



**CALIFORNIA**  
**High-Speed Rail Authority**

ANNUAL REPORT • FEDERAL FISCAL YEAR 2014

# *Title VI, Limited English Proficiency & Environmental Justice Annual Accomplishments Report*

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# California High-Speed Rail Authority Title VI Program Annual Accomplishments Report Federal Fiscal Year (FFY) 2014

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For individuals with sensory disabilities, this document is available in Braille, large print, audio cassette, or computer disk. To obtain a copy in one of these alternate formats, please contact:

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## Introduction

The California High-Speed Rail Authority (Authority) presents to the Federal Railroad Administration (FRA) the annual report on the Authority's Title VI Program that reflects Title VI, Limited English Proficiency and Environmental Justice accomplishments in Federal Fiscal Year (FFY) 2014, an organizational chart, and planned activities for FFY 2015. The intent of this report is to demonstrate the Authority's compliance with its nondiscrimination policy to involve the public, regardless of race, national origin, color, sex, minority or low-income status and language proficiency, in its high-speed rail program and activities.

The report follows the best practices of 49 Code of Federal Regulations Part 21 and encompasses Title VI, Limited English Proficiency (LEP) and Environmental Justice (EJ) activities during FFY 2014, October 1, 2013 to September 30, 2014 and planned activities for FFY 2015, October 1, 2014 to September 30, 2015.

### I. Letter of Assurance and Policy Statements

Mr. Jeff Morales, Chief Executive Officer (CEO), signed the annual letter of *Assurance and Certification of Nondiscrimination in its Services and Activities* on August 14, 2013. The policy statements and the 2014 Letter of Assurance are posted on the website: [www.hsr.ca.gov/Title\\_VI\\_Program.aspx](http://www.hsr.ca.gov/Title_VI_Program.aspx). Refer to Attachment A for the Letter of Assurance.

### II. Organization, Staffing and Structure

Mr. Morales leads and directs the Authority's high-speed rail program. Mr. Morales reports directly to the Board of Directors and seeks approval and guidance on a broad range of issues regarding the ongoing program including, but not limited to: certifying environmental documents; provide concurrence on contract awards; making project alignment and Business Plan decisions.

The Authority's filled positions increased from 72.5 positions in FFY 2013 to 148 filled positions in FFY 2014.

Mr. Dennis Trujillo temporarily replaced Ms. Wendy Boykins as the Authority's Interim Title VI Coordinator in April 2014. Mr. Trujillo has primary responsibility for implementation of the Title VI Program, Limited English Proficiency (LEP) and Environmental Justice (EJ) matters until a successor is appointed. The Title VI Coordinator is supported by the Project Management Team to assist in administering the Authority's Title VI Program, LEP Plan and EJ Guidance.

The Authority Board of Directors consists of nine members: five members appointed by the Governor, two members appointed by the Senate Committee on Rules, and two members appointed by the Speaker of the Assembly. Each Board member represents the entire State and serves a four-year term. The Board of Directors is responsible for setting policy directives for the Authority, and for the development and approval of the Authority's key policy documents. These policy documents include the Authority's Business Plans, financial plans, and key policy decisions. Refer to Attachment B for brief career summaries of the Board of Directors, and Attachment C for the Authority's Executive Management Organizational Chart, effective September 2014.

The Chair of the Board of Directors is Mr. Dan Richard. In FFY 2014, Assembly Speaker John A. Perez appointed Ms. Thea Selby to the Board on March 14, 2014. Ms. Selby replaced Mr. Tom Umberg.

The three Regional Director positions remain unchanged. The Regional Directors are: Diana Gomez, Central California Regional Director, Ben Tripousis, Northern California Regional Director and Michelle Boehm, Southern California Regional Director.

The Regional Directors are members of the Executive Management team and advise the CEO on the high-speed rail program. The Regional Directors develop and maintain relationships with local residents, policy makers

and Authority consultants, and build strategies for communicating with local stakeholders and the public to foster public involvement and support for the project.

The California high-speed rail program is divided into 10 geographical regions. All but one of the regions has an Outreach Team responsible for implementing public participation plans, in compliance with the project support and Title VI requirements. Refer to Attachment D for the Regions and Regional Directors contact information.

### **III. Title VI Complaints in FFY 2014**

On June 5, 2014, a lawsuit was filed that included an allegation of violation of Title VI and Environmental Justice. The claim alleges the Fresno to Bakersfield Final Environmental Impact Report creates disproportionate impact to minority and low-income populations. The FRA has been made aware of the lawsuit. The Authority is preparing its response to the lawsuit allegations. Refer to Attachment E for a list of past and current complaints.

### **IV. FFY 2014 Accomplishments**

The Authority acknowledges public involvement is fundamental and essential in achieving equitable program, services and activities. Public participation provides for public involvement of all persons (including Native American Tribal Governments), minorities and low-income persons, effected public agencies, employees, the general public, transportation service providers, public transit users and other interested community parties affected by the rail programs and project. The Authority also recognizes public participation is a successful measure to notify the public of their civil rights under Title VI, LEP and EJ requirements on the Authority's services, projects and activities.

The Authority seeks out and considers the viewpoints of all communities in the course of conducting public outreach and involvement activities. The Authority's public participation strategy offers early and continuous opportunities for the public to be involved in the identification of social, economic, and environmental impacts of proposed rail decisions.

The factors the Authority uses for effective public participation include:

- Time, location, and accessibility of meetings
  - Reaching people within their own communities and during existing meeting schedules
  - Provisions of LEP interpreters at meetings
  - Presentations focused to specific interests of groups
  - Placement of meeting announcements and flyers through different types of media
  - Identified barriers to overcome public participation
- (1) Coordination with individuals, institutions, or organizations and implementation of community-based public involvement strategies to reach out to members in the affected minority and/or low-income communities.
  - (2) Provide opportunities for public participation through means other than written communication, such as personal interviews or use of audio or video recording devices to capture oral comments.
  - (3) Use of locations, facilities, and meeting times that are convenient and accessible to low-income and minority communities.
  - (4) Use of different meeting sizes or formats, or varying the type and number of news media used to announce public participation opportunities, so that communications are tailored to the particular community or population.

The Authority engages the public by:

- Encouraging public comments at the monthly Board of Directors meeting.
- Encouraging public comments at the bi-monthly Business Advisory Council meeting.

- Participating in public involvement activities (meetings, hearings, advisory groups, workshops and task forces) to help the community understand the project, identify community interest and needs, eliminate perceptions, and define project goals.
- Developing clear, concise and understandable documents for members of the public, including EJ individuals, to provide comments on proposed projects.
- Implementing the Authority's *Limited English Proficiency Plan* to the fullest extent possible.
- Conducting public meetings that are accessible to all, as well as for persons with disabilities; include visual and tactile presentation tools and techniques.
- Using traditional and non-traditional media (website, local newspapers, radio and television stations, signs, etc.) to provide the public and EJ groups with information on upcoming events.
- Distributing information on fliers or handbills through mass mailings, postings at community centers, retail stores, religious centers and social gathering events.
- Exhibiting project information at community-based events.
- Making public information available in electronically accessible formats.
- Partnering with community-based organizations that serve underrepresented populations, minority and women business organizations on the project information and employment readiness.

The Authority facilitated and participated in numerous public participation events in FFY 2014. To determine the frequency and adequacy of contact with the public, including EJ populations, the Authority used the Public Participation Survey form at public meetings it sponsored. This survey form enabled the Authority to collect data to identify residents and communities impacted by the high-speed rail project. Completion of the survey by the public was voluntary. The Authority also used its Public Participation Visual Tally form as an indirect method to collect data when at least 50 percent of the participants did not complete the Public Participation survey form.

The Annual Community Outreach Tallies (Attachment F) summarizes the demographic data of the participants at the Authority's sponsored public events, board meetings and small business workshops held. Over 2,409 members of the public attended Authority sponsored outreach events in FFY 2014. The Title VI Coordinator analyzed the information to determine if changes needed to occur to ensure compliance with Title VI obligations. The information submitted suggests the public is reluctant to complete and submit the Public Participation Survey form. The Regions are relying on visual assessments to determine the public participation levels by age, race, ethnicity, gender, and disability. The Regions will continue to disseminate the Public Participation survey forms and encourage the participants to complete the forms.

### **Title VI Program Accomplishments**

Title VI of the Civil Rights Act of 1964 and related statutes state that no persons in the United States shall, on the grounds of race, color or national origin be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity, receiving federal financial assistance. Pursuant to this mandate, recipients of federal financial assistance must take affirmative steps to ensure that discrimination, as addressed by Title VI, does not occur in its organization.

The Title VI Program accomplishments in FFY 2014 were:

- Ensured the Authority's monthly Board meetings provided opportunities for public comment on each agenda item under consideration.
- Continued to have adequate resources through the support of the Title VI Project Management Team to implement the Title VI, LEP Plan and EJ Guidance.

