CALIFORNIA High-Speed Rail Authority

BUSINESS ADVISORY COUNCIL MEETING AGENDA

DATE: Tuesday – November 10, 2020

TIME: 1:00PM – 4:00PM

LOCATION: Zoom Online Meeting

ITEMS OF DISCUSSION

	Agenda Item	Responsible Party	Duration
I.	**Welcome & Introductions**	Catrina Blair	1:00 – 1:05
II.	**Approval of February & August 2020 BAC Meeting Minutes**	Catrina Blair	1:05 – 1:10
III.	**Caltrans Central Region Calmentor Presentation**	Lori Goodman	1:10 – 1:40
IV.	**Caltrans District 4 Mentor/ Protégé Presentation**	Abbigail Brown	1:40 – 2:10
V.	**SB Updates**		
	a. Small Business Program	Catrina Blair	2:10 -- 2:20
	b. Small Business Compliance	Jose Camarena	2:20 – 2:30
VI.	**Project Updates**	Prime Small Business Liaison Officers	2:30 – 3:15
	a. Construction Package 1 – TPZP		
	b. Construction Package 2-3 –DFJV		
	c. Construction Package 4 – CRB		
	d. Rail Delivery Partner –WSP		
	e. Early Train Operator - ETO		
VII.	**Committee Meeting Updates**	BAC Committee Chairs	3:15 – 3:35
	f. Professional Service Committee		
	g. Construction Committee		
VIII.	**Public Comment**	TBA	3:35 – 4:00

ADDITIONAL RESOURCES

- **ConnectHSR**
  - Connect to HSR business opportunities, www.connectHSR.com

BUSINESS ADVISORY COUNCIL MISSION STATEMENT

The Mission of the California High-Speed Rail Authority (Authority) Business Advisory Council (Council) is to cultivate a partnership between the Authority and its Small Business and contracting community. The Council will serve as a forum to provide essential input and advisement to the Authority in implementing its policies and practices that affect and/or impact Small Business utilization and participation in all of the Authority's contracting programs. The collaboration and insight will serve to advance the Authority's success in meeting its 30% Small Business Goal on this historic infrastructure project.

Reasonable Accommodation for Any Individual with a Disability

Any individual with a disability who requires reasonable accommodation to attend or participate may request assistance by contacting the Authority at (916) 324-1541. Requests for additional accommodations for the disabled, signers, assisted listening devices, or translators should be made no later than one week prior to the meeting.

CALIFORNIA High-Speed Rail Authority

Business Advisory Council (BAC)

Summary Meeting Notes for February 11, 2020

Location:
707 Third Street, West Sacramento, CA 95605

Members Present:

a. Shari Tavafrashti
b. Laura Uden
c. Linden Nishinaga
d. Jay King
e. Pete Varma
f. Adam Hold
g. Melanie Okoro
h. Jose Camarena
i. Catrina Blair
j. Jeannie Jones
k. Alex McCracken
l. Manprit Bains
m. Kasaundra Duncan
n. Rita Ohayo
o. Damon Dorn
p. Michael Guzman
q. Westervelt
r. Amanda Craft
s. Walter Allen
t. Patrick Sauls
u. Nick Hill
v. Lee Cunningham
w. Bennie's replacement – Matthew Kalt

Council Chair: Catrina Blair, Small Business Advocate

Absent Seat(s): N/A

California High-Speed Rail Authority (Authority) Team Present: Jeannie Jones – Chief Admin. Officer, Jose Camarena – Contract Compliance Administrator, Catrina Blair – Small Business Advocate, Alex McCracken - Program Manager, Kasaundra Duncan – Civil Rights Technical Associate, Manprit Bains – Small Business Technical Associate, Damon Dorn – Small Business Outreach Coordinator, Michael Guzman – Contract Compliance Analyst

Guests: Bennie Garcia (TPZP), Bjorn Nilsen (DFJV), Amanda Craft (CRB), Rita Ohaya (RDP), Ricky Estrada (ETO)

Meeting Agenda

- Introductions (Catrina Blair)
  - Whole room introductions
- Approval of *November 2019* Meeting Minutes
  - Motion to approve Meeting Minutes: Approved.
- Capital Procurement
  - Track and Systems
    - Rachael explained the closed RFQ process:
      - They shortlisted to 3 firms, they are now on the website and they will be the only teams that will submit the proposal
      - The RFQ was advertised through DGS website and it was sent to everyone
      - $1.6B just for delivery phase, just for 119 miles
      - Three firms submitted, three firms were shortlisted
        - Only 1 will be submitted to the Board
      - Majority of the shortlisted firms are international
      - The Track and Systems website is: https://hsr.ca.gov/business/contractors/track_and_systems.aspx
      - If the members would like a copy of all of the documents, go ahead and request at: records@hsr.ca.gov
    - Member Linden expressed concern regarding using the word "closed" as it pertains to the procurement. Jeannie stated that "closed" is a usual term in state procurement.
    - Member Varma asked if the design is going to be based on CalTrans or another country
      - Rachael answered that this is a performance-based contract, designs must meet the requested specifications
      - Buy America 100%

- Small Business Updates (Catrina Blair)
  - March 6th Matchmaking Events
    - Catrina stated that we need to have more small business participation.
      - Shared the information regarding the March 6th Matchmaking event. We need qualified businesses to attend. At the Industry Forum, there were 75 firms there and about 30 small business firms and 1 DVBE's. We need the BAC's help in getting qualified businesses to this event.
      - Jeannie emphasized the matchmaking is incredibly important to have the businesses there. We may have to take out the 30 split if

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the Primes cannot meet the goal. The contract will go out no matter what. This contract paves the way for all the other contracts.

- Member Varma re-iterated concerns from the Construction mtg. We need to be more focused on the opportunities and less about the partners.

- Member King stated that his group took the flier and made it their own and then sent it out. We need to become more solutions-based and not adversarial
  - Jeannie agreed with Member King
  - Member Uden also discussed the topic of flier
  - Discussion regarding partnering
  - Currently, the Primes are putting their teams together, we can't have an unrealistic goal showing that small businesses are not showing
  - Member Tavafrashti wants better communication
  - Member Craft stated that we all need partnering, She received 2 responses; 1 firm was viable but not a small business; the other firm was not viable
  - Damon says to send out the flier regardless of feelings about the flier
  - Member Varma and Member Hill will work together, and they will send it out as one so everyone can have the same flier
  - Member Nishinaga states that we need to work together and suggest an industry forum in So. Cal. There are a lot of opportunities
  - Please continue to disseminate the information
  - Member Sauls said to emphasize the $1.6B on the flier

- Marvin Dean Plaque
  - Small Business Advocate stated that the SB team reached out to DGS to see what the policy and procedures are.
  - Letter sent out to BAC Members
  - The Authority is taking a neutral position on it.
- Going Green
  - This will be the last meeting that we provide hard copies of the packets and we will email the packets. Member Lee asked if we would have wifi so we can review the packets on electronic devices.
  - It is confirmed that DGS does have wifi.
- Meeting Locations
  - Member Nishinaga requested we have a meeting in the Central Valley. We are going to have an event in Fresno, however, the Professional Services committee requests one in Bakersfield. Member Hill said he could provide facility.
- Complaint Process

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- Member Uden requested that we provide information regarding how the complaint process is being handled. SB Advocate stated we have had about 15 SB complaints so far. The types of complaints primarily fall into two categories: non-payment invoice disputes and compliance. There is normally an issue with training or communication. Going forward we have requested that we have a detailed communication plan from the Primes. Regarding training, we have the MOU in place.

- Reports
  - It has been requested by the BAC that we provide the master list. Moving forward, the only reports that we are going to provide are the documents that have been vetted. We are not able to take time to do ad-hoc reporting. Also, we held a mtg with the Primes to discuss visuals and powerpoints and the Primes are not going to be able to provide and they are not contractually committed to that.
  - Member Lee is concerned that procurements under $5M are not being advertised
    - How are we tracking who gets the $5M and if it goes to the SB's?
    - Can we find a way to help SB's find these contracts and who has these contracts and who gets awarded these contracts?
    - We need more concrete information regarding what the procurement is
  - Member Varma – another issue is that the Primes talk to the smalls and then they don't use them or they don't use them for a while.
    - Another issue is that the smalls can't plan ahead. There is a lot of work, just fickle
  - Payment reports – Advocate will resend the link to the BAC. The payment report shows each month what Primes are being paid so that smalls can determine if they should be getting paid. The report is up to date. The latest report is from 12/2019. We should receive January's report soon. It is a running report, it goes from Nov. 2018.
  - Jose's report-out; working on keeping primes accountable. The contracts that have prevailing wage issues, we have forwarded those complaints to DIR and Labor Department. Issue is that the subs may not be receiving communication from the Primes. Their team is working with the Primes to receive confirmation when they receive any notification from the Primes. Pushing more for communication between the Primes and the subs
  - Give us a definite plan of execution from the Plans. What level of commitment is actually executed? Member Varma wants the potential Primes to talk to BAC regarding their plans and their scope and when they are going to execute their project. Member Uden appreciates the effort. Emphasizes that there are 2 pieces; plan and execution. Re-iterates having the primes say what is going to be let out is extremely helpful
  - Member Uden asked regarding utilization numbers. Jose says they are currently verifying and will report out. Member Uden asked if they would have numbers by the next meeting. Jose is hopeful. Discussion led by

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Member Sauls to determine what the reports are. We have the SB numbers by contract but not by individual contract. Jose will let the Professional Services know what the break down is for the PSC? Which are the firms that are already engaged in the activities – Shari so we don't call upon them. We have the opportunity to create new businesses. There is a myriad of penalties – Jose. Pete re-iterated that we should not be adversaries. Putting SB's to work, also puts Californian's to work. Member King re-iterated

- Committee Updates
  - Professional Services
    - We have 8 members
    - Approval of Professional Services Committee mtg minutes
      - 3 pages of action items
    - Discussed the plaque for Marvin Dean – Jesus is going to be the chair of the Marvin Dean committee, Nick is going to assist; Jeannie will take the request to the BOD once the footwork is done
    - Shari Tavafrashti was voted in as Chair of Professional Services
    - Laura Uden was voted as Vice-Chair of Professional Services
    - Patrick Sauls was voted in as Secretary
  - Construction Committee
    - There are more HSR staff than there are BAC currently. Suggestion: Catrina sends an email to each one of the members regarding the roles/responsibilities of each of the members of the BAC. Debbie is going to call Pete and Lee and then talk with Damon to see how we can make this committee better and constructive. The issue is communication. On the BAC side, we have to work with the SB's and get them here. We have to reconstitute the construction committee. And far more direction. We need more contractors. Lee's commitment is that we will have objectives and deliverables at the next mtg. Email is not the way you build a friend. Reach out personally. Catrina, do we have more slots to fill. Member Lee would like Zeke Patton. Request, we need to increase our numbers on BAC.
- Project Updates
  - The Small Business Liaisons reported their updates
    - Mathew Kalt
      - Stated scope has increased for CP1
      - Change orders and approved schedules for rework
      - Viaducts on HWY 99
    - Amanda walked through the January Reports
      - Member Linden requested a tour on Amanda's project
      - CP4 is hosting a small business event on April 7

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        - Member Lee stated that BAC needs to help the Primes meet their goal, so that the Primes can help the Authority meet their goal

- RDP – Rita
    - 71% is complete
    - DBE
    - DVBE
    - SB's
    - No outreach events planned; we have been hosting webinars for contractors. They have identified some of the issues and we have hosted webinars based on these issues
    - Due to these webinars, prompt-payment disputes have decreased from millions to thousands
    - Some of the SB's thought they were precluded from being audited by the Authority, Rita has reached out to them to let them know that they have to respond to the audit request

- Discussion
    - Member Shari requested a central calendar
        - Advocate said that we are working with inhouse team to get an Authority event calendars
        - Before we plan an event that is authority hosted, we check with other agencies to make sure we don't conflict
        - Advocate can add the PTAC events to our calendar
- Public comment
    - Member Linden asked the public how they view the overall project.
        - The right hand doesn't talk to the left hand; lots of good strong people who are sharp, not a lot of intercommunication throughout the Project
    - Advocate will send out the Track and Systems email to the BAC for questions
    - Member of the public asked how many members of the public attend the BAC General Council
        - Response: depends on the meeting
    - Member Cunningham stressed the issue of communication
    - Member Linden asked Jeannie the status of the project
        - Currently, the Authority is working on the Business Plan
            - This will be a comprehensive strategic report. Should be released end of March

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CALIFORNIA High-Speed Rail Authority

Business Advisory Council (BAC)

Summary Meeting Notes for August 12, 2020

Location:
Online Zoom Meeting

Members Present:

1. Adam Holt
2. Jesus Vargus
3. Laura Uden
4. Linden Nishinaga
5. Lee Cunningham
6. Melanie Okoro
7. Pete Varma
8. Shari Tavafrashti
9. Walter Allen

Council Chair: Catrina Blair, Small Business Advocate

Absent Seat(s): Jay King, Debbie Hunsaker, Nick Hill

California High-Speed Rail Authority (Authority) Team Present: Joe Hedges – Chief Operating Officer, Jeannie Jones – Chief Admin. Officer, Jose Camarena – Contract Compliance Administrator, Catrina Blair – Small Business Advocate, Alex McCracken - Program Manager, Kasaundra Duncan – Civil Rights Technical Associate, Manprit Bains – Small Business Technical Associate, Damon Dorn – Small Business Outreach Coordinator, Michael Guzman – Contract Compliance Analyst

Primes: Doua Moua (TPZP), Bjorn Nilsen (DFJV), Amanda Craft (CRB), Rita Ohaya (RDP), Ricky Estrada/Madeline Rodriguez/Alissa Walker (ETO)

Guests: Kim Erickson/Tara Beltram (Westervelt)

Meeting Agenda

- Welcome (Joe Hedges)
  - Joe provided a status update for the Program.
  - Standard Business Procedures will include moving meetings to a virtual platform moving forward.
  - Mentioned there are currently 1,100+ craftworkers on the project, up from the 200 noted for last year.
  - Spoke about the 2 RODs (Record of Decision)/NODs (Notice of Determination) that were approved this year.

