



December 7, 2015

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Calvin Gibson, Civil Rights Administrator
Office of Civil Rights
Federal Railroad Administration
1200 New Jersey Avenue, SE
Washington, D.C. 20509

Subject: Uniform Report of DBE Awards or Commitments and Payments Submission due
December 1, 2015

Dear Mr. Gibson:

In compliance with the best practices of Title 49 of the Code of Federal Regulations Part 26, the California High-Speed Rail Authority (Authority) is pleased to submit its Uniform Report of DBE Awards or Commitments and Payments for the reporting period of April 1, 2015 through September 30, 2015 to the Federal Railroad Administration (FRA) for review.

During the reporting period the Authority awarded four prime contracts worth a total of \$2,257,500,000 that will receive FRA funds. Consistent with the Authority's Small and Disadvantaged Business Enterprise Program, 30 percent of these contracts have been committed to Small Business (SB) concerns, including Disadvantaged Business Enterprises (DBE) and Disabled Veteran Business Enterprises (DVBE). These contracts include two large, long duration contracts:

- HSR13-57 Dragados Flatiron Joint Venture
- HSR14-66 WSP/Parsons Brinckerhoff

Together, these contracts represent \$2,200,000,000 of the \$2,257,500,000 total awarded in the reporting period. Each of these prime contractors have committed to meet the Authority's 30 percent small business goal, however, not all the SB, DBE and DVBEs to be utilized can be identified at award. Under these contracts, the subcontractor work will be allocated as plans are approved. As a result, the majority of the dollars committed on these contracts is listed under the "Other" category under the "Breakdown by Gender and Ethnicity" section of this report. Additional SB, DBE and DVBE utilization on these two contracts will be shown in future reports as work is allocated.

During the reporting period, the Authority had 32 active, ongoing prime contracts that received federal funds. Of these contracts 14 were paid using federal funding during the reporting period, for a total of \$98,253,527.24. Of that total \$19,153,500.26 was utilized by certified SBs, DBEs and DVBEs, a utilization percentage of 19.49 percent. However, the \$98,253,527.24 includes \$52,316,023.38 for the Dragados Flatiron Joint Venture team on Construction Package 2-3. This amount represents Dragados Flatiron Joint Venture invoices for mobilization, insurance and permits, which cannot be subcontracted to SB, DBE and DVBE firms. Absent these administrative costs, the total paid in the reporting period would be reduced to \$45,937,503.86

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while the payments to SB, DBE and DVBEs would remain at \$19,153,500.26. The resulting utilization for SB, DBE, and DVBE for the reporting period would be 41.7 percent.

Four prime contracts utilizing federal funds were completed during this reporting period. These contracts received \$171,504,479.50 in federal funding after the contracts were amended to incorporate the Authority's Small and Disadvantaged Business Enterprise Program goal. For these four contracts a total of \$43,350,461.93, representing 25.28% of the total was expended to SB, DBEs and DVBEs.

I hope you are as pleased as the Authority is in our Small and Disadvantaged Business Enterprise Program achievements. Please contact Jose Camarena, Contract Compliance Administrator, at (559) 445-6764, or jose.camarena@hsr.ca.gov should there be any questions or comments regarding this submission.

Sincerely,



Jeff Morales
Chief Executive Officer
California High-Speed Rail Authority

Enclosure: Uniform Report of DBE Awards or Commitments and Payments December 2015

cc: Jose Camarena, Contract Compliance Administrator

General Reporting

UNIFORM REPORT OF DBE COMMITMENTS/AWARDS AND PAYMENTS							
Please refer to the Instructions sheet for directions on filling out this form							
1 Submitted to (check only one)	<input checked="" type="checkbox"/> FRA	<input type="checkbox"/> FHWA	<input type="checkbox"/> FAA	FTA-Recipient ID Number			
2 AIP Numbers (FAA Recipients), Grant Number (FTA Recipients)							
3 Federal fiscal year in which reporting falls	FY 2015			4. Date This Report Submitted December 1, 2015			
5 Reporting Period	<input type="checkbox"/> Report due June 1 (for period Oct. 1 - Mar. 31)			<input checked="" type="checkbox"/> Report due Dec. 1 (for period April 1-Sept. 30)			
6 Name and address of Recipient							
7 Annual DBE Goals(s)	Race Conscious Projection - N/A		Race Neutral Projection - 10%		OVERALL Goal - 30%		