- Continued to maintain the email account: [TitleVICoordinator@hsr.ca.gov](mailto:TitleVICoordinator@hsr.ca.gov) for the public, consultants, Authority staff and Regional Outreach Teams to submit requests for information or technical assistance.
- Updated the Title VI website and posted revised or new information and forms for public review, education and comment.
- Requested and received annual letters of *Assurance and Certification of Nondiscrimination in its Services and Activities* from existing consultants.
- Disseminated Press Releases, newsletters and media stories on the progress of the high-speed rail.
- Maintained the Small and Disadvantaged Business Enterprise Program, Policy and a 30 percent overall goal, inclusive of Disadvantaged Business Enterprise (DBE) and Disabled Veteran Business Enterprise (DVBE) participation on Authority contracts. Nine contracts were awarded in FFY 2014. Each contract was awarded with the commitment to meet and/or exceeds the 30 percent goal.
- Hosted five Pre-Bid Forums:
  - Pre-Bid Conference for Request for Qualification for Construction Package (CP) 2-3 for HSR 13-81, Sacramento on October 28, 2013.
  - Industry Forum for Design-Build Request for Proposal (RFP) for CP 2-3 for HSR 13-57, Visalia, on April 8, 2014.
  - Pre-Bid Forum for Construction RFP for CP 2-3 for HSR 13-81, Visalia, on April 8, 2014.
  - Pre-Bid Conference Insurance Broker and Administrative Services Forum for RFP for HSR 14-95, Sacramento on May 14, 2014.
  - Pre-Bid Conference Right of Way Services Contract for Central Valley for HSR 14-14, Fresno on July 15, 2014.
- Participated in 48 Small and Disadvantaged Business Enterprise outreach events, as sponsored by government entities and the business community; to disseminate information on the SB Program and contracting opportunities.
- Hosted a Community-Based Organizations (CBO) outreach workshop on October 21, 2013, in Fresno to educate CBOs on how to find On-the-Job (OJT) training grant opportunities and how to submit a proposal for these opportunities. Fifteen CBOs attended and as a result two of the CBOs joint ventured and obtained a \$300,000 OJT training grant from the United States Department of Labor.
- Held five Business Advisory Council (BAC) meetings. This 25-member Council represents statewide SB/Disadvantaged Business Enterprises, and Disabled Veteran Business Enterprise and non-minority construction and professional services business trade associations. The BAC serves as a forum to provide essential input and advisement to the Authority to enhance small business participation on the project. In FFY 2014, the Authority enacted eleven BAC recommendations to improve the SB/DBE Program.
- Continuation of the Community Benefits Agreement (CBA) with 79 labor unions and the Design-Build Contractor. The CBA Policy and Agreement require the Authority to engage with public partners, contractors and labor unions to ensure that the construction career and related jobs and opportunities created by the California high-speed rail program are afforded to the community, including providing priority to those who are economically disadvantaged, thereby providing meaningful benefits and reinvestment to communities at large.
- Maintained a National Targeted Hiring Initiative (NTHI) Plan. The construction related targeted hiring requirements for construction contracts are: (1) A minimum of 30 percent of all hours of Project Work shall be performed by National Targeted Workers; and (2) A minimum of 10 percent of the 30 percent National Targeted Workers hours shall be performed by disadvantaged workers. In FFY 2014, the

CP-1 Contractor achieved 75.9 percent in NTHI hours worked. This represents 8,402 hours out of 11,068 hours worked by all craft trades on CP-1 and exceeds the 30 percent goal.

- The Labor Compliance unit is preparing a Labor Compliance Review Manual. The manual describes the Authority’s standard operating procedures for conducting Davis Bacon Act and California State Labor law compliance reviews of the Contractors and subcontractors.
- Labor compliance reviews were completed with the following accomplishments:
  - Reviewed 947 documents on CP-1
  - 30 subcontractors were reviewed for document compliance
  - 481 employees were listed on the Certified Payroll Records and reviewed for classification and prevailing wage requirements
  - Assisted 15 craft workers to recover \$2,271.58 for non-compliance with prevailing wage.
- Monitored approximately 100 negotiations made by the Right of Way unit for properties in FFY 2014. The negotiators’ logs were reviewed for compliance and no noted disparities exist.
- A Relocation Manager in the Right of Way unit drove from Los Angeles to Fresno on Christmas Eve to deliver a check to a relocated person to ensure that individual’s family had a Merry Christmas.
- Entered into a contract with a consultant to complete a Business Availability and Disparity Study (Study), as requested by FRA in response to a Title VI complaint filed in December 2010. The Study was completed on May 31, 2014. The Study report indicated that the Authority did not have sufficient past data available to determine if an inference of discrimination exists on Authority contracting practices. A new Study will be completed in five years.
- Implemented a procedure in which the Public Information Officers in each Region are responsible for meeting with the Regional Outreach teams to provide updates on the Title VI Program and reporting requirements.
- Completed a mid-year compliance review of the Regions on their Title VI, LEP and EJ implementation and accomplishments. The Title VI Coordinator determined that the Regions are administering and complying with the Title VI Program, LEP Plan and EJ Guidance.
- The Central California Region participated in community events hosted by other organizations to provide informational material on the high-speed rail project and job readiness:
  - Highway 99 Informational Open House, Fresno – October 23, 2013
  - San Joaquin Valley Association of California Counties, Bass Lake – October 17, 2013
  - American Council of Engineering Companies (ACEC), Visalia – November 21, 2013
  - American Traffic Safety Services Association, Canyon Country – December 3, 2013
  - Green Hills Homeowners Association, Chowchilla - January 15, 2014
  - AG Council of California World AG Expo, Tulare - February 12, 2014
  - Fresno Sunrise Rotary, Fresno – February 13, 2014
  - CA Poly Careers in Transportation – San Luis Obispo – February 13, 2014
  - Visalia Tulare Leadership Class, Tulare – March 7, 2014
  - Central Valley Score Education Seminar, Fresno – March 13, 2014
  - Citizens Advisory Committee, Hanford – March 19, 2014
  - Hoover High School Career Fair, Fresno – March 26, 2014
  - California Regional Transportation Summit, Bakersfield – March 26-27, 2014
  - Fresno State Engineering, Fresno – April 7, 2014
  - CSU Fresno PMI Annual Luncheon, Fresno – May 2, 2014
  - San Joaquin Joint Powers Authority Meeting, Fresno - May 27, 2014
  - Madera Community Meeting, Madera – May 28, 2014
  - International Right of Way Association, Fresno – June 6, 2014

- Downtown Rotary, Fresno – June 9, 2014
  - Dinuba Sunrise Rotary, Dinuba – June 9, 2014
  - Fresno County Economic Development Corporation Board Dinner, Fresno – June 11, 2014
  - Community Meeting on McKinley Demolition, Fresno – July 1, 2014
  - North Fresno Rotary, Fresno – July 2, 2014
  - Central Valley SCORE Education Seminar, Fresno – July 24, 2014
  - Pre-Apprentice Graduation, Fresno – August 8, 2014
  - Kern County Bar Association, Bakersfield – August 13, 2014
  - Cal Mentor Quarterly Meeting, Fresno – August 26, 2014
  - Wasco Rotary, Wasco – September 15, 2014
  - Operation Lifesaver See Tracks? Think Train, Fresno – September 18, 2014
  - HJR Jobs Workshop, Fresno – September 26, 2014
  - Charting the Future of the San Joaquin Valley, Bakersfield – September 30, 2014
- The Northern California Region participated in numerous community events hosted by other organizations to provide informational material on the high-speed rail project:
    - Asian, Inc. 42 Anniversary Gala, San Francisco – October 4, 2013
    - California Association of Railroad Passengers, San Francisco – October 5, 2013
    - Salinas Small Business Expo and Matchmaking Fair, Salinas – October 9, 2013
    - San Francisco Rotary Club, San Francisco - January 14, 2014
    - Caltrain 150<sup>th</sup> Anniversary, Santa Clara – January 18, 2014
    - Sunnyvale Rotary Club, Sunnyvale – January 29, 2014
    - Silicon Valley Leadership Group, San Jose – February 5, 2014
    - San Bruno Chamber of Commerce, San Bruno – March 5, 2014
    - Santa Clara Chamber of Commerce, Santa Clara - March 11, 2014
    - Mountain View Chamber of Commerce, Mountain View – March 12, 2014
    - 55<sup>th</sup> Annual Transportation and Research Forum, San Jose – March 13, 2014
    - Belmont Chamber of Commerce and Rotary, Belmont – March 24, 2014
    - Professional Environmental and Marketers Association, Oakland – March 25, 2014
    - SPUR, San Jose – March 26, 2014
    - Menlo Park Rotary Club, Menlo Park – April 2, 2014
    - Millbrae Rotary Club, Millbrae – April 8, 2014
    - American Legion Post 791, San Jose – April 9, 2014
    - Kiwanis Club, San Jose – April 14, 2014
    - Commonwealth Club of California, San Francisco – April 15, 2014
    - UC Berkeley Engineering Students Organization, Berkeley – April 22, 2014
    - American Council of Engineering Companies (ACEC), Oakland – April 23, 2014
    - South San Francisco Rotary Club, South San Francisco – April 24, 2014
    - UC Berkeley Environmental Public Policy, Berkeley – April 28, 2014
    - Foster City Rotary Club, Foster City – April 30, 2014
    - San Jose Rotary Club, San Jose – April 30, 2014
    - San Jose State University Engineering Department, San Jose – April 30, 2014
    - Redwood City Rotary Club, Redwood City – May 5, 2014
    - San Bruno Rotary Club, San Bruno – May 14, 2014
    - SPUR, San Francisco – May 21, 2014
    - US High-Speed Rail Regional Conference, San Francisco – June 3, 2014
    - San Francisco Chamber of Commerce, San Francisco – June 11, 2014
    - Santa Clara Minority Business Consortium, Santa Clara – June 13, 2014
    - Silicon Valley Association of Realtors, Mountain View – June 20, 2014
    - San Mateo Kiwanis Club, San Jose – June 24, 2014