- Introductions (Catrina Blair)
  - Whole room and online participant introductions
  - Online Forum rules were presented

- Approval of *February 2020* Meeting Minutes
  - The approval for the February Meeting Minutes was pushed back to the November BAC Meeting.

- Small Business Updates (Catrina Blair/Jose Camarena)
  - Plaque for Marvin Dean (Catrina Blair)
    - Jesus has taken the lead on behalf of the BAC for the memorial plaque for Marvin Dean.
      - The Authority provided information on the existing plaque commemorating the first vertical structure.
      - Currently there is no information on the costs for the plaque.
  - Small Business Outreach (Catrina Blair)
    - Due to COVID-19, in-person outreach is halted for the foreseeable future.
    - The Small Business Team is working to conduct upcoming outreach events through Zoom.
      - The first SB workshop hosted by the Authority to be held online is with the Chief Auditor, sometime in September 2020.
      - The Annual Supportive Services Symposium will also be held online; possibly in October 2020. Details to be provided at a later date.
        - Member Allen raised the question of when the Symposium is to take place. Catrina Blair replied the date has not yet been set, but the BAC Membership will be notified as soon as the date has been identified.
  - SB Compliance Reports (Jose Camarena)
    - The Program is looking to increase communication and accountability with the CPs. There is repeated focus on timely and accurate reporting.
    - The SB Compliance Team hopes to have the SB Utilization reporting finalized for June during the week of August 11th.
    - There are adjustments being made due to Diana Gomez leaving the Program.
      - Joe Hedges has been routinely traveling to the Fresno Office to monitor work on the CPs.
  - BAC Member Questions and Concerns (Roundtable)
    - Member Uden spoke about her concern regarding reported low numbers from certain SB groups (i.e. CP 1 and DVBEs). She requested that the Authority reach out for any procurement needs to assure that the Primes met the SB goals.
      - Catrina Blair mentioned the SB Team sends out any upcoming procurement opportunities to the BAC, as well as to those registered in the Connect*HSR* Vendor Registry. She made a request of the BAC membership to assume SBs are always needed.
      - Jose Camarena noted that the information the SB Compliance Team provides is a snapshot of SB utilization as a whole, that it is not a matter of businesses sitting and waiting to perform.
    - Member Varma spoke about the biggest challenges of businesses competing against size standards (SB 605)
- Project Updates
  - The Small Business Liaisons reported their updates:

- CP 1 – Doua Moua, TPZP
    - Reported on currently and nearly finished construction.
        - Noted that much of the irrigation, landscaping, stormwater, and sewage work is being done by small business subcontractors.
- CP 2-3 – Bjorn Nelson, DFJV
    - A video presentation on BNSF (Burlington Northern Santa Fe Railway) was provided, last structure on the south end of CP 2-3 and noted much of the work was done by small businesses.
    - $425M was committed to small businesses. The new goal is $566M+.
    - The numbers that are provided by the Compliance Team are the reported payments.
    - There is a need for help bringing in small businesses, especially for Cast-In-Drilled-Hole Piles (CIDH). There is a need for 120+ trucks for hauling.
        - Bjorn specifically mentioned issues regarding interest from SBs on upcoming procurement opportunities.
        - Bjorn brought up the concerns spoken of by Member Uden and promised to reach out to her regarding all available SB/DVBE procurement opportunities for CP 2-3.
- CP 4 – Amanda Craft
    - CP 4 is having similar issues as CP 2-3.
    - The most dispatched workers on site over the last few months in comparison to the start of the project.
    - A video presentation of the Poso Creek Structure was provided, which placed more than 1,000 cubic yards of concrete. Amanda noted the small business participation on this portion of the project, inclusive of over 100 trucks per day hauling.
    - An online educational series for small businesses may be available for 4th Quarter 2020/1st Quarter 2021: BASICs Bootcamp (Bonding, Accounting, Surety, Insurance, Compliance).
    - Has not had much feedback from BAC Membership regarding events.
    - CP 4 Numbers were provided in a visual format to show the forecasted SB commitments, HSR approved commitments, and current SB amounts paid to date.
    - <u>BAC Member Questions and Concerns (Roundtable)</u>
        - Member Allen raised a question regarding a Mentor/Protégé Program.
            - Catrina Blair responded that there was a robust State-ran program through Caltrans, for which she had provided the BAC information on late last year. She stated she will resend the information to the members.
        - Member Uden referred to the small business commitments numbers provided in the BAC Meeting Packet are low for the construction packages, specifically for DVBEs and DBEs. She requested the most recent numbers, since those provided in the packet are from May 2020. Member Uden stated that in order for the BAC to assist with providing

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small business firms, they will need updated small business commitments information.
        - Catrina Blair stated that the BAC will be sent the monthly reports provided by primes and the quarterly reports provided by the Authority's Compliance Team.
- RDP – Rita Ohoya
    - Current RDP small business numbers were: 29.2%/13.3%/2.5%.
    - Continues to meet with the Compliance Team to confirm they are on track and to work on finding ways bolster small business participation.
    - Technical trainings for SBs were mandatory for Work Plan 4.
        - The trainings provided tools and processes for small businesses to be successful in the current workplan as a way to remove barriers to success. Covered items such as: contract management, invoice processes, and audit expectations.
        - WSP plans to continue the training series and check in the small businesses to confirm the small businesses perform on the project.
- ETO – Madeline Rodriguez and Ricky Estrada
    - Madeline Rodriguez mentioned the push out of the Authority's 2020 Business Plan and how that impacted the ETO's work. She noted that it has paused some of the work that may have included possible increased small business utilization. This was somewhat mitigated by the ETO being able to onboard new small businesses for work on other current activities.
    - Current ETO activities for the Authority that will continue include work on the ridership model, support on the Track and Systems Procurement, design of rolling stock and stations planning, and continued support of the Authority's 2020 Business Plan until the document is released to the public.
    - While the ETO's scope of work is defined by the Authority on an annual basis, they aggressively work to identify small business involvement and provide monthly documentation of these efforts to the Authority for transparency.
    - The ETO continues ongoing communication with the existing small businesses at the first and second tiers.
    - The challenge for the ETO is not in finding qualified small businesses to do the work, but the different priorities that dictate the different usage of what was originally planned for the ETO to perform.
    - Once the 2020 Business Plan is adopted, the ETO will work with the Authority to review the contract and bring the work into alignment based on what the Authority needs, which will provide a way for further small business opportunities.
    - <u>BAC Member Questions and Concerns (Roundtable)</u>
        - Member Uden noted she had not received notifications on what types of support the ETO is looking for.
            - Madeline stated that Ricky will speak on what those opportunities may look like, however there were small businesses mentioned by name in the ETO contract for work. If the listed small businesses are not a fit for the work, then the

opportunity is made available to small business not listed. This information is documented in the monthly reports sent to the Authority. Madeline stated that she will work to include the BAC membership on the monthly communications.

- Member Uden mentioned that the Member Packets do not provide much information about RDP/ETO contracted amounts, commitments, and contract value.
  - Madeline responded that the current packets do show identified opportunities, though some may mention "Pending Authority Approval" since work packages have been put on hold.
- Member Uden raised the question of the opportunities that were opened up can be available to constituents for support.
  - Madeline responded that they have been opened, but they are on hold. The ETO wanted share what was available, even though they are on hold.
- Member Uden questioned if the commitments will be available once they are opened.
  - Madeline responded in the affirmative.

- Ricky Estrada spoke about the work packages currently on hold are for a professional services contract. He noted that if a small business wanted to discuss possible opportunities, to reach out to him personally as some DBE emails may end up in Spam folders. Of if the BAC member could make the introduction between the ETO and the small business.
  - Catrina Blair stated that she will make sure to send the BAC Ricky's contact information.
- The work package that needs assistance involves opportunities for technical local knowledge for engineering.
- <u>BAC Member Questions and Concerns (Roundtable)</u>
  - Member Varma inquired for smaller contractors to participate does the ETO require prequalifications before they are invited to submit bids.
    - Madline mentioned that the identified small businesses within their contract are contact first, if they do not fit then they open it up to small businesses outside of their list and have them go through a prequalification process.

- <u>Committee Meeting Updates</u>
  - Construction Committee – Lee Cunningham
    - No updates at this time.
  - Professional Services Committee – Laura Uden
    - Held 2 virtual committee meetings.
    - Created a subcommittee for Marvin Dean commemorative plaque.
    - Held meetings every 2 weeks for the Chair, Vice Chair, and Secretary.
    - Submitted a letter to the Authority Board and Brian Kelly regarding SB/DBE/DVBE
      - Catrina Blair confirmed receipt of the letter on behalf of the Board of Directors.

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- Public comment
    - Member Uden posed the question regarding upcoming BAC meetings taking place virtually.
        - Catrina Blair responded that the virtual meetings were to be permanent based on the statement made earlier by COO Joe Hedges.
    - Member Uden requested that the BAC meet more frequently since travel is no longer an issue.
        - Catrina Blair responded that she would notify the appropriate Authority Executive Staff of the request and bring the response back to the BAC.
    - Catrina Blair mentioned that Alex McCracken was no longer with the Program.
    - Michael Guzman noted he will also be leaving the Program.

Meeting adjourned at 2:43 PM.

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CP1 Summary Monthly DBE/DVBE/MB/SB Participation Progress Narrative
August 2020 Reporting Period

TPZP SB/DBE/DVBE EXPENDED DOLLARS PROGRESS FOR THE MONTH OF MAY

THREE MONTH PERFORMANCE ASSESSMENT – AT A GLANCE
[JUNE 01, 2020 – AUGUST 31, 2020]

☒**Excellent**	☐Good	☐Fair	☐Poor	☐Serious Risk of SB Shortfall

CP1 DOLLARS PAID	**AMOUNT**	**PERCENT**
TOTAL HSR PAYMENTS TO TPZP	**$42,125,926.02**	**100%**
All SBs Share of HSR Payments:	$12,419,692.80	29.48%
Target Payment to SBs Based on TPZP HSR Payments:	$12,637,777.81	30.00%

Conclusion: During the three month span from June 1, 2020 to August 31, 2020, SBs collectively received less than a 30% share of the HSR payments issued to TPZP, TPZP is within reach of fulfilling the 30% SB goal by the close of the CP1 Project. During August 2020 alone, TPZP paid SBs a 33.62% share of the HSR payment they received.

Serious Risk: 0-6%, Poor: 6-12%, Fair 12-18%, Good: 18-24%, Excellent: 24-30%

1. Overall DBE/DVBE/MB/SB Participation

Review and verification of Tutor Perini Zachry Parsons Joint Venture (TPZP) Monthly Form 103A and prompt payment report covering the period of August 01 – August 31, 2020 was completed and submitted to the task manager of the Authority's Contract Compliance Unit (CCU). See Attachment 1 – *CP1 Verified Monthly SB Utilization Report August 2020*. At 50.12% project completion, TPZP is currently on track to meet the 30% overall SB goal. At this point in the project cycle, TPZP's SB participation includes a projected total of ≈$336.4 million in both SB commitments and total expended dollars paid to SBs to date (including DBEs, DVBEs, MBs, SBs, and SB PWs). Having awarded ≈$508.9 million in SB commitments, TPZP is ahead of target by ≈$172.5 million for projected SB participation. While TPZP is on target to meet the overall 30% SB goal, TPZP's total expended dollars to date lags behind the projected spending for SBs by ≈$64.3 million. Currently TPZP has executed 100 subcontract agreements among all SB types, including 29 to DBEs; 17 to DVBEs; 31 to MBs; and 23 to SBs. TPZP has no subcontract agreements that are pending approval for SB crediting.

