on salaries and are adjusted if positions are reclassified.

2 Year-Over-Year

3 New office as a result of the integrated organizational restructure effective FY2015-16 May 2016 report