- Central Valley Rail to Trails, Wilton – July 8, 2014
  - Japanese Chamber of Commerce of Northern California, San Jose – July 10, 2014
  - South San Francisco Kiwanis Club, South San Francisco – July 15, 2014
  - City of Gilroy, Gilroy – July 22, 2014
  - Cupertino Chamber of Commerce, Cupertino – August 1, 2014
  - Caltrans Small Business Outreach Forum, Oakland – August 15, 2014
  - California Transportation Commission, San Jose – August 20, 2014
  - Gilroy Rotary Club, Gilroy – August 26, 2014
  - San Mateo Elks Club, San Mateo – September 2, 2014
  - Morgan Hill Rotary Club, Morgan Hill – September 3, 2014
  - Tracy Transportation Fair, Tracy – September 6, 2014
  - UC Berkeley Center for Environmental Public Policy, Berkeley – September 9, 2014
  - Bay Area Regional Public Night – September 10, 2014
  - Bay Area Business Roundtable, Oakland – September 19, 2014
  - Diridon Policy Advisory Board, San Jose – September 19, 2014
  - Ninth Annual US-Japan Future Forum, San Jose – September 19, 2014
  - LaRaza Roundtable, San Jose – September 26, 2014
- Southern California Region participated in several outreach opportunities hosted by other organizations to provide informational materials on the high-speed rail project with emphasis on the Small Business Program:
    - Railway Association of Southern California, Fullerton – October 8, 2013
    - Pasadena Democratic Club, Pasadena – October 17, 2013
    - Mobility 21, Los Angeles – October 29, 2013
    - Associated General Contractors Fall Conference, Rancho Mirage – October 31, 2013
    - Association for Advancement of Cost Engineering, Indian Wells – November 1, 2013
    - So Cal Gas/Elite SDVOB Procurement Conference, Downey – November 1, 2013
    - US High-speed Rail Conference, Los Angeles – November 5-6, 2013
    - Society of American Military Engineers, Irvine – November 14, 2013
    - Focus on the Future, Los Angeles – November 19, 2013
    - ACEC, Orange County, Santa Ana – December 11, 2013
    - National Railroad Construction and Maintenance Association, Palm Desert – January 7, 2014
    - VerdExchange Conference, Los Angeles – January 27, 2014
    - International Council of Systems Engineer, Torrance – January 28, 2014
    - Loyola Marymount University Guest Lecture, Los Angeles – February 20, 2014
    - American Public Transportation Association (APTA) Legal Affairs Seminar, Palm Springs – February 24, 2014
    - Caltrans Small Business Expo – April 2, 2014
    - California Passenger Rail Coalition Summit - April 2-3, 2014
    - National Train Day/LAUS 75th Anniversary- May 3, 2014
    - Acton-Agua Dulce Workshop – May 5, 2014
    - Keeping the Promise, SB/DVBE , Garden Grove – May 13, 2014
    - Mayors Veterans Business Summit, Los Angeles – May 19, 2014
    - CalCon Expo - July 31, 2014
    - California Public Agencies Procurement Summit - June 19, 2014
    - Board of Equalization – Connecting Women to Power, Carson – June 20, 2014
    - California Black Chamber Business and Economic Summit - August 22, 2014
    - Women in Green Forum - August 25, 2014
    - Women Transportation Seminar LA Express, Los Angeles – September 3, 2014
    - Mobility 21 Summit – September 5, 2014

- American Road & Transportation Builders Association (ARTBA), Rancho Palos Verdes – September 9, 2014
- Council for Watershed Health, Los Angeles – September 30, 2014
- University of Southern California Urban Growth, Los Angeles – September 30, 2014
- The Authority’s CEO, Board members and/or headquarters staff participated in community events hosted by other organizations to provide information material on the high-speed rail program, small business outreach and job readiness:
  - California Water Resources Control Board, Sacramento – October 8, 2013
  - Stockton Regional Public Agency Consortium, Stockton – October 9, 2013
  - Korea Rail Network Authority, Sacramento – October 10, 2013
  - Institute for Transportation Studies, University of CA, Davis – October 11, 2013
  - Buildings, Owners and Managers Association, Sacramento – October 17, 2013
  - Roseville Kiwanis, Roseville – November 14, 2013
  - American Recycles Green Fair Expo, Sacramento – November 20, 2013
  - Almond Conference, Sacramento – December 3, 2013
  - Japanese Consulate General, Sacramento – December 11, 2013
  - Professional Environmental Marketers Association (PEMA), Sacramento – January 21, 2014
  - Caltrans All Construction Managers Meetings, Sacramento – February 5, 2014
  - California Transportation Foundation, Sacramento – February 11, 2014
  - Department of General Services Small Business Council Meeting, Sacramento – March 3, 2014
  - Mineta Transportation Institute Guest Lecture, Sacramento – March 6, 2014
  - Sacramento State Project Management Students, Sacramento – March 18, 2014
  - Placer Sierra Railroad Heritage Society, Auburn – March 27, 2014
  - William Lee Chart School Job Fair, Sacramento – March 28, 2014
  - California Subcontractors Legislative Conference, Sacramento – April 2, 2014
  - Saint Mary’s High School Career Day, Stockton – April 9, 2014
  - McGeorge Law Review Symposium, Sacramento – April 11, 2014
  - African American Leadership Conference, Sacramento – April 11, 2014
  - Hewlett Packard Roseville Earth Day, Roseville – April 23, 2014
  - Caltrans Planning Horizons, Sacramento – April 30, 2014
  - Assyrian National Broadcasting, Sacramento – May 9, 2014
  - National Train Day Involvement, Sacramento – May 20, 2014
  - Hispanic Chamber Small Business Procurement/Matchmaking, Sacramento – May 14, 2014
  - California Foundation on the Environment and Economy, Sonoma – May 15, 2014
  - Sons (Seniors) in Retirement, Chico – May 19, 2014
  - Small Business Day, Sacramento – June 16, 2014
  - CalVet Disabled Veteran Business Enterprise Advisory Council, Sacramento – July 17, 2014
  - Society of American Military Engineers, McClellan – August 13, 2014
  - Get Connected Small Business Expo, Stockton – August 27, 2014
  - California Leadership on Climate Change, Sacramento – September 8, 2014
  - Colfax Railroad Days, Colfax – September 13-14, 2014
  - Chico State University Engineering Students, Chico – September 17, 2014
  - Innovate the Golden State: Breakthrough Ideas for CA, Sacramento – September 17-18, 2014
  - Lambda Alpha Real Estate Fraternity, Sacramento – September 23, 2014

**Limited English Proficiency Plan Accomplishments**

The Authority will interact with LEP individuals in a variety of ways during the program planning stages and the initial construction. The initial project planning and construction has begun between Merced through

Bakersfield, California, also known as the Central Valley. The Central Valley is composed of the counties of Merced, Madera, Fresno, Tulare, Kings and Kern.

The 2008-2012 American Community Survey 5-Year Estimates - U. S. Census Bureau language demographics revealed:

- ◆ 13.1% of the LEP Population in California is Spanish-speaking
- ◆ 18.1% of the LEP Population in the Central Valley is Spanish-speaking

**2008-2012 American Community Survey 5-Year Estimates - U. S. Census Bureau**

State/County	Population	Spanish LEP Population%	Asian/Pacific Islander LEP Population%	Other Languages LEP Population%
California	34,781,291	4,570,554 13.1%	1,638,539 4.7%	596,677 1.7%
Merced County	234,256	44,775 19.1%	4,456 1.9%	5,064 2.1% <sup>00</sup>
Madera County	138,496	24,617 17.8%	348 0.3%	1,128 0.9%
Fresno County	851,391	127,802 15%	23,474 2.8%	12,023 1.4%
Tulare County	400,712	82,682 20.6%	4,744 1.2%	1,903 0.5%
Kings County	139,163	24,305 17.5%	1,457 1.0%	948 0.6%
Kern County	766,032	123,070 16.1%	7,878 1.0%	5,803 0.8%

LEP access is provided to groups who represents more than five percent of the LEP population in California or the County in which the Authority is providing an activity or service.

As the project progresses north and south of the initial project phase, additional demographic analysis to ensure LEP individuals are accommodated in a language they understand, will be completed.

The LEP accomplishments in FFY 2014 were:

- Maintained a master listing of bilingual staff who volunteered to be available for verbal language assistance as needed. The listing identifies the ability to provide language assistance in 18 languages. Special attention is drawn to Mr. Morales, who speaks conversational Spanish.
- Updated the LEP Desk Manual with steps on how to access telephone interpretation services, *Over the Phone Interpretation*, in 150 languages, 24 hours a day, 7 days a week, 365 days a year.
- Maintained a multi-lingual translation and interpreter services contract.
- Updated the “En Espanol” webpage.
- Provided language translation and interpreter services to the public via telephone, in-person requests, Board meetings and outreach events in Spanish and other languages when requested.
- Provided relocation notices and forms in Spanish, Chinese and Hindi.