Overall SB Goal Attainment (30%) **$671,254,191.12** (by close of project)
SBs Projected Commitment & Expended to Date **$336,414,996.43** (based on % project complete)

TPZP SB Goal Progress	**Actual Value To Date**	**Actual v. Projected Value To Date**	**Status SB Goal Progress to Date**
All SB Commitment	$508,915,804.82	**$172,500,808.39**	**Ahead of Projected**
All SB Total Expended	$274,361,252.52	**-$64,253,806.22**	**Behind Projected**

DBE Goal (10% overall) **$223,751,397.04** (by close of project)
DBE Projected Commitment & Expended to Date **$112,138,332.14** (based on % project complete)

TPZP DBE Goal Progress	Actual Value To Date	Actual v. Projected To Date	Status DBE Goal Progress to Date
DBE Commitment	$261,537,494.62	**$149,399,162.48**	**Ahead of Projected**
DBE Total Expended	$123,677,246.27	**$11,538,914.13**	**Ahead of Projected**

Summary DBE Participation: At 50.12% project completion, TPZP exceeds the projected DBE participation in commitments by ≈$149.4 million and by ≈$11.5 million in total expended dollars to DBEs to date. In addition, TPZP DBE commitment exceeds the entire 10% DBE goal by $37.8 million at this stage of the project and is short ≈$100.1 million from meeting the 10% DBE goal in total expended dollars for DBEs due by close of the CP1 project.

DVBE Goal (03% overall) **$67,125,419.11** (by close of project)
DVBE Projected Commitment & Expended to Date **$33,641,499.64** (based on % project complete)

TPZP DVBE Goal Progress	Actual Value To Date	Actual v. Projected Value To Date	Status DVBE Goal Progress to Date
DVBE Commitment	$132,406,675.83	**Projected Goal Exceeded**	**03% Goal Met**
DVBE Total Expended	$83,713,397.27	**Projected Goal Exceeded**	**03% Goal Met**

Summary DVBE Participation: At 50.12% project completion, TPZP has met and surpassed the projected DVBE goal and currently exceeds the entire 03% DVBE goal by ≈$16.6 million in total expended dollars to DVBEs.

2. Comparison of July 2020 data to August 2020 data

Comparison of the *CP1 Verified Monthly SB Utilization Report* from the prior month to this reporting period resulted in the following changes:

Total Contract Value Subject to the 30% SB Goal Changes

- TPZP's total contract value (including the Provisional Sum value and Class I and Class 2 hazardous material paid to date value) did not change from the prior month to this reporting period. Prime Contract Change order values are now based on the most current California High-Speed Rail Central Valley Status Report available during the drafting of this report. The change order values relied upon in this report were pulled from the **July 2020** CV Status Report.
- The TPZP contract paid to date value (not including provisional sum payments received) increased by $13,321,092.79 from the prior month to this reporting period.

DBE/DVBE/MB/SB Commitment Changes

- TPZP's overall SB commitment increased by $17,829,332.15 from the prior month to this reporting period.

- The Authority verified a total of $17,835,699.65 in change orders that were issued to the following SB subcontractors for this reporting period:

CO #1	Avila Traffic Safety	$6,367.50
CO#23	Avision Construction, Inc.	$16,725.00
CO #3	Blackburn Consulting	$324,235.00
CO #22	Blair, Church and Flynn	$83,390.00
CO #19	CHS Consulting Group	$40,441.00
CO #17	Earth Mechanics, Inc.	$6,870.00
CO #42	G&C Equipment #25	$7,042.90
CO #48	G&C Equipment #42	$4,140.97
CO #28	G&C Equipment #60	$128,548.12
CO #17	JMA Civil, Inc.	$11,084.00
CO #20	Moore Twining	$160,000.00
CO #9	OPAC Consulting	$123,610.50
CO #4	Outback Materials	$16,628,901.60
CO #15	Safety Striping Services	$7,574.00
CO #16	Safety Striping Services	$2,983.12
CO #43	Valverde Construction, Inc.	-$23,531.06
CO #13	WRECO	$307,317.00

DBE/DVBE/MB/SB Payment Changes

- TPZP's overall payments to SBs increased by $2,147,477.80 from the prior month to this reporting period. All payments reported paid to SB subcontractors were verified through prompt payment reports, of which the expended to date totals for each SB is listed by SB type, as shown on Attachment 1.

Dispatched Worker Changes*	**Prior Month**	**Current Month**
• Total Labor Craft Workers To Date	2,046	2,048
• Total NTHI Workers To Date	1,390	1,390
• Total Disadvantaged Workers To Date	322	322
• Total Journeymen Workers To Date	1,649	1,651
• Total Apprentice Workers To Date	397	397

Dispatched Worker Hours Changes*	**Prior Month**	**Current Month**
• Total Labor Craft Worker Hours To Date	2,109,320	2,110,627
• Total NTHI Worker Hours To Date	1,511,918	1,512,589
• Total Disadvantaged Worker Hours To Date	474,361	474,398
• Total Journeymen Worker Hours To Date	1,783,392	1,784,568
• Total Apprentice Worker Hours To Date	325,928	326,060

CP1 Summary Monthly DBE/DVBE/MB/SB Participation Progress Narrative
August 2020 Reporting Period

*The totals reported in the July 2020 report represented August 2020 data in error. The correct July 2020 (prior month column) totals are included in this report.

The reported totals for dispatched workers and worker hours are sourced from certified payroll records submitted by the Design Builder from the beginning of project work through the last day of the month for this reporting period. See Attachment 2 – *CP1 Dispatch Worker By County Report.*

Attachments:
1. Attachment 1 – *CP1 Verified Monthly SB Utilization Report August 2020*
2. Attachment 2 – *CP1 Dispatch Worker by County Report August 2020*

Attachment 1: CP1 Verified Monthly SB Utilization Report

California High Speed Rail Authority
Construction Package 1 TPZPJV Contract Number HSR 13-06
Summary of Construction SBE/MB/DBE/DVBE Utilization

CP-1 TPZPJV Small Business Utilization Data for period inception through 8/31/2020

Original Contract Price[1]	**$1,022,988,000.00**
Class I and Class II Hazardous Waste	-
Executed Change Orders	$1,214,525,970.39
TPZP Current Total Contract Value[2]	**$2,237,513,970.39**
Contract Paid minus Provisional Sum Payments [B]	$1,121,383,321.42
SB/DBE/DVBE/MB Utilization Commitment [C]	$508,915,804.82
Commitment % [C/A]	22.7447%
SB/DBE/DVBE/MB Expended to Date [D]	$272,161,190.21
Utilization % Based on Commitment [D/C]	0.0000%
Utilization % Based on Contract Paid to Date [D/B]	24.2701%
Project Completion % [B/A]	50.1174%
Overall Construction SB Utilization	**12.1636%**
SB	1.6696%
DBE	5.5274%
DVBE	3.7414%
MB	1.2251%

Utilization = SB Dollars Paid to Date/SB Applicable Contract Value

SUMMARY OF TUTOR PERINI ZACHRY PARSONS JOINT VENTURE CP-1 SB AGREEMENTS AND VENDOR COUNT

Type of Small Business	Construction	Professional Service	Total SB Contracts	Total Vendor Agreements
Small Business (SB)	17	6	23	17
Micro Business (MB)	21	10	31	17
Disadvantaged Business Enterprise (DBE)	19	10	29	2
Disabled Veteran Business Enterprise (DVBE)	5	12	17	1
Total	62	38	100	37
		Total SBE agreements:		**137**
		Total Unique Small Businesses utilized:		**103**

TPZPJV CP-1 SB SUBCONTRACTOR DOLLARS COMMITTED AND EXPENDED TO DATE (FORM 103A)[3]

	Professional (P)	Construction (C)	Dollars	% Based on TPZPJV Paid to Date	% Based on SB Applicable Contract
Total SB Program Dollars Committed	**$ 148,647,420.02**	**$ 360,268,384.80**	**$ 508,915,804.82**	**45.3829%**	**22.7447%**
Total SB Program Dollars Expended to Date	**$ 89,746,583.00**	**$ 182,414,607.21**	**$ 272,161,190.21**	**24.2701%**	**12.1636%**
Total SB Dollars Committed	$ 70,804,803.74	$ 3,025,426.93	$ 73,830,230.67	6.5839%	3.2997%
Total SB Dollars Expended to Date	$ 34,641,622.37	$ 2,716,085.72	$ 37,357,708.09	3.3314%	1.6696%
Total DBE Dollars Committed	$ 27,754,190.01	$ 233,783,304.61	$ 261,537,494.62	23.3228%	11.6888%
Total DBE Dollars Expended to Date	$ 15,888,327.89	$ 107,788,918.38	$ 123,677,246.27	11.0290%	5.5274%
Total DVBE Dollars Committed	$ 41,975,176.49	$ 90,431,499.34	$ 132,406,675.83	11.8074%	5.9176%
Total DVBE Dollars Expended to Date	$ 31,791,593.32	$ 51,921,803.95	$ 83,713,397.27	7.4652%	3.7414%
Total MB Dollars Committed	$ 8,113,249.78	$ 33,028,153.92	$ 41,141,403.70	3.6688%	1.8387%
Total MB Dollars Expended to Date	$ 7,425,039.42	$ 19,987,799.16	$ 27,412,838.58	2.4446%	1.2251%

CP1 FORM 103A - SB SUBCONTRACTOR SUMMARY DETAIL

	SB	Eligible Commitment Value[5]	Eligible Paid to Date[6]
C	Applied Earthworks	$ 632,000.00	$ 573,752.95
P	Blackburn Consulting	$ 435,005.00	$ 66,670.69
C	Dees Burke Engineering	$ 491,188.07	$ 491,188.07
C	Innovative Concrete ^	$ -	$ -
P	Mid Valley Engineering, Inc.	$ 140,750.00	$ 146,683.21
P	Outback Materials	$ 53,482,093.59	$ 22,096,165.50
C	Pipe Jacking Unlimited, Inc. ^	$ -	$ -
P	RailPros Inc.	$ -	$ -
C	SoCal Pacific dba National Coating & Lining	$ 100,321.41	$ 23,826.33
C	Wild Electric	$ 656,239.48	$ 559,260.68
C	Salem Engineering [AMEC]	$ 70,000.00	$ 64,730.52
C	Taber Drilling [AMEC]	$ 98,650.00	$ 98,250.00
C	Technicon Engineering [AMEC]	$ 127,268.00	$ 121,204.00
C	American Crane Rental [Becho]	$ 187,731.48	$ 187,731.48
P	Outback Materials [Becho]	$ 15,831,706.74	$ 11,416,854.56
C	Cerutti & Sons [DAVE]	$ 213,999.81	$ 213,999.81
C	American Crane Rental [J. Kroeker]	$ 177,277.68	$ 177,277.68
C	American Crane Rental [Valverde]	$ 15,000.00	$ 9,806.70
C	Cencal Services [Valverde]	$ -	$ -
C	National Coating & Lining [Valverde]	$ 95,751.00	$ 95,751.00
P	Outback Materials [Valverde]	$ 915,248.41	$ 915,248.41
C	Wild Electric [Valverde]	$ 10,000.00	$ 8,656.00
C	American Crane Rental [J. Kroeker-Katch]	$ 150,000.00	$ 90,650.50
	Total	**$ 73,830,230.67**	**$ 37,357,708.09**