Awards/Commitments this Reporting Period										
A	AWARDS/COMMITMENTS MADE DURING THIS REPORTING PERIOD (total contracts and subcontracts awarded or committed during this reporting period)	A	B	C	D	E	F	G	H	I
		Total Dollars	Total Number	Total to DBE/Other (dollars)	Total to DBE/Other (number)	Total to DBE/Other - Race Conscious (dollars)	Total to DBE/Other - Race Conscious (number)	Total to DBE/Other - Race Neutral (dollars)	Total to DBE/Other - Race Neutral (number)	Percentage of total dollars to DBE/Other
8	Prime contracts awarded this period	\$ 2,257,500,000.00	4	\$ -	0			\$ -	0	0.00%
9	Subcontracts awarded/committed this period			\$ 677,250,000.00	64			\$ 677,250,000.00	64	30.00%
10	TOTAL			\$ 677,250,000.00	64			\$ 677,250,000.00	64	30.00%

Breakdown by Gender & Ethnicity										
B		Contracts Awarded to DBEs this Period								
		A			B			C		
		Women	Men	Total	Women	Men	Total	Women	Men	Total
11	Black American	\$ 145,600.00	\$ 2,831,275.00	\$ 2,976,875.00	1	7	8	0.01%	0.13%	0.13%
12	Hispanic American	\$ 913,200.00	\$ 2,034,237.50	\$ 2,947,437.50	2	4	6	0.04%	0.09%	0.13%
13	Native American	\$ -	\$ -	\$ -	0	0	0	0.00%	0.00%	0.00%
14	Asian-Pacific American	\$ 212,800.00	\$ 576,400.00	\$ 789,200.00	1	3	4	0.01%	0.03%	0.03%
15	Subcontinent Asian Americans	\$ 27,700.00	\$ 1,358,800.00	\$ 1,386,500.00	1	2	3	0.00%	0.06%	0.06%
16	Non-Minority	\$ 5,542,600.00		\$ 5,542,600.00	8		8	0.25%		0.25%
17	Other*			\$ 663,607,387.50			35			29.40%
18	TOTAL			\$ 677,250,000.00			64			30.00%

Payments Made this Period							
C	PAYMENTS ON ONGOING CONTRACTS (report activity of ongoing contracts)	A	B	C	D	E	F
		Total Number of Contracts	Total Dollars Paid	Total Number of Contracts with DBE/Other	Total Payments to DBE/Other	Total Number of DBE/Other Firms Paid	Percent to DBE/Other
19	Prime and Sub contracts currently in progress	32	\$ 98,253,527.24	14	\$ 19,153,500.26	6	19.49%

D	TOTAL PAYMENTS ON CONTRACTS COMPLETED THIS REPORTING PERIOD	A	B	C	D	E
		Number of Contracts Completed	Total Dollar Value of Contracts Completed	DBE/Other Participation Needed to Meet Goal (Dollars)	Total DBE/Other Participation (Dollars)	Percent to DBEs/Other
20	Race Conscious					
21	Race Neutral	4	\$ 171,504,479.50	\$ 51,451,343.85	\$ 43,350,461.93	25.28%
22	Totals	4	\$ 171,504,479.50	\$ 51,451,343.85	\$ 43,350,461.93	25.28%
23	Submitted By Jeff Morales, CEO, California High-Speed Rail Authority	24. Signature 			25. Phone Number 916-324-1541	

- NOTES**
- * "Overall Goal" and "Other" are inclusive of certified small business enterprise and disabled veteran business enterprise prime and subcontractors.
 - 1 This report includes two contracts, HSR14-66 WSP/Parsons Brinckerhoff and HSR13-57 Dragados Flatiron Joint Venture, which were awarded during the reporting period. At a combined \$2.2 Billion Dollars, both of these contracts are large, long duration contracts. Each contract has a commitment of 30 percent to DBE/Other, however not all the DBE/Other to be utilized could be identified at award. DBE/Other will be added over the life of the four to seven year contract in order to meet the 30 percent commitments. As a result the majority of the dollars available for future DBE/Other utilization is identified in the "other" category in column C, row 17. The DBE/Other future utilization will be reported in subsequent reports under "Payments on Ongoing Contracts (report activity of ongoing contracts)".
 - 2 The dollar amount under "Total Dollars Paid" (Line 19 Column B) includes \$52,316,023.38 in federal funding for HSR13-57 Dragados Flatiron Joint Venture. This amount represents Dragados invoices for mobilization, insurance and permits. These administrative costs cannot be subcontracted to DBE/Other. Without the \$52,316,023.38 included in the "Total Dollars Paid," the "Total Dollars Paid" would be reduced to \$45,937,503.86 and the "Total Payments to DBE/Other" (Line 19, Column D) would remain at \$19,153,500.26, resulting in a "Percent to DBE/Other" (Line 19, Column F) at 41.7 Percent.