- Retained a Right of Way Relocation Agent consultant whose native language is Spanish. The public Spanish-speaking individuals impacted by the rail project have expressed their trust and confidence with the bilingual Agent to help understand the process and their rights.
- Operated a 24/7 multi-lingual hotline in English, Spanish and Hmong, for the Bakersfield to Palmdale Region.
- Completed document translation and interpretation assistance, including responding to requests for project information, for the Bakersfield to Palmdale Region.
- Operated a 24/7 multi-lingual hotline in English, Spanish and Hmong, for the Fresno to Bakersfield Region.
- Attended public participation events held in Fresno to provide Spanish and Hmong language assistance, for the Fresno to Bakersfield Region.
- Completed a media interview on October 29, 2013. The Central Valley Regional Director was interviewed on Univision, a Spanish television station, to provide an update on the high-speed rail project.
- Maintained a toll-free information line with options to record messages in Spanish, Chinese and Hmong, for the Merced to Fresno Region.
- Maintained a comprehensive list of Hmong organizations in California's Central Valley, for the Merced to Fresno Region.
- Responded to public requests for information in Spanish via phone, email and mail, for the Merced to Fresno Region.
- Provided certified language professionals during both SAA and Scoping meetings held in Southern California. Language assistance was provided in Spanish, East Armenian, Hmong, Mandarin, German, French, Italian, Tagalog, Vietnamese, Korean and Thai. Copies of fact and information sheets, and Title VI documents were available in Spanish.
- Retained on the Palmdale to Los Angeles team multiple Spanish-speaking and one Korean-speaking staff who provided invaluable language assistance at the majority of the outreach meetings.

### **Environmental Justice Accomplishments**

Environmental Justice is the fair treatment of people of all races, cultures, and income with respect to the development, adoption, implementation and enforcement of environmental laws and policies.

Implementation of environmental justice principles in how the Authority plans, designs, and delivers the high-speed rail projects means that the Authority recognizes the potential social and environmental impacts that project activities may have on certain segments of the public. The Authority recognizes how important provisions of existing environmental, civil rights, civil, and criminal laws may be used to help reduce environmental impact in all communities and environmental justice on the human element.

The Authority has included environmental justice considerations in its planning for the statewide high-speed rail system since 2000, when it commenced a programmatic environmental review process.

#### **The EJ accomplishments in FFY 2014 were:**

- Presented updates on the high-speed rail program at the Native American Advisory Committee meetings held in Woodland, California on October 23, 2013, March 12, 2014, May 29, 2014, and August 27, 2014, and provided fact sheets and maps to the participants.
- Met with Salon Juarez on October 9, 2013 and November 18, 2013.

- Presented at the California Regional Transportation Summit hosted by the Kern County Minority Contractors Association on January 10, 2014.
- Transmitted the Final Memorandum of Agreement and Draft Archaeological Treatment Plan for the Fresno to Bakersfield Region on February 13, 2014, for review and comment by the Section 106 Consulting Party Tribes including Santa Rosa Rancheria, Table Mountain Rancheria, Picayune Rancheria, Tule River Tribe, and Kern Valley Indian Council.
- Met with Consulting Party Tribes: Santa Rosa Rancheria, Table Mountain Rancheria; North Fork Rancheria, Picayune Rancheria, Tule River Tribe, California Valley Miwok Tribe and Chowchilla Tribe of Yokuts, on May 1, 2014, to discuss various employment/compensation options for tribal monitors and to assist tribes in completing appropriate paperwork for tribal monitoring employment of the Merced to Fresno Construction Project Section.
- Hosted a July 16, 2014, meeting with: Santa Rosa Rancheria, Table Mountain Rancheria, North Fork Rancheria, Picayune Rancheria, Chowchilla Tribe of Yokuts, Amah Mutsun Tribal Band, Big Sandy Rancheria, Cold Springs Rancheria, Southern Sierra Miwuk Nation, to solicit early input from the tribes in order to avoid and/or minimize effects to cultural resources for the Central Valley Wye portion of the project.
- Conducted a meeting and bus tour of Central Valley Wye on August 28, 2014, on the Wye alternatives in Merced and Madera counties. Maps and an itinerary of the various stops were provided. Solicited the tribes input regarding areas of sensitivity within the project alternatives. Participants at the meeting included: Santa Rosa Rancheria, Table Mountain Rancheria, North Fork Rancheria, Tule River Tribe, Chowchilla Tribe of Yokuts, Amah Matsun Tribal Band and Southern Sierra Miwuk Nation.
- Transmitted Final Archaeological Treatment Plan (ATP) and Final Built-Environment Treatment Plan to all Section 106 Consulting Party Tribes for the Fresno to Bakersfield Region on September 10, 2014. These final documents address the identification and treatment of cultural resources for the Fresno to Bakersfield Region and incorporate the review comments on the draft ATP/BETP received from the consulting parties. The Fresno to Bakersfield Region tribes included: Santa Rosa Rancheria, Table Mountain Rancheria, Picayune Rancheria, Tule River Tribe, Kern Valley Indian Council and Tejon Indian Tribe.
- Hosted a meeting on September 25, 2014, with the San Manuel Band of Mission Indians, Fernandeno Tataviam Band of Mission Indians, and Gabrieleno Band of Mission Indians on matters of cultural resources concerns for the Palmdale to Bakersfield and the Bakersfield to Los Angeles regions.
- Participated in a teleconference meeting with Pechanga Band of Luiseno Mission Indians to discuss cultural resources issues concerning the tribe on May 29, 2014, for the Los Angeles to San Diego Region.
- Refined and released the 2014 Supplemental Alternatives Analysis (SAA) Report in May 2014 and held five Open House Public Meetings throughout the SAA study corridors in May and June, for Palmdale to Los Angeles Region. Over 24,000 notifications of the meetings in both English and Spanish were mailed; and several hundred notices were disseminated to libraries, community and recreation centers and city and town halls.
- Participated in several outreach opportunities, in the Palmdale to Los Angeles Region, hosted by other organizations to provide informational materials on the high-speed rail project:
  - o La River National Resource Defense Council Working Group on August 12, 2014
  - o Sunland Tujunga Neighborhood Council on August 13, 2014
  - o Pacoima Neighborhood Council on August 20, 2014

- o Shadow Hills Property Owners Association on August 27, 2014
- o Sylmar Neighborhood Council on August 28, 2014
- Monitored an email address where stakeholders asked questions or provided comments regarding the rail project. The email address is: [palmdale\\_losangeles@hsr.ca.gov](mailto:palmdale_losangeles@hsr.ca.gov), for the Palmdale to Los Angeles Region.
- Held Public Scoping meetings in July 2014, after release of the Palmdale to Los Angeles Region NOP/NOI. Held seven meetings in August 2014 and notifications were distributed by mail in English and Spanish within 1,000 feet of the corridors, within the recently introduced alternative corridor study area and within 1/4 mile of station locations as identified in the 2014 SAA Report. Approximately 900 members of the public attended the Public Scoping meetings.
- Mailed invitational letters to all tribes in the Palmdale to Burbank and Burbank to Los Angeles Regions on August 26, 2014, to attend an Authority-hosted Tribal Information Meeting to discuss matters of cultural resources concerns.

## V. Title VI, LEP and EJ Training

The Title VI Coordinator will ensure Authority personnel obtain training on Title VI, LEP and EJ Guidance. The training component includes the salient points of the Title VI Program, LEP Program and EJ Guidance and how the three components provide Civil Rights and meaningful access to the public on the Authority's programs and services.

Seventy-three (73) Authority staff received Title VI, LEP and EJ Training in FFY 2014. A total of seven training sessions were conducted for new employees on: March 18, 2014, March 20, 2014, March 25, 2014, March 27, 2014, May 19, 2014, and August 27, 2014.

The Authority's Tribal Liaison attended two training seminars, *Tribal Sovereignty and Best Practices in Working with Tribal Governments* on May 23, 2014 and *Tribal Cultural Resources Sensitivity Training* on July 29, 2014 hosted by the Cal/EPA office in Sacramento.

## VI. Planned Activities in FFY 2015

The Authority takes serious its compliance with Title VI, LEP and EJ to ensure the public we serve are provided every opportunity to provide input, participate in and have the benefits of ridership on the high-speed rail train. The Authority recognizes FFY 2015 will result in greater public awareness of the high-speed rail project as it moves into construction in the Central Valley.

In FFY 2015, the Authority's planned activities, in compliance with Title VI, LEP and EJ are:

### Title VI Program

- Title VI Coordinator will continue to monitor services and activities to ensure compliance with Title VI and continue to develop preventative measures to assure excellent, nondiscriminatory services to the public.
- Title VI Project Management Team will continue to assist the Title VI Coordinator and provide technical assistance to Authority staff and the Regional Outreach Teams.
- Title VI Coordinator will conduct ongoing evaluation of Title VI procedures to identify areas to improve service delivery. Where weaknesses or deficiencies are found, mechanisms will be revised or developed to ensure Title VI compliance.
- Information Officers will schedule regular meetings with Regional Outreach Teams to ensure consistency in Title VI reporting, share best practices and develop common goals for public involvement.