	MB	Eligible Commitment Value[5]	Eligible Paid to Date[6]
C	Achievement Engineering	$ 1,067,567.25	$ 1,013,441.67
C	CMG Hydroseeding Inc.	$ 319,318.24	$ 158,387.60
C	CNJ Enterprises	$ 525,471.00	$ 150,997.31
P	Compliance Systems Management, LLC	$ 125,400.00	$ 122,615.00
P	Garavaglia Architecture, Inc.	$ 78,716.98	$ 78,716.98
C	Hilly Howl	$ 962,427.38	$ 878,981.29
C	J. Kroeker (demo)	$ 13,696,101.97	$ 10,292,823.13
C	J. Kroeker (clear&grub)	$ 735,676.63	$ 547,145.10
P	JMA Civil, Inc.	$ 1,091,410.00	$ 932,107.04
P	JRP Historical Consulting	$ 199,046.80	$ 162,045.54
C	Madco Electric	$ 845,064.90	$ 606,906.20
C	SPER Contracting Corp.	$ 13,360,939.15	$ 4,985,883.73
C	Tommy's Water Truck	$ 410,000.00	$ 393,649.07
P	WKE, Inc.	$ 6,050,311.00	$ 5,675,447.30
P	Zikov Engineering	$ 92,140.00	$ 90,426.38
P	Geovision [AMEC]	$ 41,200.00	$ 39,187.18
C	Kehoe Testing [AMEC]	$ 136,464.00	$ 134,106.00
C	Commercial Exteriors [ConFab]	$ 230,752.00	$ 230,752.00
C	Tommy's Water Truck [DAVE]	$ 38,608.90	$ 38,608.90
C	2R Drilling [EMI]	$ 184,347.25	$ 184,347.25
C	Kehoe Testing [EMI]	$ 85,209.00	$ 85,209.00
C	Middle Earth Geotesting [EMI]	$ 16,135.00	$ 16,135.00
C	SoCal Drilling [EMI]	$ 133,050.25	$ 133,050.25
P	Leon Environmental [J. Kroeker]	$ 285,025.00	$ 285,025.00
P	Parrish Hansen [J. Kroeker]	$ 100,000.00	$ 33,019.00
C	J. Kroeker (pipe chase) [Katch]	$ 7,911.00	$ 7,911.00
C	J. Kroeker (tank removal) [Katch]	$ 15,000.00	$ 12,820.66
C	J. Kroeker (abatement) [Katch]	$ 48,110.00	$ 107,459.50
C	Tommy's Water Truck [Katch]	$ 200,000.00	$ 3,960.00
C	J. Kroeker [Valverde]	$ 10,000.00	$ 5,224.50
P	Matt-Chlor, Inc. [Valverde]	$ 50,000.00	$ 6,450.00
	Total	**$ 41,141,403.70**	**$ 27,412,838.58**

	DBE		Eligible Commitment Value[5]		Eligible Paid to Date[6]	Title VI Class
C	Avison Construction, Inc.	$	22,607,450.40	$	4,830,271.39	Hispanic
C	BBL Tatum Trucking	$	165,000.00	$	131,419.00	Black
P	Blair, Church & Flynn	$	5,573,173.00	$	5,327,437.28	Native American
P	Bradley Tanks, Inc.	$	12,420,399.31	$	1,803,908.59	Asian Pacific/Female
P	CHS Consulting Group	$	2,574,944.00	$	2,361,926.04	Asian Pacific
C	DAVE Trucking 97R1 (Covered Work)	$	22,211,362.99	$	17,826,784.77	Native American
C	Dillard Environmental	$	8,179.20	$	8,179.20	Female
C	Earth Mechanics, Inc.	$	4,041,961.85	$	3,615,138.12	Asian Pacific
C	Hunsaker Safety & Sign	$	143,335.00	$	138,706.05	Female
C	Landavazo Bros.	$	10,805,567.00	$	6,496,326.90	Hispanic
P	Lee Andrews Group	$	249,789.08	$	249,789.08	Hispanic
C	Martinez Steel Corp	$	157,638,615.08	$	69,603,066.23	Hispanic
P	MGE Engineering	$	1,479,779.00	$	1,443,290.84	Asian Pacific
P	Mountain Pacific	$	425,563.50	$	421,146.00	Female
C	Oliveira Fence	$	11,548,437.44	$	653,759.63	Female
P	OPAC Consulting	$	1,501,209.50	$	1,370,957.45	Asian Pacific
C	Valverde Construction #	$	3,554,681.46	$	3,554,681.46	Hispanic
C	WCW Inc.	$	148,928.87	$	158,044.77	Hispanic
P	Wreco, Inc.	$	3,433,688.77	$	2,814,228.76	Asian Pacific
P	Jet Drilling [AMEC]	$	86,336.50	$	86,336.50	Hispanic
C	Hunsaker Safety & Sign [AC Electric]	$	-	$	-	Female
C	Traffic Loops Crackfilling [AC Electric]	$	67,975.00			Asian Pacific/Female
C	Asphalt Design [DAVE]	$	-	$	-	Hispanic
C	Associated Traffic Safety [Valverde]	$	59,897.50	$	59,897.50	Female
C	Avison Construction, Inc. [Valverde]	$	277,683.87	$	277,683.87	Hispanic
C	Exaro Technologies [Valverde]	$	209,760.00	$	185,341.79	Hispanic
C	Hunsaker Safety & Sign [Valverde]	$	234,968.95	$	234,968.95	Female
P	Lalonde Equipment [Valverde]	$	9,307.35	$	9,307.35	Female
C	Super Seal & Stripe [Valverde]	$	50,000.00	$	14,648.75	Female
C	Traffic Loops Crackfilling [Valverde]	$	9,500.00	$	-	Asian Pacific/Female
	Total	**$**	**261,537,494.62**	**$**	**123,677,246.27**	

	DVBE		Eligible Commitment Value[5]		Eligible Paid to Date[6]	Title VI Class
P	Dave Trucking (Non-Covered Work)	$	7,295,550.00	$	1,696,057.12	Native American
P	G&C Equipment (lumber)	$	4,343,159.34	$	4,113,348.73	Black
P	G&C Equipment (steel beams)	$	12,490,876.61	$	11,840,668.32	Black
P	G&C Equipment (forms)	$	2,646,616.52	$	2,115,986.67	Black
P	G&C Equipment (steel box girders)	$	3,811,677.73	$	3,694,856.69	Black
P	G&C Equipment (shear blocks)	$	2,024,565.79	$	1,965,120.72	Black
P	G&C Equipment (Structural Steel Bridges)	$	4,419,280.72	$	3,418,843.72	Black
P	G&C Equipment (MSE Precast Wall Panels)	$	1,928,354.04	$	72,100.00	Black
P	G&C Equipment (Geogrid and Erosion Mats)	$	100,342.22	$	-	Black
C	Katch Environmental	$	13,089,864.36	$	13,099,750.46	
C	Moore Twining	$	5,287,818.41	$	4,840,786.93	
C	Safety Striping Services	$	421,443.31	$	274,910.84	
P	The 111th Group	$	254,600.00	$	205,550.00	
P	USC Supply (62)	$	365,482.06	$	324,664.34	
P	USC Supply (63)	$	2,294,671.46	$	2,344,397.01	
C	Valverde Construction #	$	71,613,577.76	$	33,687,560.22	Hispanic
C	Moore Twining [Valverde]	$	18,795.50	$	18,795.50	
	Total	**$**	**132,406,675.83**	**$**	**83,713,397.27**	

TPZPJV CP-1 SB VENDOR DOLLARS COMMITTED AND EXPENDED TO DATE (FORM 103B)[4]		Dollars	%
Total SB Program Dollars Committed	**$**	**7,004,694.54**	**0.3131%**
Total SB Program Dollars Expended to Date	**$**	**7,004,694.54**	**0.3131%**
Total SB Dollars Committed	$	4,932,757.59	0.2205%
Total SB Dollars Expended to Date	$	4,932,757.59	0.2205%
Total DBE Dollars Committed	$	204,086.96	0.0091%
Total DBE Dollars Expended to Date	$	204,086.96	0.0091%
Total DVBE Dollars Committed	$	12,375.00	0.0006%
Total DVBE Dollars Expended to Date	$	12,375.00	0.0006%
Total MB Dollars Committed	$	1,855,474.99	0.0829%
Total MB Dollars Expended to Date	$	1,855,474.99	0.0829%

VENDOR SUMMARY DETAILS

	SB		Eligible Commitment Value[5]		Eligible Paid to Date[6]	Title VI Class
V	Alert-O-Lite	$	158,089.74	$	158,089.74	Female
V	Alert-O-Lite (Valverde)	$	187,975.66	$	187,975.66	Female
V	Charter Industrial Supply	$	32,972.90	$	32,972.90	
V	Constar Supply	$	1,511,235.79	$	1,511,235.79	
V	Core Business Interiors	$	260,553.44	$	260,553.44	
V	Dumont Printing	$	65,416.42	$	65,416.42	
V	Facility Designs	$	3,000.00	$	3,000.00	
V	Isco Machinery	$	7,759.30	$	7,759.30	
V	Mission Valley Sanitation	$	1,027.11	$	1,027.11	
V	Piranha Pipe & Precast (Valverde)	$	1,764,009.41	$	1,764,009.41	
V	Saf-T-Co Supply (Valverde)	$	49,326.98	$	49,326.98	
V	Sequoia Equipment Company	$	10,485.53	$	10,485.53	
V	Sequoia Equipment Company (Valverde)	$	359,891.91	$	359,891.91	
V	Shasta Welding Supply, Inc.	$	317,046.04	$	317,046.04	
V	Valley Iron Works, Inc.	$	22,638.29	$	22,638.29	
V	Ventura TV Video Appliance	$	12,957.97	$	12,957.97	
V	Western Traffic Supply	$	168,371.10	$	168,371.10	
	Total	**$**	**4,932,757.59**	**$**	**4,932,757.59**	

	MB		Eligible Commitment Value[5]		Eligible Paid to Date[6]
V	BMA Construction Engineer	$	54,739.00	$	54,739.00
V	Canyon Fork Ace Hardware	$	2,190.48	$	2,190.48
V	CH Bull Company	$	66,360.32	$	66,360.32
V	Contect Hoist & Rigging	$	277,176.11	$	277,176.11
V	Clovis Stationary	$	440,854.64	$	440,854.64
V	Fresno Wire Rope & Rigging	$	35,808.34	$	35,808.34
V	GCS Environmental Equipment	$	48,822.01	$	48,822.01
V	Jones Heavy Haul	$	51,346.50	$	51,346.50
V	JWT Site Management	$	83,460.00	$	83,460.00
V	Reprographic Machine Sales Co.	$	25,991.64	$	25,991.64
V	SignMax	$	19,896.40	$	19,896.40
V	TNJ/Harris Electric, Inc.	$	9,992.81	$	9,992.81
V	Tommy's Water Truck (TPZP Vendor)	$	11,621.39	$	11,621.39
V	Tommy's Water Truck (Valverde)	$	370,989.52	$	370,989.52
V	Valley Coffee	$	271,216.71	$	271,216.71
V	Valley Shredding	$	11,848.75	$	11,848.75
V	Ventura Consulting Group	$	73,160.37	$	73,160.37
	Total	**$**	**1,855,474.99**	**$**	**1,855,474.99**

	DBE		Eligible Commitment Value[5]		Eligible Paid to Date[6]	Title VI Class
V	Associated Traffic Safety	$	16,815.00	$	16,815.00	Female
V	Safety Vibe Inc.	$	187,271.96	$	187,271.96	Hispanic/Female
	Total	**$**	**204,086.96**	**$**	**204,086.96**	

	DVBE		Eligible Commitment Value[5]		Eligible Paid to Date[6]
V	Caring & Loving	$	12,375.00	$	12,375.00
	Total	**$**	**12,375.00**	**$**	**12,375.00**

Legend

(Higher Tier Sub) The listed name is sub-tier of the contractor listed in parenthesis

P Professional Service

C Construction Subcontractor

V Vendor

^ Innovative Concrete and Pipe Jacking Unlimited's dollar values have been removed from the report, as they have been bought out and therefore are no longer independently owned and operated SBs.

Contract not included in DBE contract total, same contract as listed in DVBE. Valverde crediting split between dual certifications.

* Denotes new procurement for **August 2020**

[1] Original Contract Price includes Contract Price plus Provisional Sums.

[2] Includes combined total of Contract Price, Provisional Sums, Class I and Class II Hazardous Waste, Prime Contract Change Orders and does not include payments made to excluded 3rd Party Subcontractors. Change order values are based on California High-Speed Rail Central Valley Report **July** 2020 data [the most current report avaialbe at the time this report was drafted].

[3] Verified data includes **August 2020** SB utilization totals and percentages, and is the sum of data provided only on Forms 103A and prompt payment reports submitted from the inception of the project through this reporting period. Does not include data from unapproved SBE firms or unverified data from subcontractors.

[4] Verified data includes **August 2020** SB utilization totals and percentages, and is the sum of data provided only on Forms 103B and prompt payment reports submitted from the inception of the project through this reporting period. Does not include data from unapproved SBE firms or unverified data from vendors.

[5] Eligible commitment values for subcontractors reflect original contract value inclusive of all Authority approved change order activity minus commitment value of lower tier subcontractors. Eligible commitment value for vendors reflect the total dollars paid to the vendor from inception through the end of the reporting period.

[6] Eligible paid to date reflects all dollars paid to the firm minus payments made to their lower tier subcontractors.

