



U.S. Department  
of Transportation  
**Federal Railroad  
Administration**

## Grant/Cooperative Agreement

1. RECIPIENT NAME AND ADDRESS California High-Speed Rail Authority 925 L St Ste 1425 Sacramento, CA 95814-3704		2. AGREEMENT NUMBER: FR-HSR-0009-10-01-04		3. AMENDMENT NO. 4	
		4. PROJECT PERFORMANCE PERIOD: FROM 08/17/2010 TO 09/30/2017			
		5. FEDERAL FUNDING PERIOD: FROM 08/17/2010 TO 09/30/2017			
1A. IRS/VENDOR NO.		6. ACTION Extension With or Without Funds			
1B. DUNS NO. [REDACTED]					
7. CFDA#: [REDACTED]		9. TOTAL OF PREVIOUS AGREEMENT AND ALL AMENDMENTS		2,552,556,231.00	
8. PROJECT TITLE California High-Speed Train Program ARRA Grant		10. AMOUNT OF THIS AGREEMENT OR AMENDMENT		0.00	
		11. TOTAL AGREEMENT AMOUNT		2,552,556,231.00	
12. INCORPORATED ATTACHMENTS THIS AGREEMENT INCLUDES THE FOLLOWING ATTACHMENTS, INCORPORATED HEREIN AND MADE A PART HEREOF: The terms of this amendment are covered in Attachment 1					
13. STATUTORY AUTHORITY FOR GRANT/ COOPERATIVE AGREEMENT American Recovery and Reinvestment Act of 2009, Public Law 111-5 (February 17, 2009)					
14. REMARKS					
<b>GRANTEE ACCEPTANCE</b>			<b>AGENCY APPROVAL</b>		
15. NAME AND TITLE OF AUTHORIZED GRANTEE OFFICIAL Mr. Thomas C Fellenz			17. NAME AND TITLE OF AUTHORIZED FRA OFFICIAL Ms. Gina Matrassi-ao		
16. SIGNATURE OF AUTHORIZED GRANTEE OFFICIAL Electronically Signed		16A. DATE 04/16/2012	18. SIGNATURE OF AUTHORIZED FRA OFFICIAL Electronically Signed		18A. DATE 04/16/2012
<b>AGENCY USE ONLY</b>					
19. OBJECT CLASS CODE: 41010			20. ORGANIZATION CODE: 9013000000		
21. ACCOUNTING CLASSIFICATION CODES					
DOCUMENT NUMBER	FUND	BY	BPAC	AMOUNT	
FR-HSR-0009-10-01-00	2709120718	2010	91010029Y0	0.00	
FR-HSR-0009-10-01-00	2709120718	2011	91010029Y0	0.00	

# AWARD ATTACHMENTS

California High-Speed Rail Authority

FR-HSR-0009-10-01-04

---

1. Attachment 1

## Attachment 1 to Amendment 4

The parties, intending to be legally bound, agree to amend their Agreement No. FR-HSR-0009-10-01-00 of September 23, 2010, as amended, as follows:

1. Attachment 3, Original Statement of Work, Phase 1 California High Speed Train Program – PE/NEPA/CEQA, the Section entitled, “Task 3: Other Related Work Needed Prior to Start of Construction”, is amended by adding to the end thereof the following:

### Small/Disadvantaged Business Program

Through this Agreement, funds are provided for the development and/or implementation of a Small/Disadvantaged Business Program (as described in Attachment 2 Section 11(g) of Cooperative Agreement No. FR-HSR-0009-10-01-02) as well as to introduce many other innovative strategies to promote the successful participation of Small and Disadvantaged Business concerns in the CHSRA’s contracting program. The CHSRA shall describe the activities necessary to complete the Small/Disadvantaged Business Program in a Small/Disadvantaged Business Plan which shall be submitted to FRA and shall include:

- Small Business Program Administration and Support – The Authority shall hire or contract for a Small Business Administrator and other support as necessary to provide ongoing management and administration of the Small Business Program.
- Availability and Disparity Study – As directed by FRA, the CHSRA shall develop an Availability and Disparity Study that will explore all possible resources (including existing Disparity and Availability Studies) within the respective market to assess their applicability to the industries impacting the California High Speed Train Program. CHSRA will follow the methodology outlined in the National Cooperative Highway Research Program (NCHRP) Report 644, Guidelines for Conducting a Disparity and Availability Study for the Federal DBE Program and NCHRP Synthesis 416, Implementing Race-Neutral Measures in State Disadvantaged Business Enterprise Programs in conducting the required study. The CHSRA shall provide FRA with a Scope of Work describing the work necessary to complete the Availability and Disparity Study for FRA review and input which shall be incorporated into the Final Scope of Work. Once the study is completed, CHSRA will provide FRA with a copy and a Final Report.
- Small Business Development (SBD) Program – As directed by FRA, the CHSRA will establish a small and disadvantaged business development program. The CHSRA intends to develop this program by entering into Memorandums of Understanding with the U.S. Office of Small and Disadvantaged Business Utilization (OSDBU) and the U.S. SBA, Small Business Development Program, also known as the Small Business Development Center (SBDC) Program. The OSDBU and SBDC’s provide direct and personalized technical assistance to entrepreneurs through professional consulting, supplemented by low-cost or free seminars and conferences. These services are delivered throughout California via an extensive network of thirty-five SBDCs. The SBDCs provide

comprehensive business guidance on most business issues. The CHSRA may also provide additional technical business development services to SB/DBEs who are interested in the CHSRA projects as necessary.

2. Attachment 3, Original Statement of Work, Phase 1 California High Speed Train Program – PE/NEPA/CEQA, the Section entitled, “Task 3: Deliverables”, is amended by adding to the end of the list therein the following bullets:
  - Small Business Development Program Plan
  - Availability and Disparity Study Scope of Work and Final Report
3. Except as specifically amended hereby, all terms, conditions, and attachments of the original Agreement will remain in full force and effect, and the parties hereto agree thereto.