- Title VI Coordinator will enhance and update the Title VI website to post revised or new information and forms for public review, education and comment.
- New Authority staff will attend Title VI, LEP and EJ training.
- Small Business Program Team will monitor contractors/consultants compliance with Title VI requirements.
- Office of Procurements and Contracts will facilitate Pre-Bid contract conferences.
- Community Benefits Agreement and National Targeted Hiring Initiative will be monitored for compliance.
- Contract Compliance Division will complete the Labor Compliance Review Manual and complete weekly Certified Payroll Record compliance reviews
- Contract Compliance Division will conduct approximately 100 construction on-site employee interviews.
- Contract Compliance Division will conduct 10 job readiness outreach activities.
- Contract Compliance Division will host 12 Small Business outreach activities.
- Right of Way Division will continue to follow the planned activities of appraisals, acquisition, relocation and condemnation. The goal is to acquire 383 +/- approved parcels for the high-speed rail program. Acquisition activities will begin when the Authority receives the necessary environmental clearances and parcels specific site selection approval.
- Right of Way Division will ensure the public receives right-of-way information in a language and linguistic level understood by the parcel owners.
- Bakersfield to Palmdale Region will update its website with the most current available project information, including public meeting notices, presentations, and project documents.
- Bakersfield to Palmdale Region will continue to track and respond to public information requests, questions and comments received via telephone, email, or through the project website and provide responses to requests in a timely manner and ensure at least initial follow-up within 10 days of receipt of the request.
- Fresno to Bakersfield Region will track stakeholder/public requests for project information and respond appropriately.
- Merced to Fresno Region will continue to prepare and distribute information and educational materials (newsletters, fact sheets, and visualizations) on the rail project.
- Merced to Fresno Region will use multiple news media outlets and techniques to engage a broad audience of non-traditional stakeholders and build awareness on the project status. Materials will primarily be distributed through electronic means.
- Los Angeles to Anaheim Region and Palmdale to Los Angeles Region will continue to create Fact Sheets to provide an overview/update on the project. The Fact Sheets will be distributed at community meetings, presentations and at stakeholder meetings/briefings. The Fact Sheets may include a perforated contact card to be mailed or handed back for inclusion in the project database.
- Los Angeles to Anaheim Region and Palmdale to Los Angeles Region will use digital outreach as a community engagement to broaden the project's audience, as it makes it convenient to stay up-to-date on statewide and section-specific information. The digital platforms will include Facebook, Twitter, YouTube channels and eblasts.

- Los Angeles to San Diego Region through the Inland Empire Section will conduct Technical Working Group meetings with technical representatives from stakeholder cities and agencies. This Region will also continue ongoing meetings with stakeholders.
- Palmdale to Los Angeles Region will update its newsletter and disseminate to the newsletter network organizations: community and environmental groups, chambers of commerce, elected officials, homeowners associations and cities.
- Palmdale to Los Angeles Region will continue to schedule and facilitate meetings with elected officials and staff, corridor cities, technical working groups, agencies, stakeholder working groups, virtual meetings, and community open houses to disseminate information to section-specific stakeholders.

#### **Limited English Proficiency Plan**

- Title VI Project Management Team will update its master list of bilingual volunteers.
- Title VI Project Management Team will monitor the submission of LEP Reporting forms to ensure language assistance services are documented.
- Bakersfield to Palmdale Region will provide interpreter and translation services at public meetings.
- Bakersfield to Palmdale Region will provide Fact Sheets in Spanish.
- Bakersfield to Palmdale Region will develop Frequently Asked Questions in Spanish.
- Bakersfield to Palmdale Region and Fresno to Bakersfield Region will maintain a hotline for non-English speaking individuals/organizations to gain information and/or ask questions regarding the project.
- Fresno to Bakersfield Region upon the next major milestone, will partner with the Hmong TV for an interview opportunity.
- Merced to Fresno Region will continue to work with professional or certified translators to create multi-language materials to provide project updates on current and future events.

#### **Environmental Justice**

- Environmental Planning Division will host Tribal informational meetings with Federally recognized, and non-Federally recognized Tribes, at key milestones in the process to provide regular project updates. The key milestones in the project planning process in which Tribal consultations will occur include: (1) during scoping and process of identifying the range of alternatives; (2) during the historic property identification effort; (3) during the assessment of effects to historic properties; (4) during the development of mitigation for the resolution of adverse effects; and (5) during the implementation of treatment plans to resolve adverse effects.
- Cultural Resource Specialist will continue to provide informational updates for all tribes via meetings and update letters. There will be general outreach to tribes on a system-wide basis to foster awareness, encourage participation and lay the groundwork for more meaningful consultations on specific rail sections.
- Cultural Resource Specialist will continue ongoing consultations with representatives from the Central Valley Yokuts Tribes to review high-speed rail project maps and identify culturally sensitive areas to be considered during the environmental review.
- Bakersfield to Palmdale Region will meet with staff from the cities of Lancaster and Palmdale regarding requested alignments to minimize impacts to EJ populations along the corridor.



- Bakersfield to Palmdale Region will host public outreach meetings in 2015 to support the release of the Region's Supplemental Alternatives Analysis. The Region will conduct a thorough EJ outreach program to ensure impacted communities are involved in the process.
- Bakersfield to Palmdale Region will host project update webinars to a wide audience.
- Bakersfield to Palmdale Region will participate in three Draft EIR/EIS public hearings to be held in the communities of Bakersfield/Edison, Tehachapi, and Lancaster/Palmdale.
- Merced to Fresno Region will conduct a series of meetings with minority communities (Latino, Hmong) living along the alignment, to educate the residents on the impacts of the project and gather input on additional recourses needed by these communities to mitigate adverse impacts.
- Merced to Fresno Region will hold public workshops for the City of Merced Station Area Planning and Design, City of Fresno Station Area Planning and Design, and up to two visual design guidelines or other mitigation options workshops (one in an urban area and one in a rural area), following certification of the FEIR/EIS.
- Merced to Fresno Region will maintain contact with key general and business media regarding project status, including ethnic media contacts, and community organizations such as chambers of commerce.
- Fresno to Bakersfield Region will participate in up to five community workshops to be held prior to or shortly after the release of the Revised Draft EIR/Supplemental Draft EIS.
- Fresno to Bakersfield Region will participate in three public hearings on the Revised Draft EIR/Supplemental Draft EIS.
- Fresno to Bakersfield Region will continue to participate in community events.
- Fresno to Bakersfield Region will host a series of business workshops with the Kern County Black Chamber of Commerce.
- Fresno to Bakersfield Region will continue to respond to requests for meetings and to expand their network and data base of community leaders and organization that represent and service EJ and LEP communities.
- Fresno to Bakersfield Region will schedule up to 15 working group meetings and up to 200 briefings with special interest groups, such as County Farm Bureau and or local chambers of commerce.
- Fresno to Bakersfield Region will attend and host an informational booth at up to 24 local community events and county fairs.
- Fresno to Bakersfield Region will continue the dialogue with Salon Juarez as needed.
- Los Angeles to Anaheim Region and Palmdale to Los Angeles Region will participate in planned community events and provide project information via an information booth. These events provide the opportunity to increase the visibility of the project and allow for greater community participation during the environmental review process.
- Los Angeles to Anaheim Region and Palmdale to Los Angeles Region will participate in scheduled community-based and business organization meetings such as local chambers of commerce, neighborhood councils (specific to the City of Los Angeles) and homeowners associations to provide the opportunity to engage a broad audience to participate in the environmental review process and provide project updates.

- Los Angeles to Anaheim Region will focus particular attention on meeting with EJ groups throughout the corridor to gather their comments, issues and respond to their questions about the project.
- Los Angeles to San Diego Region will record public comments and correspondence. The Region will follow established protocol for recording and maintaining public information.
- Los Angeles to San Diego Region will participate in up to 12 events with community and professional organizations.
- Los Angeles to Anaheim Region will hold Community Open Houses to inform stakeholders of the alternative alignments and station options studied and advanced. Community Open Houses will provide opportunities for the general public to participate in the environmental review process.
- Palmdale to Los Angeles Region will develop a virtual platform to host community meetings online. The virtual meetings will allow stakeholders throughout the corridor and Region the opportunity to participate in the environmental review process without having to attend an in-person meeting, thereby allowing a broader base of stakeholders to participate who might otherwise not attend due to time constraints, childcare, health, etc. A documentation report will immediately be generated demonstrating interaction with stakeholders, their questions, comments and participation from the virtual meetings.
- San Jose to Merced Region will hold public scoping meeting for the Gilroy area to inform stakeholders of the alternative alignments and station options studied and advanced.

Questions regarding this report may be addressed to Dennis Trujillo, Chief Deputy Director and Interim Title VI Coordinator, California High-Speed Rail Authority at (916) 324-1541 or at [TitleVICoordinator@hsr.ca.gov](mailto:TitleVICoordinator@hsr.ca.gov).

## **ATTACHMENTS A-F**

- Attachment A – Letter of Assurance of Nondiscrimination
- Attachment B – Board of Directors
- Attachment C – California High-Speed Rail Authority Executive Organizational Chart
- Attachment D – Regional Directors and Regions
- Attachment E – Title VI Complaint Log
- Attachment F – Community Outreach Tallies



## CALIFORNIA High-Speed Rail Authority

### ANNUAL TITLE VI ASSURANCE AND CERTIFICATION OF NONDISCRIMINATION IN SERVICES AND ACTIVITIES

The California High-Speed Rail Authority (Authority), under Title VI of the Civil Rights Act of 1964 and related statutes, and 49 Code of Federal Regulation (CFR) Section 21.7, ensures that no person in the State of California, shall on the grounds of race, color, national origin, age, sex, or disability be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program, service or activity it administers.