Attachment 2: CP1 Dispatch Worker by County Report

California High Speed Rail Authority
Construction Package 1 Design-Build Project

All Prevailing Wage Workers
And Hours by County
Total Number of Hours Worked

Reporting Period
Cumulative Totals up to August 31, 2020

State, County and Zip Code	Sum of Hours	Sum of Workers
AZ	**2,937.50**	**2**
Maricopa	**82.00**	**1**
85297	82.00	1
Pinal	**2,855.50**	**1**
85120	2,855.50	1
CA	**2,080,300.22**	**2013**
Alameda	**25,539.50**	**81**
94536	5,074.00	5
94538	632.00	2
94541	6,820.50	14
94544	1,881.50	12
94545	355.00	5
94550	36.00	1
94555	3.00	1
94560	959.00	9
94568	5,208.50	1
94577	33.00	1
94578	2,907.50	1
94579	44.00	2
94580	796.50	5
94587	510.00	10
94588	32.00	1
94601	4.00	1
94603	122.00	4
94605	4.00	1
94612	9.00	1
94621	78.00	3
94845	30.00	1
Amador	**4,920.00**	**1**
95640	120.00	
95665	4,800.00	1
Butte	**415.50**	**2**
95948	304.00	1
95965	111.50	1
Calaveras	**8,454.50**	**4**
95221	7,866.00	1
95226	65.50	1
95252	72.00	1
95257	451.00	1
Contra Costa	**15,538.50**	**23**
94509	114.00	2
94513	79.00	2
94514	2,038.50	1
94521	36.00	1
94531	1,583.00	3
94553	99.00	1
94561	398.00	7
94565	6,907.00	3
94583	311.50	1
94801	3,972.50	2
El Dorado	**1,018.00**	**7**
93720	17.50	1
95623	388.00	2
95667	17.00	1
95682	33.00	1
95709	424.50	1
95762	138.00	1
Fresno	**1,239,228.18**	**1082**
90059	2.00	1
93210	7,886.25	8
93230	1,586.00	1
93234	1,549.75	2
93242	949.50	4
93291	8,847.50	1
93602	7,942.25	9
93606	379.40	1
93608	3,037.84	2
93609	4,152.41	5
93611	86,368.12	66
93612	59,706.74	47
93613	895.50	3

National Targeted Hiring Initiative Workers Summary
Total of Category One & Two and Disadvantaged Workers[1, 2, 3]
Hours Worked Per County

Reporting Period
Cumulative Totals up to August 31, 2020

State, County and Zip Code	Sum of Hours	Sum of Workers
AZ	**2,855.50**	**1**
Pinal	**2,855.50**	**1**
85120	2,855.50	1
CA	**1,501,859.27**	**1378**
Alameda	**4,981.00**	**22**
94544	1,881.50	12
94578	2,907.50	1
94601	4.00	1
94603	122.00	4
94605	4.00	1
94612	9.00	1
94621	53.00	2
Butte	**415.50**	**2**
95948	304.00	1
95965	111.50	1
Calaveras	**451.00**	**1**
95257	451.00	1
Contra Costa	**9,092.50**	**7**
94509	114.00	2
94553	99.00	1
94565	6,907.00	3
94801	1,972.50	1
Fresno	**937,429.31**	**833**
90059	2.00	1
93210	339.50	1
93230	1,586.00	1
93234	1,549.75	2
93291	8,847.50	1
93606	379.40	1
93608	1,015.84	1
93611	24.00	1
93612	59,358.49	46
93618	11,288.30	6
93622	9,541.00	4
93630	18,895.93	17
93631	15,789.25	13
93638	416.50	1
93640	1,058.50	3
93648	1,242.00	4
93650	4,110.25	5
93651	3.50	1
93654	3,858.50	5
93656	88.00	1
93657	54,511.62	58
93660	656.50	2
93662	16,289.32	25
93701	35,283.50	18
93702	61,414.70	58
93703	48,508.56	49
93704	68,135.30	38
93705	30,030.71	47
93706	58,702.74	46
93710	35,209.72	31
93720	715.21	1
93721	2,833.00	5
93722	143,956.41	120
93723	7,035.82	12
93725	69,654.43	31
93726	64,792.35	62
93727	75,847.53	85
93728	24,447.68	30
93822	10.00	
Hanford	**44.00**	**1**
93230	44.00	1
Imperial	**44.00**	**1**
92227	44.00	1
Kern	**6,565.45**	**20**
93240	343.50	1
93241	5.00	1
93263	1,156.43	1
93268	42.00	1

State, County and Zip Code	Sum of Hours	Sum of Workers
93616	322.00	2
93618	11,288.30	6
93619	40,162.52	34
93621	112.00	1
93622	9,541.00	4
93625	3,926.98	5
93626	16,650.50	5
93630	18,895.93	17
93631	15,789.25	13
93636	12.00	1
93638	416.50	1
93640	1,058.50	3
93646	827.00	2
93648	1,242.00	4
93650	4,110.25	5
93651	1,680.00	4
93654	3,885.50	6
93656	1,705.50	4
93657	54,511.62	58
93660	4,922.06	4
93662	17,599.07	26
93664	7,390.00	1
93667	24,156.50	9
93675	2,121.00	3
93701	35,283.50	18
93702	61,414.70	58
93703	49,023.81	51
93704	68,135.30	38
93705	31,470.46	50
93706	58,966.74	47
93707	381.25	1
93710	35,323.72	32
93711	27,225.83	26
93712	1,718.00	1
93714	166.00	1
93720	35,001.03	27
93721	2,833.00	5
93722	145,663.41	122
93723	7,035.82	12
93725	69,662.43	32
93726	68,034.85	63
93727	76,301.53	87
93728	24,447.68	30
93730	7,152.50	4
93737	5,552.93	5
93779	1,450.97	1
93792	1,269.98	1
93822	10.00	
95611	13.50	1
97705	60.00	1
Hanford	**44.00**	**1**
93230	44.00	1
Imperial	**44.00**	**1**
92227	44.00	1
Jack	**49.00**	**1**
76458	49.00	1
Kern	**9,038.48**	**34**
93240	343.50	1
93241	5.00	1
93263	1,156.43	1
93268	42.00	1
93304	10.00	1
93306	692.96	3
93307	1,104.55	4
93308	2,553.01	4
93309	299.50	4
93311	102.00	2
93312	2.00	1
93313	1,903.53	6
93314	409.00	3
93560	399.00	1
93561	16.00	1
Kings	**47,365.89**	**40**
93204	223.00	4
93212	45.00	1
93230	23,974.39	17
93232	94.50	1
93245	23,005.00	16
93270	24.00	1
Kootenai	**2,942.00**	**1**
83835	2,942.00	1
Lake	**186.50**	**1**
95422	186.50	1
Lassen	**19.00**	**1**
96130	19.00	1

State, County and Zip Code	Sum of Hours	Sum of Workers
93304	10.00	1
93306	692.96	3
93307	1,104.55	4
93308	2,553.01	4
93309	259.00	3
93560	399.00	1
Kings	**44,120.89**	**38**
93204	223.00	4
93212	45.00	1
93230	23,974.39	17
93245	19,854.50	15
93270	24.00	1
Kootenai	**2,942.00**	**1**
83835	2,942.00	1
Lake	**186.50**	**1**
95422	186.50	1
Lassen	**19.00**	**1**
96130	19.00	1
Los Angeles	**39,104.00**	**42**
90026	36.00	1
90037	102.00	1
90044	136.00	1
90059	43.00	1
90241	637.00	1
90280	50.00	1
90601	289.50	1
90640	1,155.50	1
90660	50.00	1
90706	1,396.50	1
90731	30.00	1
90805	6,009.50	1
91103	522.50	1
91331	4,522.50	3
91342	16,509.50	5
91402	50.00	1
91748	111.00	1
93535	1,462.50	11
93550	5,960.00	6
93552	8.00	1
93553	23.00	1
Madera	**151,866.69**	**101**
93610	39,254.81	12
93636	105.00	1
93637	39,714.15	35
93638	69,901.73	50
93710	269.00	2
93727	2,622.00	1
Mendocino	**63.00**	**1**
95482	63.00	1
Merced	**51,562.38**	**32**
93620	765.00	1
93635	18,760.00	7
93638	46.00	1
95301	8,004.50	7
95340	10,905.88	4
95341	820.00	3
95348	12,261.00	9
Monterey	**955.50**	**1**
93960	955.50	1
Orange	**767.50**	**4**
90680	34.50	1
92627	28.00	1
92683	157.00	1
92804	548.00	1
Pierce	**2,128.00**	**1**
98498	2,128.00	1
Placer	**5,994.00**	**4**
95603	136.00	1
95648	50.50	1
95678	5,807.50	2
Riverside	**6,269.00**	**9**
91752	36.00	1
92241	4.00	1
92509	7.00	1
92530	3,541.50	1
92544	2.00	1
92545	2,466.50	3
93722	212.00	1
Sacramento	**10,466.00**	**25**
95608	34.00	1
95628	4.50	
95660	2,061.00	1
95691	16.00	1
95814	281.50	1
95822	50.00	3

State, County and Zip Code	Sum of Hours	Sum of Workers
Los Angeles	**64,004.11**	**71**
90026	36.00	1
90034	3,162.50	2
90037	102.00	1
90044	136.00	1
90059	43.00	1
90241	637.00	1
90280	50.00	1
90502	25.00	1
90601	289.50	1
90605	847.50	1
90606	17.00	1
90640	1,155.50	1
90650	651.61	1
90660	50.00	1
90706	1,396.50	1
90712	4,233.50	2
90713	93.00	1
90731	30.00	1
90745	56.00	1
90748	320.00	1
90805	6,009.50	1
91006	35.50	1
91103	522.50	1
91311	24.00	1
91331	4,522.50	3
91342	16,509.50	5
91351	120.00	1
91384	1,454.50	1
91387	64.00	1
91402	50.00	1
91744	868.00	1
91748	111.00	1
91775	914.50	1
91791	1,098.00	1
93510	359.00	1
93535	1,462.50	11
93536	560.50	2
93543	541.50	3
93550	5,960.00	6
93551	4,318.50	2
93552	8.00	1
93553	23.00	1
93591	5,136.00	2
Madera	**218,620.78**	**159**
93537	382.00	1
93601	1,554.00	2
93610	39,254.81	12
93612	651.00	2
93614	9,616.00	12
93636	23,503.84	27
93637	39,882.15	36
93638	70,093.48	51
93643	22,293.50	4
93644	3,041.50	5
93645	1,866.50	1
93653	3,591.00	3
93710	269.00	2
93727	2,622.00	1
Marin	**269.00**	**2**
94903	59.00	1
94920	210.00	1
Mariposa	**4,763.50**	**4**
95306	3,536.50	1
95338	1,227.00	3
Mendocino	**63.00**	**1**
95482	63.00	1
Merced	**65,116.88**	**38**
93620	2,185.00	2
93635	18,760.00	7
93638	46.00	1
95301	8,004.50	7
95315	2,542.00	1
95322	136.00	1
95324	7,429.50	1
95334	1,801.50	1
95340	10,905.88	4
95341	820.00	3
95344	225.50	1
95348	12,261.00	9
Monterey	**1,029.50**	**3**
93907	58.00	1
93930	16.00	1
93960	955.50	1
Napa	**68.50**	**1**

State, County and Zip Code	Sum of Hours	Sum of Workers
95823	241.50	1
95824	27.00	2
95825	181.50	1
95826	47.00	1
95827	810.50	1
95828	135.00	4
95833	452.00	4
95838	6,072.50	2
95841	41.50	1
95842	10.50	1
San Bernardino	**12,268.75**	**20**
91761	93.00	1
91762	4,171.50	1
91764	425.75	2
91786	2,810.00	1
92308	8.00	1
92335	33.50	1
92345	316.00	1
92346	199.50	2
92376	1,031.50	4
92392	1,574.00	1
92399	132.50	1
92404	1,138.00	2
92407	308.00	1
92410	27.50	1
San Diego	**10,386.25**	**7**
91910	308.75	1
91911	1,422.50	1
92027	1,762.00	2
92114	6,467.50	1
92173	425.50	2
San Francisco	**1,810.00**	**1**
94124	1,810.00	1
San Joaquin	**10,214.00**	**37**
93630	359.00	1
95203	138.50	2
95204	798.50	1
95205	1,211.00	3
95206	4,560.50	10
95207	678.50	5
95209	130.00	1
95210	114.00	1
95215	856.50	4
95240	505.00	5
95336	862.50	4
San Luis Obispo	**72.00**	**1**
93445	72.00	1
San Mateo	**11,394.00**	**2**
94063	11,394.00	2
Sanger	**49.00**	**1**
93657	49.00	1
Santa Clara	**814.50**	**10**
95020	440.00	4
95111	195.50	2
95116	85.00	2
95122	94.00	2
Shasta	**6,324.50**	**2**
96001	155.50	1
96002	6,169.00	1
Solano	**679.50**	**2**
94533	180.00	1
94590	499.50	1
Stanislaus	**25,286.50**	**27**
95219	8.00	1
95307	8,062.50	7
95350	3,299.00	7
95351	6,406.00	3
95354	1,260.00	2
95357	1,740.50	1
95358	549.00	1
95380	3,930.50	3
95820	16.00	1
95824	15.00	1
Stockton	**8.50**	**1**
95215	8.50	1
Sutter	**26.00**	**1**
95991	26.00	1
Tehama	**9,548.50**	**3**
96021	7,999.50	1
96080	1,549.00	2
Tulare	**133,821.55**	**107**
93223	4,127.50	3
93247	2,383.32	6
93257	17,048.08	7
93267	283.00	2

State, County and Zip Code	Sum of Hours	Sum of Workers
94503	68.50	1
Orange	**9,684.34**	**16**
90631	807.50	2
90680	34.50	1
92627	28.00	1
92647	387.29	2
92679	359.00	1
92683	157.00	1
92688	364.50	1
92804	548.00	1
92805	54.00	1
92807	54.00	1
92840	205.55	1
92845	16.00	1
92865	25.00	1
92886	6,644.00	1
Pierce	**2,128.00**	**1**
98498	2,128.00	1
Placer	**8,012.50**	**11**
95602	414.00	1
95603	136.00	1
95604	1,091.50	1
95648	50.50	1
95650	200.00	2
95677	241.00	1
95678	5,807.50	2
95747	56.00	1
95765	16.00	1
Riverside	**13,547.00**	**19**
91752	36.00	1
92203	755.00	1
92241	4.00	1
92509	7.00	1
92530	3,541.50	1
92536	5,853.50	1
92544	2.00	1
92545	2,466.50	3
92563	47.00	2
92571	38.00	2
92584	273.00	1
92860	50.00	1
92880	111.50	1
92883	150.00	1
93722	212.00	1
Sacramento	**14,365.00**	**44**
95608	34.00	1
95624	223.50	5
95628	54.50	1
95632	1,439.75	3
95638	68.50	1
95641	1,335.00	1
95660	2,061.00	1
95673	120.00	1
95691	16.00	1
95758	393.00	2
95814	281.50	1
95822	50.00	3
95823	246.00	2
95824	27.00	2
95825	181.50	1
95826	47.00	1
95827	810.50	1
95828	135.00	4
95829	138.25	2
95830	113.50	1
95833	452.00	4
95835	13.00	1
95838	6,072.50	2
95841	41.50	1
95842	10.50	1
Saint Clair	**786.50**	**1**
48074	786.50	1
San Benito	**1,090.50**	**4**
95023	1,090.50	4
San Bernardino	**17,264.00**	**27**
91701	332.00	1
91708	8.00	1
91710	186.75	1
91739	2,080.00	1
91761	93.00	1
91762	4,171.50	1
91764	425.75	2
91786	2,810.00	1
92308	8.00	1
92335	33.50	1

State, County and Zip Code	Sum of Hours	Sum of Workers
93272	1,483.97	2
93274	17,575.00	22
93277	24,003.01	24
93286	1,594.50	2
93291	31,689.00	16
93292	23,850.92	16
93618	8,884.25	4
93647	637.00	2
93724	262.00	1
Tuolumne	**417.00**	**2**
95370	417.00	2
Ventura	**58.50**	**2**
93036	37.00	1
93060	21.50	1
Washoe	**2,903.50**	**1**
89434	2,903.50	1
Yolo	**22.00**	**1**
95691	22.00	1
Yuba	**287.50**	**2**
95901	265.50	1
95961	22.00	1
ID	**77.50**	**1**
Bingham	**77.50**	**1**
83221	77.50	1
MI	**66.00**	**1**
Macomb	**66.00**	**1**
48317	66.00	1
MT	**2,409.50**	**1**
Meagher	**2,409.50**	**1**
59645	2,409.50	1
NC	**16.00**	**1**
Brunswick	**16.00**	**1**
28451	16.00	1
NV	**3,395.86**	**2**
Clark	**3,018.50**	**2**
89107	2,943.50	1
89108	75.00	1
Washoe	**377.36**	
89434	377.36	
OK	**1,459.17**	**3**
Rogers	**150.00**	**1**
74017	150.00	1
Sequoyah	**68.30**	**1**
74955	68.30	1
Washita	**1,240.87**	**1**
73624	1,240.87	1
Undetermined State	**3.25**	**1**
Undetermined County	**3.25**	**1**
Undetermined Zip Code	3.25	1
WY	**446.50**	**1**
Fremont	**446.50**	**1**
82501	446.50	1
Grand Total	**1,512,588.55**	**1390**

State, County and Zip Code	Sum of Hours	Sum of Workers
92345	316.00	1
92346	199.50	2
92359	665.00	1
92371	1,523.00	1
92376	1,031.50	4
92377	200.50	1
92392	1,574.00	1
92399	132.50	1
92404	1,138.00	2
92407	308.00	1
92410	27.50	1
San Diego	**12,314.75**	**10**
91910	308.75	1
91911	1,422.50	1
92027	1,762.00	2
92030	909.00	1
92065	1,019.50	2
92114	6,467.50	1
92173	425.50	2
San Francisco	**1,818.00**	**2**
94124	1,810.00	1
94131	8.00	1
San Joaquin	**18,556.25**	**58**
93630	359.00	1
95203	138.50	2
95204	798.50	1
95205	1,252.00	4
95206	4,560.50	10
95207	678.50	5
95209	130.00	1
95210	114.00	1
95212	120.00	2
95215	856.50	4
95220	3,490.00	1
95231	117.00	1
95240	613.50	6
95242	44.00	1
95252	72.00	1
95320	124.50	3
95336	862.50	4
95337	970.00	2
95366	44.50	1
95376	2,514.75	4
95377	696.00	3
San Luis Obispo	**337.00**	**2**
93403	265.00	1
93445	72.00	1
San Mateo	**11,434.00**	**3**
94014	40.00	1
94063	11,394.00	2
Sanger	**49.00**	**1**
93657	49.00	1
Santa Clara	**5,824.21**	**23**
94087	131.58	1
95020	679.50	5
95037	2,988.00	2
95110	528.00	3
95111	195.50	2
95116	85.00	2
95122	94.00	2
95127	413.00	4
95128	317.63	1
95161	392.00	1
Santa Cruz	**5,550.50**	**2**
95006	361.50	1
95067	5,189.00	1
Shasta	**14,327.00**	**4**
96001	155.50	1
96002	6,169.00	1
96008	606.50	1
96099	7,396.00	1
Solano	**7,968.25**	**8**
94510	96.50	1
94533	180.00	1
94534	1,557.75	1
94585	4,745.00	2
94589	4.00	1
94590	499.50	1
95687	885.50	1
Stanislaus	**56,585.50**	**69**
94560	225.00	3
95219	8.00	1
95307	8,062.50	7
95320	48.00	1
95323	141.00	1

State, County and Zip Code	Sum of Hours	Sum of Workers
95326	234.00	2
95350	3,299.00	7
95351	6,414.00	4
95354	1,260.00	2
95355	3,153.00	4
95356	892.50	5
95357	1,740.50	1
95358	549.00	1
95360	3,479.50	2
95361	1,248.00	9
95363	12,500.50	8
95367	331.50	1
95368	4,725.00	3
95380	3,930.50	3
95382	4,313.00	2
95820	16.00	1
95824	15.00	1
Stockton	**8.50**	**1**
95215	8.50	1
Sutter	**57.00**	**2**
95991	26.00	1
95993	31.00	1
Tehama	**12,320.50**	**4**
96021	7,999.50	1
96022	2,772.00	1
96080	1,549.00	2
Tulare	**151,629.10**	**128**
93221	6.00	1
93223	4,127.50	3
93227	27.50	2
93235	7,938.00	4
93247	3,426.41	7
93257	17,048.08	7
93262	637.50	1
93265	1,887.00	2
93267	283.00	2
93271	1,092.00	3
93272	1,483.97	2
93274	17,575.00	22
93277	24,003.01	24
93278	29.50	1
93279	1,520.00	1
93286	1,594.50	2
93290	26.50	2
93291	31,689.00	16
93292	23,850.92	16
93618	8,884.25	4
93647	2,408.46	3
93724	262.00	1
95688	1,795.00	1
Undetermined Zip Code	34.00	1
Tuolumne	**436.00**	**3**
95327	19.00	1
95370	417.00	2
Ventura	**127.50**	**5**
93001	42.50	1
93021	21.50	1
93035	5.00	1
93036	37.00	1
93060	21.50	1
Washoe	**2,903.50**	**1**
89434	2,903.50	1
Yolo	**2,150.00**	**3**
95691	22.00	1
95695	2,128.00	2
Yuba	**287.50**	**2**
95901	265.50	1
95961	22.00	1
CO	**4.00**	**1**
El Paso	**4.00**	**1**
80132	4.00	1
ID	**77.50**	**1**
Bingham	**77.50**	**1**
83221	77.50	1
IL	**1,072.00**	**2**
Macoupin	**752.50**	**1**
62014	752.50	1
Randolph	**319.50**	**1**
62286	319.50	1
MI	**66.00**	**1**
Macomb	**66.00**	**1**
48317	66.00	1
MT	**2,409.50**	**1**
Meagher	**2,409.50**	**1**
59645	2,409.50	1

State, County and Zip Code	Sum of Hours	Sum of Workers
NC	**16.00**	**1**
Brunswick	**16.00**	**1**
28451	16.00	1
NV	**15,494.86**	**7**
Clark	**15,117.50**	**7**
89002	68.00	2
89005	2,970.50	1
89031	16.00	1
89107	2,943.50	1
89108	75.00	1
89156	9,044.50	1
Washoe	**377.36**	
89434	377.36	
OK	**1,459.17**	**3**
Rogers	**150.00**	**1**
74017	150.00	1
Sequoyah	**68.30**	**1**
74955	68.30	1
Washita	**1,240.87**	**1**
73624	1,240.87	1
Undetermined State	**480.25**	**10**
Undetermined County	**480.25**	**10**
Undetermined Zip Code	480.25	10
UT	**720.00**	**1**
Salt Lake City	**720.00**	**1**
84127	720.00	1
WA	**5,143.50**	**4**
King	**1,871.00**	**1**
98042	1,871.00	1
Pierce	**2,333.50**	**1**
98373	2,333.50	1
Spokane	**939.00**	**2**
99019	398.00	1
99203	541.00	1
WY	**446.50**	**1**
Fremont	**446.50**	**1**
82501	446.50	1
Grand Total	**2,110,627.00**	**2048**

Source Documents: CP 1 Certified Payroll Records (CPRs) submitted by Tutor Perini/Zachry/Parsons, JV active and approved subcontractors regardless of tier.

Disclaimer: a The Information provided in this report is based from CPRs sent by TPZP from the beginning of project work to August 31, 2020 for CP 1 Project.
b As of June 2020 contractors performing Community Benefits Agreement (CBA) Excluded work as defined by CBA 2.4, Exclusion, the Targeted Worker hours have been extracted from the NTHI reporting, therefore, causing a decrease in Targeted Worker hours, however, the overall hours and employee count will continue to be reported.

Undetermined County: County can not be identified by zip code or zip code is inconsistent with city listed on CPRs

National Targeted Worker means an individual whose primary place of residence is within an Extremely Economically Disadvantaged Area (Category 1) or an Economically Disadvantaged Area (Category 2) in the United States, or a Disadvantaged Worker (see below).
Includes only the Community Beneftis Agreement (CBA) covered work.

[2] **Category 1** refers to workers who reside in extremely economically disadvantaged area with zip codes that includes a census tract or portion thereof in which the median annual household income is less than $32,000 per year.

[1] **Category 2** refers to workers who reside in economically disadvantaged area with zip codes that includes a census tract or portion thereof in which median annual household income is between $32,000 and $40,000 per year.

[3] **Disadvantaged worker** means an individual who, prior to commencing work on the project, resides in an Extremely Economically Disadvantaged area (Category 1) or Economically Disadvantaged area (Category 2), and faces at least one of the following barriers to employment: being a veteran, being homeless, being custodial single parent, receiving public assistance, lacking a GED or high school diploma, having a criminal record or other involvement with the criminal justice system, suffering from chronic unemployment, emancipated from foster care system, or being an apprentice with less than 15% of the apprenticeship hours required to graduate to journey level in a program as described in Section 1.2. *Note that a Disadvantaged Worker classification also entails that the worker resides in a Category 1 or Category 2 area, meaning they are counted within the two categories for employee count and craft hour reporting purposes.*

DFJV SB/DBE/DVBE EXPENDED DOLLARS PROGRESS FOR THE MONTH OF AUGUST 2020

THREE MONTH PERFORMANCE ASSESSMENT – AT A GLANCE
[JUNE 01, 2020 – AUGUST 31, 2020]

☐Excellent *(24%-30% to SBs)*	☐Good *(18%-23% to SBs)*	☒**Fair** ***(12%-17% to SBs)***	☐Poor *(6%-11% to SBs)*	☐Serious Risk of SB Shortfall *(0-5% to SBs)*

CP2-3 DOLLARS PAID	**AMOUNT**	**PERCENT**
TOTAL HSR PAYMENTS TO DFJV	**$108,949,011.69**	**100%**
All SBs Share of HSR Payment:	$18,837,995.63	17.29%
Target Payment to SBs Based on CRB's HSR Payments:	$32,684,703.50	30.00%

Conclusion: Over the three-month span from June 01 – August 31, 2020, DFJV has collectively paid all SBs 17.29% of the HSR Payment they received, or $0.17 for every dollar. Due to DFJV's historic deficiency in total payments made to SBs, DFJV would need to increase the SBs share of HSR payments to $0.54 cents for every dollar remaining on the CP2-3 contract to fulfill the overall 30% SB goal. DFJV's collective payments to SBs this period decreased by 3.73% from last month's performance assessment.