The Authority agrees to comply with all federal statutes and regulations, and follow applicable federal directives, and comply with certifications and assurances as applicable to each grant Application submission to the Federal Railroad Administration (FRA) during Federal Fiscal Year 2014.

The Authority affirms the truthfulness and accuracy of the certifications and assurances it has made in statements submitted herein and with the submittal of the Title VI Program Plan. The Authority further acknowledges that the Program Fraud Civil Remedies Act of 1986, 31 United States Code 3801 et seq., and implementing U.S. Department of Transportation regulations, *Program Fraud Civil Remedies*, 49 CFR Part 31, apply to any certification, assurance or submission for grants made to FRA.

By signing this document on behalf of the Authority, I declare assurance of compliance with Title VI of the Civil Rights Act of 1964 and related statutes.

Handwritten signature of Jeff Morales in blue ink.

JEFF MORALES  
Chief Executive Officer

Handwritten date 8-14-13 in blue ink.

Date

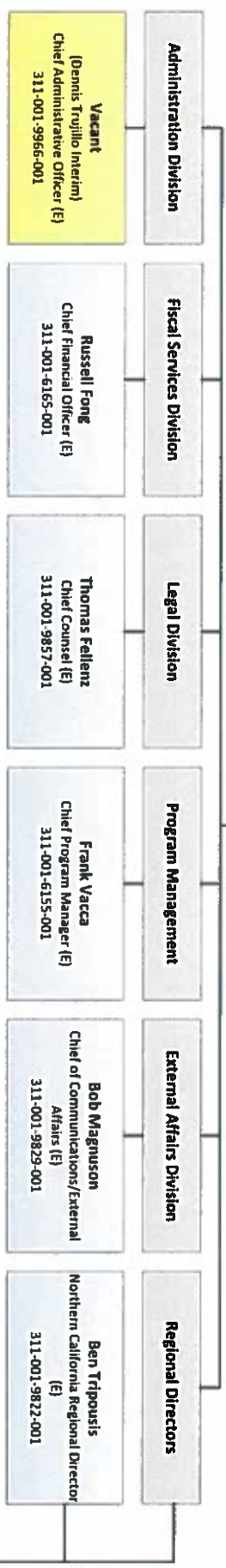
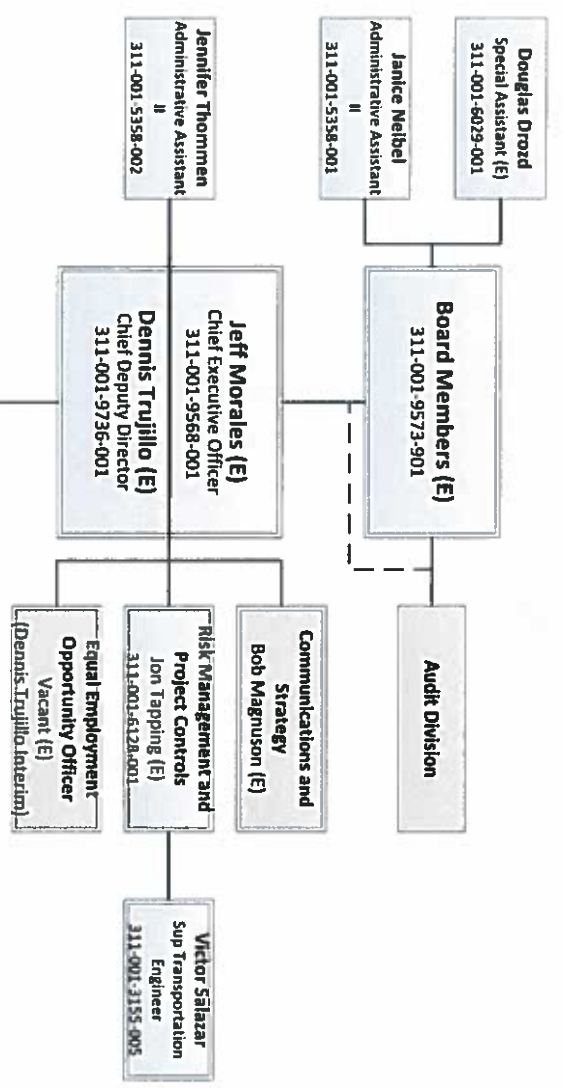


## Board of Directors

The Authority is composed of a nine-member Board of Directors that oversees planning, construction and operation of the nation's first high-speed rail system. Five (5) members are appointed by the California Governor; two (2) are appointed by the California Senate Rules Committee and two (2) are appointed by the California Speaker of the Assembly. As of September 30, 2013, the members of the Board are:

- 1. Dan Richard, Chairperson** - appointed by the Governor. Mr. Richard, has been a principal of Dan Richard Advisors since 2010. Mr. Richard was managing partner and co-founder of Heritage Oak Capital Partners, an infrastructure finance firm, from 2007 to 2009 and was Senior Vice-President of Public Policy and Governmental Relations at Pacific Gas and Electric Company from 1997 to 2006. He was an elected member of the San Francisco Bay Area Rapid Transit District from 1992 to 2004, where he served twice as President of the Board.
- 2. Thomas Richards, Vice-Chairperson** - appointed by the Governor. Mr. Richards is Chair and CEO of The Penstar Group, a Fresno-based real estate investment, development and construction company. Mr. Richards projects have extended from Santa Barbara to the Central Valley, from Sacramento to Bakersfield and in the Inland Empire from Corona to Victorville. Mr. Richards works with local government leaders to address homelessness issues in both the City and County of Fresno.
- 3. Jim Hartnett, Vice-Chairperson** - appointed by the Senate Rules Committee. Mr. Hartnett is a partner in the Redwood City law firm of Hartnett, Smith & Paetkau. As a former Redwood City mayor and former four-term council member, Mr. Hartnett is the past chairman of the San Mateo County Transit District Board of Directors, CalTrain Joint Powers Board of Directors (San Francisco, San Mateo and Santa Clara counties), Dumbarton Rail Policy Committee (Alameda, Santa Clara and San Mateo counties) and the City/County Association of Governments (San Mateo County).
- 4. Lynn Schenk, Board Member** - appointed by the Governor. Ms. Schenk is an attorney and senior corporate advisor. She serves on the Board of Directors of Cambridge, Mass. based Biogen Idec, (NASDAQ BIIB), is a Board of Trustees of the Scripps Research Institute, and the Board of the San Diego Consortium for Regenerative Medicine.
- 5. Richard Frank, Board Member** - appointed by the Senate Rules Committee. Mr. Frank is a Professor of Environmental Practice at the University of California at Davis School of Law and Director of the California Environmental Law and Policy Center (CELPC). In addition to leading the CELPC, he teaches classroom courses in the environmental law curriculum. Prior to this position, Mr. Frank was Executive Director of the Center for Law, Energy, and the Environment at the University of Berkeley School of Law. Mr. Frank practiced law with federal and state agencies for 32 years, most of the time with the California Department of Justice. Before joining Berkeley Law, he served as California's Chief Deputy Attorney General for Legal Affairs.

- 6. Patrick W. Henning, Sr., Board Member** - appointed by the Speaker of the Assembly. Mr. Henning was the Director of the Employment Development Department (EED) before his retirement in 2010. Prior to his appointment to EDD by Governor Arnold Schwarzenegger, Mr. Henning was the key labor and employment policy committee consultant in the California Legislature for 17 years, first in the Assembly and then later in the Senate. Mr. Henning also served as Labor Commissioner, the State's Chief Law Enforcement Officer for the then Governor Edmund G. Brown, Jr. He was a member of the State Commission on the Status of Women and was appointed as a member of the Agricultural Labor Relations Board. As a labor representative in the 1970s, Mr. Henning represented workers on behalf of three labor organizations: the Service Employees International Union, the Hotel and Restaurant Employees and Bartenders Union in Los Angeles, and the International Union of Operating Engineers, Local 12 in Southern California.
- 7. Katherine Perez-Estolano, Board Member** - appointed by the Governor. Ms. Perez-Estolano is co-founder of Estolano LeSar Perez (ELP) Advisors LLC, a firm that works with cities, agencies, stakeholders, foundations and business groups to craft strategies and environmentally-friendly solutions to create thriving, healthy, vibrant communities that feature strong economic development and transportation policies. Ms. Perez-Estolano is also an Adjunct Professor at the University of Southern California School of Policy, Planning and Development. Prior to co-founding ELP Advisors, Ms. Perez-Estolano served as the Executive Director of the Urban Land Institute, Los Angeles District Council. She was Vice-President of Development for Forest City Development and Executive Director for the Transportation and Land Use Collaborative of Southern California. She also worked on transportation, planning and Latino constituent issues for Pasadena Mayor William Bogaard and served as the city of South Pasadena's Transportation Manager.
- 8. Michael E. Rossi, Board Member** - appointed by the Governor. Mr. Rossi, is the Senior Advisor for Jobs and Business Development in the Office of the Governor. In this role, Mr. Rossi will be the point of contact between California's business and workforce leaders and the Office of the Governor. Mr. Rossi currently serves on the Advisory Board of Shorenstein Properties LLC, the Court Appointed Special Advocates of Monterey County, Special Olympics Committee of Northern California and Claremont Graduate University. Mr. Rossi is a former Director of North Hawaii Community Hospital, BAWAG Bank (Austria), Pulte Homes, American Bankers Association, Monterey Institute of International Studies, American Graduate School of International Management, University of California at Berkeley Art Museum, Del Webb Corporation, BlueLinx Corporation, San Francisco Opera, National Urban League, Union Pacific Resources, Lifesavers, American Diabetes Association of California and United Way of Northern California.
- 9. Thea Selby, Board Member** - appointed by the Speaker of the Assembly. Ms. Selby is currently a principal at Next Steps Marketing in the San Francisco Bay area. She also serves as Executive Committee Member and Board Member with the San Francisco Transit riders Union and as an Advisory Board Member of of the Californians for High-Speed Rail. She was a Content Chair of Exceptional Women in Publishing's 2014 Women's Leadership Conferences and is a co-founder of the Lower Haight Merchant + Neighbor Association. She has been active in Bay Area political and advocacy for many years, with a special emphasis on social and community issues.



**Legend**

Filled Positions: 148  
 Vacant Positions: 26  
 Total Positions: 174

Blanket Positions: 20

**Vacant**  
**Filled**  
**Future**  
**RA**  
**On-Loan**

Revised: 9/2/2014

Approved By: \_\_\_\_\_ Date: \_\_\_\_\_



## Regional Directors

Diana Gomez  
Central California Regional Director  
Fresno to Bakersfield, Merced to Fresno  
(559) 801-1164  
[Diana.gomez@hsr.ca.gov](mailto:Diana.gomez@hsr.ca.gov)

Ben Tripousis, Northern California Regional Director  
Merced to Sacramento, San Jose to Merced  
(408) 477-5631  
[Ben.Tripousis@hsr.ca.gov](mailto:Ben.Tripousis@hsr.ca.gov)

Michelle Boehm, Southern California Regional Director  
Bakersfield to Palmdale, Palmdale to Los Angeles, Los Angeles to Anaheim, Los Angeles to San Diego  
(213) 308-4507  
[Michelle.Boehm@hsr.ca.gov](mailto:Michelle.Boehm@hsr.ca.gov)



## Title VI Complaint Log

Date	DOT No.	Summary	Status	Actions Taken
6/5/14		A lawsuit was filed against the Authority that included an allegation of violation of Title VI and Environmental Justice. The lawsuit alleges the Final Environmental Impact Report on the 114-mile section between Fresno to Bakersfield creates disproportionate impact to minority and low-income populations.	The FRA has been made aware of the lawsuit.	The Authority is preparing its response to the allegations in the lawsuit
7/22/13	<b>2013-0020</b>	FRA received a number of complaints alleging the Authority and FRA violated Title VI, NEPA EJ and LEP in the review and comment period for the Fresno to Bakersfield Revised Draft Environmental Impact Statement.	Final Decision	FRA determined complaint did not provide evidence to establish a non-compliance with Title VI, LEP and EJ.
10/10/12	<b>2012</b>	The Authority and the FRA violated Title VI, NEPA, EJ and LEP in the review and comment period for the Fresno to Bakersfield Revised Draft Environmental Impact Statement.	Pending	FRA to Investigate
1/14/13	<b>Internal</b>	Title VI Discrimination – Basis Sex Prompt payment violations	Determined non-jurisdiction	Authority referred Complainant to California Victim Compensation and Government Claims Board



**ANNUAL COMMUNITY OUTREACH TALLY**  
**SUMMARY OF PUBLIC PARTICIPATION COMMUNITY EVENTS FOR FEDERAL FISCAL YEAR 2014**

Region	Date of Events	Type of Event: (Informational, Hearing (public input), Open House, Workshop, Other	Facility Name/ Location of Event	Facility ADA Accessible? Yes/No	Purpose of Meetings	Interpreters and/or Alternate Formats? Yes/No If Yes, in What Language and/or Format?	Translation of Written Materials? Yes/No If Yes, in What Language(s)?	Ethnicity of Public Participants: (# Hispanic or Latino, # Not Hispanic or Latino)	Demographics of Public Participants: Gender/Race/Age/ Disability/Income Level; American Indian or Alaska Native, Asian, Black or African American, Native Hawaiian or Other Pacific Islander, White	Demographic Method Used	Number of Attendees	Outreach Methods
HQ	10/14/13	Board Meeting	Metro Board Room Los Angeles	Yes	Information	Yes - Spanish		17% Hispanic; 83% Non-Hispanic	76% male, 24% female; 87% White, 8% African American, 5% Asian	Visual Tally	44	HSR website
HQ	11/7/13	Board Meeting	Sacramento City Hall	Yes	Information	Yes - Spanish		25% Hispanic; 75% Non-Hispanic	70% male, 30% female; 70% over 40, 30% under 40 75% White, 15% African American; 10% Asian	Visual Tally	72	HSR website
HQ	12/5/13	Board Meeting	Sacramento City Hall	Yes	Information	Yes - Spanish		18% Hispanic; 82% Non-Hispanic	79% male, 21% female; 82% white, 8% African American, 10% Asian	Visual Tally	37	HSR website
HQ	1/14/14	Board Meeting	Secretary of State Auditorium Sacramento	Yes	Information	Yes - Spanish			75% male, 25% female; 90% over 40, 10% under 40; 85% white, 7% Asian, 8% African American	Visual Tally	22	HSR website
HQ	2/11/14	Board Meeting	California Department of Health Services Sacramento	Yes	Information	Yes - Spanish		15% Hispanic, 85% Non-Hispanic	65% male, 35% female; 50% over 40, 50% under 40; 85% White, 5% Asian, 5% Pacific Islander, 5% Black	Visual Tally	56	HSR website
HQ	3/11/14	Board Meeting	Secretary of State Auditorium Sacramento	Yes	Information	Yes - Spanish		13% Hispanic, 87% Non-Hispanic	84% male, 16% female; 92% over 40, 8% under 40; 97% White, 3% Black	Visual Tally	63	HSR website



**CALIFORNIA**  
High-Speed Rail Authority

**ANNUAL COMMUNITY OUTREACH TALLY**

**SUMMARY OF PUBLIC PARTICIPATION COMMUNITY EVENTS FOR FEDERAL FISCAL YEAR 2014 (cont'd)**

Region	Date of Events	Type of Event: (Informational, Hearing (public input), Open House, Workshop, Other	Facility Name/ Location of Event	Facility ADA Accessible? Yes/No	Purpose of Meetings	Interpreters and/or Alternate Formats? Yes/No If Yes, in What Language and/or Format?	Translation of Written Materials? Yes/No If Yes, in What Language(s)?	Ethnicity of Public Participants: (# Hispanic or Latino, # Not Hispanic or Latino)	Demographics of Public Participants: Gender/Race/Age/ Disability/Income Level; American Indian or Alaska Native, Asian, Black or African American, Native Hawaiian or Other Pacific Islander, White	Demographic Method Used	Number of Attendees	Outreach Methods
HQ	3/11/14	Board Meeting	Secretary of State Auditorium Sacramento	Yes	Information	Yes - Spanish		13% Hispanic, 87% Non-Hispanic	84% male, 16% female; 92% over 40, 8% under 40; 97% White, 3 % Black	Visual Tally	63	HSR website
HQ	4/10/14	Board Meeting	Sacramento City Hall	Yes	Information	Yes – Spanish Hmong		16% Hispanic, 84% Non-Hispanic	77% male, 23% female; 75% over 40, 25% under 40; 74% White, 3 % Native Am 16% Asian, 7% Black	Visual Tally	61	HSR website
HQ	5/6/14	Board Meeting	Fresno City Council Chambers	Yes	Information	Yes - Spanish		31% Hispanic, 69 % Non-Hispanic	56% male, 44% female; 37% over 40, 63% under 40; 74% White, 8% Black, 11% Asian, ,7% Native Hawaiian	Visual Tally	160	HSR website
HQ	6/3/14	Board Meeting	Sacramento Council Chambers	Yes	Information	Yes - Spanish	No	29% Hispanic, 71 % Non-Hispanic	64% male, 36 female; 64% over 40, 36 under 40; 55% White, 18% Asian, 18 % African Am, 14% Native Hawaiian	Visual Tally	56	HSR website