1. Overall DBE/DVBE/MB/SB Participation

Review and verification of the Dragados Flatiron Joint Venture (DFJV) Monthly Form 103A and prompt payment report covering the period of August 01 – August 31, 2020 was completed and submitted to the task manager of the Authority's Contract Compliance Unit (CCU). See Attachment 1 – *CP2-3 Verified Monthly SB Utilization Report August 2020*. At 56.62% project completion, DFJV is currently on track to meet the 30% overall SB goal based on SB commitments. At this point in the project cycle, DFJV's SB participation includes a projected total of ≈$320.6 million in both SB commitments and total expended dollars paid to date to SBs (including DBEs, DVBEs, MBs, SBs, and SB PWs). Having awarded ≈$348.2 million in SB commitments, DFJV is ahead of target by ≈$27.6 million for projected SB participation. While DFJV is on target to meet the overall 30% SB goal in commitments, DFJV's total expended dollars to date lags behind the projected spending for SBs by ≈$193.4 million. With such a substantial deficit in total dollars expended to date, DFJV must award 53.61% of its remaining contract dollars to SBs in order to meet the overall 30% SB goal by the close of the project. Currently, DFJV has executed 130 subcontract agreements among all SB types, including 43 to DBEs; 10 to DVBEs; 28 to MBs; and 49 to SBs. DFJV has 01 SB agreement valued at $150,000.00 that is currently pending approval.

Overall SB Goal (30%) **$566,300,758.99** (by close of project)
SBs Projected Commitment & Expended to Date **$320,624,724.01** (based on % project complete)

DFJV SB Goal Progress	**Actual Value To Date**	**Actual v. Projected Value To Date**	**Status SB Goal Progress to Date**
All SB Commitment	$348,215,062.65	**$27,590,338.64**	**Ahead of Projected**
All SB Total Dollars Expended	$127,243,947.84	**-$193,380,776.17**	**Behind Projected**

DBE Goal (10% overall) **$188,766,919.66** (by close of project)
DBE Projected Commitment & Expended to Date **$106,874,908.00** (based on % project complete)

DFJV DBE Goal Progress	Actual Value To Date	Actual v. Projected To Date	Status DBE Goal Progress to Date
DBE Commitment	$77,454,722.37	**-$29,420,185.63**	**Behind Projected**
DBE Total Dollars Expended	$30,246,161.29	**-$76,628,746.71**	**Behind Projected**

Summary DBE Participation: At 56.62% project completion, DFJV is behind the DBE projected participation in commitments by ≈$29.4 million and by ≈$76.6 million in total expended dollars to DBEs to date. DFJV is short ≈$111.3 million in DBE commitments and ≈$158.5 million in total dollars expended to date in fulfilling the 10% DBE goal that is due by the close of the project. Based on DFJV's current progress, DFJV is far off target in total dollars expended to DBEs, having only captured 1.6% of the 10% DBE goal due by the close of the project.

DVBE Goal (03% overall) **$ 56,630,075.90** (by close of project)
DVBE Projected Commitment & Expended to Date **$ 32,062,472.40** (based on % project complete)

DFJV DVBE Goal Progress	Actual Value To Date	Actual v. Projected Value To Date	Status DVBE Goal Progress to Date
DVBE Commitment	$24,881,750.84	**-$7,180,721.56**	**Behind Projected**
DVBE Total Dollars Expended	$16,563,150.35	**-$15,499,322.05**	**Behind Projected**

Summary DVBE Participation: At 56.62% of project completion, DFJV is behind in DVBE projected participation in commitments by ≈$7.2 million, and lags behind ≈$15.5 million in total dollars expended to date to DVBEs. Based on DFJV's percentage of project completion, DFJV is behind target to meet the 03% DVBE goal due by the close of the project.

2. Comparison of July 2020 data to August 2020 data

Comparison of the CP2-3 SB Utilization report from the prior month to this reporting period resulted in the following changes:

Total Contract Value Subject to the 30% SB Goal Changes

- DFJV's total contract value (including provisional sums, class I and class II hazardous materials, and change orders) decreased by $975,348.48 from the prior month to this reporting period due to the inclusion of payments made to Third Parties in the total contract value reported in July 2020. Prime Contract Change order values are now based on the most current California High-Speed Rail Central Valley Status Report available during the drafting of this report. The change order values relied upon in this report were pulled from the **July 2020** CV Status Report.
- DFJV's total paid to date value (not including provisional sum payments paid to excluded Third Parties) increased $47,550,792.16 from the prior month to this reporting period.

DBE/DVBE/MB/SB Commitment Changes

- DFJV's SBs commitment value to date increased by $4,627,818.05 from the prior month to this reporting period.

- The Authority verified a total of $1,572,575.38 in change orders that were issued to the following SB subcontractors for this reporting period:

CO #1	Central Valley Engineering and Surveying	$5,000.00
CO #5	Deer Creek Asphalt (#358)	$37,933.41
CO #14	Forefront Deep Foundations	$93,400.00
CO#4	G & J Heavy Haul-354	$74,584.83
CO #19	Leo Tidwell	$1,091,244.00
CO #20	Leo Tidwell	$213,079.30
CO #21	Leo Tidwell	$22,307.84
CO #29	Morris Engineering	$3,520.00
CO #30	Morris Engineering	$4,900.00
CO #31	Morris Engineering	$16,300.00
CO #32	Morris Engineering	$8,600.00
CO #3	Wild Electric (2019-22-090)	$1,706.00

DBE/DVBE/MB/SB Payment Changes

- DFJV's overall payments to SBs increased $7,470,797.53 from the prior month to this reporting period.

Dispatched Worker Changes*	**Prior Month**	**Current Month**
• Total Labor Craft Workers To Date	1561	1595
• Total NTHI Workers To Date	1072	1072
• Total Disadvantaged Workers To Date	58	58
• Total Journeymen Workers To Date	1292	1322
• Total Apprentice Workers To Date	269	273

Dispatched Worker Hours Changes*	**Prior Month**	**Current Month**
• Total Labor Craft Worker Hours To Date	865,738	887,814
• Total NTHI Worker Hours To Date	621,106	598,155
• Total Disadvantaged Worker Hours To Date	69,286	68,829
• Total Journeymen Worker Hours To Date	736,900	754,551
• Total Apprentice Worker Hours To Date	128,838	133,263

* Workers performing utility work were removed from the total workers and worker hours reported during the current month.

The reported totals for dispatched workers and worker hours are sourced from certified payroll records submitted by the Contractor from the beginning of project work through the last day of the month for this reporting period. See Attachment 2 – *CP2-3 Dispatch Worker By County Report.*

Attachments:

1. Attachment 1 – *CP2-3 Verified Monthly SB Utilization Report August2020*
2. Attachment 2 – *CP2-3 Dispatch Worker by County Report August 2020*

Attachment 1: CP2-3 Verified Monthly SB Utilization Report

California High Speed Rail Authority
Construction Package 2-3 DFJV Contract Number HSR 13-57
Summary of Construction SBE/MB/DBE/DVBE Utilization

CP 2-3 DFJV Small Business Utilization Data for period inception through 8/31/2020

Original Contract Price[1]	**$1,365,335,890.00**
Class I and Class II Hazardous Waste Bid Price	$29,232,000.00
Executed Change Orders	$515,139,767.00
DFJV Current Total Contract Value	**$1,909,707,657.00**
Excluded 3rd Party Utility Subcontractor Payments	$22,038,460.36
SB Applicable Contract Value [A][2]	**$1,887,669,196.64**
DFJV Paid to Date minus 3rd Party Utility Payments [B]	$1,068,749,080.04
Project Completion % [B/A]	**56.62%**
SB/DBE/DVBE/MB Utilization Commitment [C]	$348,215,062.65
Commitment % [C/A]	18.2339%
SB/DBE/DVBE/MB Paid Expended to Date Utilization [D]	$127,126,721.64
Utilization % Based on Commitment [D/C]	36.5081%
Utilization % Based on Contract Paid to Date [D/B]	11.8949%
Construction SB Utilization	**6.7346%**
SB	3.3712%
DBE	1.5961%
DVBE	0.8774%
MB	0.8899%

Utilization = SB Dollars Paid to Date/SB Applicable Contract Value

SUMMARY OF DRAGADOS FLATIRON JOINT VENTURE CP2-3 SB AGREEMENTS and VENDOR COUNT

Type of Small Business	Construction	Professional Service	Total SB Contracts	Total Vendor Contracts
Small Business (SB)	39	10	49	25
Micro Business (MB)	18	10	28	32
Disadvantaged Business Enterprise (DBE)	27	16	43	11
Disabled Veteran Business Enterprise (DVBE)	10	0	10	4
Total	94	36	130	72

Total SB subcontracts and vendor agreements	**202**
Total Unique Small Businesses utilized	**159**
Total Pending SB Commitments	**1**
Combined Approved and Pending SB Commitment Total	**$348,365,062.65**

DRAGADOS FLATIRON JOINT VENTURE CP 2-3 SB SUBCONTRACTOR DOLLARS COMMITMENT AND EXPENDED TO DATE (FORM 103A)[3]

	Professional (P)	Construction (C)	Dollars	% Based on DFJV Paid to Date	% Based on SB Applicable Contract
Total SB Program Dollars Committed	**$ 40,978,753.69**	**$ 307,236,308.96**	**$ 348,215,062.65**	**32.5816%**	**18.4468%**
Total SB Program Dollars Expended to Date	**$ 17,952,101.40**	**$ 109,174,620.24**	**$ 127,126,721.64**	**11.8949%**	**6.7346%**
Total SB Dollars Committed	$ 28,246,541.85	$ 191,912,430.70	$ 220,158,972.55	20.5997%	11.6630%
Total SB Dollars Expended to Date	$ 6,153,337.43	$ 57,483,037.82	$ 63,636,375.25	5.9543%	3.3712%
Total DBE Dollars Committed	$ 10,728,634.84	$ 66,726,087.53	$ 77,454,722.37	7.2472%	4.1032%
Total DBE Dollars Expended to Date	$ 10,776,825.24	$ 19,352,109.85	$ 30,128,935.09	2.8191%	1.5961%
Total DVBE Dollars Committed	$ -	$ 24,881,750.84	$ 24,881,750.84	2.3281%	1.3181%
Total DVBE Dollars Expended to Date	$ -	$ 16,563,150.35	$ 16,563,150.35	1.5498%	0.8774%
Total MB Dollars Committed	$ 2,003,577.00	$ 23,716,039.89	$ 25,719,616.89	2.4065%	1.3625%
Total MB Dollars Expended to Date	$ 1,021,938.73	$ 15,776,322.22	$ 16,798,260.95	1.5718%	0.8899%