### ANNUAL COMMUNITY OUTREACH TALLY

#### SUMMARY OF PUBLIC PARTICIPATION COMMUNITY EVENTS FOR FEDERAL FISCAL YEAR 2014 (cont'd)

Region	Date of Event	Type of Event: (Informational, Hearing (public input), Open House, Workshop, Other	Facility Name/ Location of Event	Facility ADA Accessible? Yes/No	Purpose of Meetings	Interpreters and/or Alternate Formats? Yes/No If Yes, in What Language and/or Format?	Translation of Written Materials? Yes/No If Yes, in What Language(s)?	Ethnicity of Public Participants: (# Hispanic or Latino, # Not Hispanic or Latino)	Demographics of Public Participants: Gender/Race/Age/ Disability/Income Level; American Indian or Alaska Native, Asian, Black or African American, Native Hawaiian or Other Pacific Islander, White	Demographic Method Used	Number of Attendees	Outreach Methods
HQ	8/12/14	Board Meeting	Sacramento City Council Chambers	Yes	Information	Yes - Spanish	No	22% Hispanic, 78% Non-Hispanic	59% male, 41% female; 65% white, 17% Asian, 11% Black, 6% Nat Hawaiian/PI	Visual Tally	90	HSR website
HQ	9/16/14	Board Meeting	Palmdale City Council Chambers	Yes	Information	Yes - Spanish	No	30% Hispanic, 70% Non-Hispanic	60% male, 40% female; 70% over 40, 30% under 40, 65% white, 10% Black, 10% Native Hawaiian 5% American Indian	Visual Tally	150	HSR website
P-LA	5/20/14	Supplemental Alternative Analysis (SAA)/Open House	San Fernando Regional Pool Facility	Yes	Informational	Yes – Spanish, Mandarin, East Armenian	Yes – Spanish	46% Hispanic 54% Non-Hispanic	73% Male, 27% Female 30% under 40, 70 % over 40 11.5% Asian, 88% White	Visual Tally	26	HSR website, mailed notices to residents, local newspapers, flyers
P-LA	5/21/14	SAA Open House	Los Angeles Union Station	Yes	Informational	Yes – Spanish, East Armenian	Yes – Spanish	17% Hispanic 83% Non-Hispanic	51% Male, 49% Female 54% Under 40, 46% over 40 68% White, 14% Asian, 15% African American, 1% Am Indian	Visual Tally	92	HSR website, mailed notices to residents, local newspapers, flyers



**CALIFORNIA**  
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**ANNUAL COMMUNITY OUTREACH TALLY**

**SUMMARY OF PUBLIC PARTICIPATION COMMUNITY EVENTS FOR FEDERAL FISCAL YEAR 2014 (cont'd)**

Region	Date of Event	Type of Event: (Informational, Hearing (public input), Open House, Workshop, Other	Facility Name/ Location of Event	Facility ADA Accessible? Yes/No	Purpose of Meetings	Interpreters and/or Alternate Formats? Yes/No If Yes, in What Language and/or Format?	Translation of Written Materials? Yes/No If Yes, in What Language(s)?	Ethnicity of Public Participants: (# Hispanic or Latino, # Not Hispanic or Latino)	Demographics of Public Participants: Gender/Race/Age/ Disability/Income Level; American Indian or Alaska Native, Asian, Black or African American, Native Hawaiian or Other Pacific Islander, White	Demographic Method Used	Number of Attendees	Outreach Methods
P-LA	5/28/14	SAA Open House	Burbank Holiday Inn	Yes	Informational	Yes – Spanish	Yes – Spanish	15% Hispanic, 85% Non-Hispanic	70% Male, 30% Female 15% Under 40, 85% over 40, 85% White, 3% Asian, 12% Black	Visual Tally	72	HSR website, mailed notices to residents, local newspapers, flyers
P-LA	5/29/14	SAA Open House	Chimbole Cultural Center, Palmdale	Yes	Informational	Yes – Spanish	Yes – Spanish	13% Hispanic 87 Non-Hispanic	65% Male, 35% Female, 7 Under 40, 88 Over 40 57 White, 27 Black, 2 Asian	Visual Tally	94	HSR website, mailed notices to residents, local newspapers, flyers
P-LA	8/5/14	Informational	William S. Hart Regional Park, Newhall	Yes	Public Scoping	Yes, Spanish	Yes – Spanish	3% Hispanic 97% Non-Hispanic	60% Male, 40% Female, 100% over 40 96% White, 2% Asian, 2% Black	Visual Tally	73	HSR website, mailed notices to residents, local newspapers, flyers
P-LA	8/6/14	Informational	Buena Vista Public Library	Yes	Public Scoping	Yes, Spanish German, French, Italian	Yes - Spanish	5% Hispanic 95% Non-Hispanic	60% Male, 40 %Female; 60% under 40, 40% over 40; 90% White, 5% Asian, 5% Black, 1% Disabled	Visual Tally	110	HSR website, mailed notices to residents, local newspapers, flyers
P-LA	8/7/14	Informational	Chimbole Cultural Center, Palmdale	Yes	Public Scoping	Yes, Spanish, Korean	Yes – Spanish	30% Hispanic, 70% Non-Hispanic	50% Male, 50% Female; 20% under 40, 80% over 40; 90% White, 1% Asian, 8% Black. 1% Am Indian	Visual Tally	80	HSR website, mailed notices to residents, local newspapers, flyers
P-LA	8/11/14	Informational	Acton/Aqua Dulce Library	Yes	Public Scoping	Yes - Spanish	No	2% Non-Hispanic, 98% Non-Hispanic	50% Male, 50% Female, 2% under 40, 98% over 40, 98% White, 1% Black, 1% Asian	Visual Tally	300	HSR website, mailed notices to residents, local newspapers, flyers
P-LA	8/12/14	Informational	Sylmar Public Library	Yes	Public Scoping	Yes – Spanish, Thai	No	10% Hispanic 90% Non-Hispanic	55% Male, 45% Female 28% Under 40, 71% Over 40 90% White, 6% African- American, 2% Asian	Visual Tally	68	HSR website, mailed notices to residents, local newspapers, flyers



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**ANNUAL COMMUNITY OUTREACH TALLY**

**SUMMARY OF PUBLIC PARTICIPATION COMMUNITY EVENTS FOR FEDERAL FISCAL YEAR 2014 (cont'd)**

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P-LA	8/14/14	Informational	Lake View Terrance Recreation Center	Yes	Public Scoping	Yes - Spanish	No	4% Hispanic, 96% Non-Hispanic	43% Male, 57% Female; 10% Under 40, 90% Over 40; 90% White, 5 % Asian, 4% Black, 1% Am Indian	Visual Tally	165	HSR website, mailed notices to residents, local newspapers, flyers
P-LA	8/19/14	Informational	Los Angeles Union Station	Yes	Public Scoping	Yes – Spanish, Mandarin, Tagalog, Vietnamese	No	20% Hispanic 80% Non-Hispanic	60% Male, 40% Female; 40% under 40, 60% over 40 78% White, 15% Asian, 5% Black, 2% Native Hawaiian, 2% Disabled	Visual Tally	125	HSR website, mailed notices to residents, local newspapers, flyers
Central Valley	5/28/14	Open House	Madera Community College	Yes	Open House	Yes - Spanish, Hmong	Yes – Spanish	7 Hispanic 17 Non-Hispanic	19 Male, 6 Female; 5 Under 40, 20 over 40; 23 White, 1 Asian, 1 Black 1 Disabled	Participants self-identified	25	HSR website, Flyers, email blasts, mailers, newspapers
Fresno	7/1/14	Open House	Jane Addams Elementary, Fresno	Yes	Open House	Yes - Spanish, Hmong	Yes, Spanish, Hmong	34 Hispanic, 48 Non-Hispanic	43 Male, 39 Female; 34 under 40, 48 over 40; 64 White, 12 Black, 5 Asian, 1 American Indian, 1 Disabled	Participants self-identified	82	HSR website, mailed flyers, email blast
Sacramento	10/28/13	Pre-Bid Forum	Secretary of State Auditorium	Yes	Small Business Outreach CP 2/3 Contract	Yes - Spanish	No	15% Hispanic 85% Non-Hispanic	80% Male, 20% Female, 50% under 40, 50% over 40, 85% White, 10% Asian, 5% Black, 5% disabled	Visual Tally	120	HSR Website, flyers
Fresno	5/1/14	Tribal Monitoring Meeting		Yes	Employment/ Compensation options for Tribal Monitoring	No	No	8 Non-Hispanic	4 Male, 4 Female, 6 under 40, 2 over 40, 8 American Indian	Visual Tally	8	Email, letter



### ANNUAL COMMUNITY OUTREACH TALLY

#### SUMMARY OF PUBLIC PARTICIPATION COMMUNITY EVENTS FOR FEDERAL FISCAL YEAR 2014 (con'd)

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Central Valley	7/16/14	Informational	Fresno	Yes	Central Valley Wye cultural concerns	No	No	12 Non-Hispanic	6 Male, 6 Female, 7 under 40, 5 over 40, 12 American Indian	Visual Tally	12	Email, letter
Central Valley	8/7/14	Informational	Tribal Office in Santa Rosa	Yes	Discuss cultural resources in F-B section	No	No	4 Non-Hispanic	1 Male, 3 Female, 2 under 40, 2 over 40, 4 American Indian	Visual Tally	4	Email, letter
Central Valley	8/28/14	Informational	Chowchilla/B us Tour	Yes	Wye alternatives in Merced/Madera Cities	No	No	10 Non-Hispanic	5 Male, 5 Female, 7 under 40, 7 over 40, 10 American Indian	Visual Tally	10	Invitational letter
Central Valley	9/6/14	Informational	Fresno	Yes	Workshop	No	No	36 Hispanic 83 Non-Hispanic	92 Male, 27 Female, 68 under 40, 51 over 40, 67 White, 47 Black, 4 Asian, 1 American Indian	Visual Tally	13	Email, flyers, eblasts
Palmdale to Burbank  Burbank to Los Angeles Regions	9/25/14	Informational	Sylmar	Yes	Rail Update and cultural resources	No	No	13 Non-Hispanic	9 Male, 4 Female, 11 under 40, 2 over 40, 13 American Indian	Visual Tally	13	Email