	CP 2-3 FORM 103A - SB SUBCONTRACTOR SUMMARY DETAIL		
	SB	**Eligible Commitment Value[5]**	**Eligible Paid to Date[6]**
C	ABSL Construction, Inc	$ 445,362.24	$ 105,137.24
C	Allied Concrete Pumping	$ 2,500,000.00	$ 321,430.42
C	Arthur & Orum Well Drilling, Inc.	$ 250,000.00	$ 204,460.00
C	Bill Nelson GEC	$ 2,725,809.86	$ 519,509.78
C	Bubba's Water Trucks	$ 670,000.00	$ 737,059.00
C	Dean's Certified Welding	$ 816,280.00	$ 431,895.00
P	Deer Creek Asphalt (#358)	$ 397,252.72	$ 254,514.00
P	Deer Creek Asphalt (#359)	$ 3,279,545.79	$ 665,666.93
P	Deer Creek Asphalt (#370)	$ 3,246,199.90	$ 1,192,661.28
P	Deer Creek Asphalt (#415)	$ 16,123,217.04	$ 7,133.13
C	DMC Sweeping LLC	$ 200,000.00	$ 96,255.00
P	Environmental Safety Solutions	$ 340,000.00	$ 247,698.23
C	G&J Heavy Haul #13 - Revised	$ 360,907.00	$ 360,907.04
C	G&J Heavy Haul #068	$ 8,941,957.42	$ 3,373,429.69
C	G&J Heavy Haul #073	$ 1,398,629.66	$ 1,087,258.97
C	G&J Heavy Haul #310-A	$ 5,235,194.15	$ 5,235,194.16
C	G&J Heavy Haul #312-B	$ 982,497.13	$ 955,478.48
C	G&J Heavy Haul #313-C	$ 1,351,115.96	$ 574,516.01
C	G&J Heavy Haul #314-D	$ 2,341,840.49	$ 1,695,198.13
C	G&J Heavy Haul #319-E	$ 602,985.60	$ 470,589.60
C	G&J Heavy Haul #327-F	$ 6,737,463.75	$ 6,323,147.63
C	G&J Heavy Haul #337-G	$ 2,651,937.50	$ 2,050,543.85
C	G&J Heavy Haul #343-H	$ 6,572,296.00	$ 6,417,251.26
C	G&J Heavy Haul #345-I	$ 1,534,148.17	$ 1,017,941.73
C	G&J Heavy Haul #346-J	$ 7,646,301.71	$ 1,423,913.65
C	G&J Heavy Haul #347-K	$ 145,235.51	$ -
C	G&J Heavy Haul #354-L	$ 119,529,084.43	$ 13,086,145.03
C	G&J Heavy Haul #378-M	$ 80,325.60	$ -
C	G&J Heavy Haul #094	$ 300,000.00	$ 420,566.88
C	Gills Site Solutions	$ 84,691.96	$ 15,556.31
C	Harber Companies, Inc.	$ 50,760.00	$ 56,115.00
C	Harris Blade Rentals	$ 120,000.00	$ -
P	Independent Pipe & Steel	$ 1,330,015.95	$ 1,318,810.40
C	Iron Industries, Inc.	$ 477,859.00	$ 196,577.80
C	Leo Tidwell	$ 12,498,383.14	$ 8,539,125.22
C	Miller Blade Rental	$ 120,000.00	$ -
P	Morris Engineering, Inc	$ 887,290.00	$ 248,833.01
P	O'Dell Engineering	$ 525,000.00	$ 100,000.00
C	Pacific Boring (AC Electric)	$ 18,924.00	$ -
C	Pacific Boring (DFJV)	$ 1,341,257.00	$ 220,457.95
C	Preferred Power Solutions	$ 642,122.49	$ 642,122.49
C	REY Engineers (Sandis Relieve JV)	$ 2,867.50	$ 2,867.50
P	Sandis CE (Sandis Relieve JV)	$ 2,044,895.45	$ 2,044,895.45
P	Southwest Geophysical (Group Delta/Jacobs)	$ 73,125.00	$ 73,125.00
C	Stoney's Sand & Gravel, LLC	$ 596,638.79	$ 425,644.17
C	Stroer & Graff, Inc. (Condon Johnson)	$ 1,415,600.00	$ -
C	Technicon (Group Delta/Jacobs)	$ 260,308.22	$ 260,308.22
C	TR Wood	$ 204,100.00	$ 142,555.59
C	West Steel & Plastics	$ 59,546.42	$ 73,879.02
	Total	**$ 220,158,972.55**	**$ 63,636,375.25**

	MB	**Eligible Commitment Value[5]**	**Eligible Paid to Date[6]**
P	Abe Construction Services	$ 126,670.00	$ 80,831.20
C	Achievement Engineering	$ 1,400,000.00	$ 448,982.00
C	Armour Fence	$ 400,569.42	$ 402,091.88
C	Country Hearts Too Trucking	$ 120,000.00	$ 185,276.20
C	Dewalt Corporation (DFJV)	$ 425,500.00	$ 150,649.32
C	Dewalt Corporation (Jacobs)	$ 9,296.00	$ 205,267.22
P	DirtPrep Solutions	$ 40,000.00	$ 15,480.00
C	DMC Sweeping	$ 196,218.75	$ 194,275.00
P	EG Lab (Group Delta/Jacobs)	$ 27,942.00	$ 27,942.00

	MB (cont'd)	Eligible Commitment Value[5]	Eligible Paid to Date[6]
C	Forefront Deep Foundations	$ 14,568,899.22	$ 12,872,360.29
C	Forefront Deep Foundations (Hayward Baker)	$ 4,609,746.00	$ -
C	Halsey's Tree Service	$ 42,480.00	$ 42,480.00
P	IS Architecture (Dudek)	$ 465,000.00	$ 459,719.33
C	J & M Land Restoration	$ 26,300.00	$ 24,985.00
P	KERNTEC Industries, Inc.	$ 90,000.00	$ 100,665.75
C	Kyle Koontz Trucking	$ 295,000.00	$ 148,700.00
C	Logan Construction	$ 615,000.00	$ 103,936.16
P	McCormick Biological Services	$ 690,000.00	$ 54,824.89
C	Middle Earth Geo Testing (Group Delta/Jacobs)	$ 146,506.50	$ 146,506.50
C	Olympic Property Services, LLC	$ 140,000.00	$ 35,052.03
P	Pacific Construction Consultants Inc (PCCI)	$ 351,500.00	$ 208,374.13
P	Radman Aerial Surveys (Sandis Relieve JV)	$ 111,810.00	$ 34,175.00
P	Redwood Biological Consulting	$ 40,000.00	$ 39,926.43
C	Tommy's Water Truck	$ 454,000.00	$ 608,212.53
P	Tri-County Janitorial	$ 60,655.00	$ -
C	West Coast Drilling, Inc. (Forefront)	$ -	$ -
C	Wild Electric, Inc. (2019-22-090)	$ 177,269.00	$ 166,947.00
C	Wild Electric, Inc. (2019-25-348)	$ 89,255.00	$ 40,601.09
	Total	**$ 25,719,616.89**	**$ 16,798,260.95**

	DBE	Eligible Commitment Value[5]	Eligible Paid to Date[6]	Title VI Class
P	Aardvark Biological Services (DFJV)	$ 610,000.00	$ 44,531.40	Asian Pacific
P	Aardvark Biological Services (Dudek)	$ 6,918,584.23	$ 6,918,584.23	Asian Pacific
C	Advantage Demolition and Grading	$ 215,594.68	$ 140,551.90	Black
C	Alert-O-Lite	$ 118,506.00	$ 45,903.75	Female
P	Area West Environmental	$ 70,267.47	$ 70,267.47	Female
C	Associated Traffic Safety (Group Delta/Jacobs)	$ 124,039.00	$ 124,039.00	Female
C	Avila Traffic Safety (Leo Tidwell)	$ -	$ -	Female/Hispanic
C	Bess Test Lab (Group Delta/Jacobs)	$ 32,605.95	$ 32,605.95	Hispanic
P	Blair, Church & Flynn	$ 270,000.00	$ 467,764.10	Native American
C	Brooklyn Sweeps	$ 145,000.00	$ 45,855.00	Black
P	Cadre Design Group, Inc.	$ 49,600.00	$ 44,287.00	Hispanic
C	Central Valley Engineering (Sandis Relieve JV)	$ 399,730.09	$ 399,730.09	Asian Subcontinent
C	Central Valley Engineering	$ 17,710.00	$ 7,500.00	Asian Subcontinent
P	Construction Engineering Consulting Group Inc.	$ 346,105.00	$ 417,543.72	Asian Subcontinent
C	DAVE Trucking & Sweeping, Inc.	$ 120,000.00	$ 555,637.31	Native American
C	DAVE Trucking & Sweeping, Inc. (Drill Tech)	$ -	$ -	Native American
C	Dawson Mauldin (AC Electric)	$ 15,360.00	$ -	Female
C	Dawson Mauldin (Leo Tidwell/Pacific Boring)	$ 164,500.00	$ -	Female
C	Dees Burke Engineering Constructors LLC	$ 953,038.00	$ -	Female
P	Elation Systems	$ 35,200.00	$ 16,600.00	Asian Pacific
C	ESP Surveying (Sandis Relieve JV)	$ 1,438,377.74	$ 1,438,377.74	Hispanic/Female
C	ESP Surveying	$ 339,000.00	$ 478,589.00	Hispanic/Female
P	James Transportation Group	$ 500,000.00	$ 416,584.95	Black
C	Hunsaker Safety and Sign, Inc.	$ 255,000.00	$ 92,246.60	Female
P	IDC Engineers (Jacobs)	$ 500,000.00	$ 1,429,240.10	Asian Pacific/Female
C	Lasar Underground	$ 2,670,514.54	$ 1,596,534.28	Female
C	Martinez Steel	$ 56,392,818.96	$ 11,901,455.76	Hispanic
C	Mass X Inc.	$ 866,586.70	$ 728,839.39	Female
C	MJ Avila	$ 23,459.44	$ 23,459.44	Hispanic/Female
C	Machado & Sons Construction, Inc.	$ 623,403.43	$ 246,590.49	Hispanic
P	Mountain Pacific Inc. (Jacobs)	$ 300,000.00	$ 352,999.50	Female
P	Mountain Pacific Inc. (DFJV)	$ 375,046.25	$ 278,318.75	Female
C	Preferred Power Solutions	$ 140,396.65	$ 459,255.02	Female
C	Rupert Construction Supply	$ 639,885.28	$ 558,383.70	Female
P	She Marine Construction Supply	$ 170,051.36	$ 147,555.69	Female
C	Terra Environmental Services, Inc.	$ 9,220.00	$ 4,375.00	Hispanic/Female
P	Toure Associates	$ 55,625.00	$ -	Black
P	Triumph Geo-Synthetics, Inc.	$ 490,655.53	$ 112,923.39	Female
P	VRPA Technologies	$ 27,500.00	$ 27,500.00	Female
C	Willie Dixon Trucking	$ 120,000.00	$ -	Black

	DBE (cont'd)		Eligible Commitment Value[5]		Eligible Paid to Date[6]	
P	Western Properties/Incontex (Dudek)	$	10,000.00	$	32,124.94	Hispanic/Female
C	West Pacific Electric (AT&T/Frontier Relocation)	$	699,607.00	$	322,278.71	Hispanic/Female
C	West Pacific Electric (Electrical Service at DFJV site)	$	201,734.07	$	149,901.72	Hispanic/Female
		Total	**$ 77,454,722.37**	**$**	**30,128,935.09**	

	DVBE		Eligible Commitment Value[5]		Eligible Paid to Date[6]
C	Double R Transportation	$	300,000.00	$	245,516.71
C	Double R Transportation (Mass X)	$	7,486.95	$	7,486.95
C	Katch Environmental (DFJV - Clear and Grub)	$	9,744,220.47	$	7,235,793.91
C	Katch Environmental (DFJV - Water Trucks)	$	1,247,654.80	$	1,473,049.39
C	Moore Twining (Group Delta/Jacobs)	$	562,986.06	$	562,986.06
C	Moore Twining (DFJV - Testing)	$	36,000.00	$	34,220.40
C	Moore Twining (DFJV - Quality Assurance)	$	2,585,449.60	$	631,163.32
C	Safety Striping Services	$	58,572.68	$	32,229.60
C	Trahan Enterprises	$	1,000,000.00	$	1,555,938.21
C	USC Supply	$	9,339,380.28	$	4,784,765.80
		Total	**$ 24,881,750.84**	**$**	**16,563,150.35**

DFJV CP 2-3 SB VENDOR DOLLARS COMMITTED AND EXPENDED TO DATE (FORM 103B)[4]			
		Dollars	%
Total SB Program Dollars Committed	**$**	**6,836,006.77**	**0.3580%**
Total SB Program Dollars Expended to Date	**$**	**6,836,006.77**	**0.3580%**
Total SB Dollars Committed	$	1,622,220.03	0.0849%
Total SB Dollars Expended to Date	$	1,622,220.03	0.0849%
Total DBE Dollars Committed	$	2,748,692.66	0.1439%
Total DBE Dollars Expended to Date	$	2,748,692.66	0.1439%
Total DVBE Dollars Committed	$	384,864.73	0.0202%
Total DVBE Dollars Expended to Date	$	384,864.73	0.0202%
Total MB Dc Total MB Dollars Committed	$	2,080,229.35	0.1089%
Total MB Dc Total MB Dollars Expended to Date	$	2,080,229.35	0.1089%

VENDOR SUMMARY DETAILS					
	SB		**Eligible Commitment Value[5]**		**Eligible Paid to Date[6]**
V	APPL Labs	$	6,007.50	$	6,007.50
V	Burkett's Office Supplies	$	17,437.25	$	17,437.25
V	Cable Moore Inc.	$	1,272.89	$	1,272.89
V	Cal Bennets All-Ways Moving	$	16,298.77	$	16,298.77
V	Cal Lowbed Service	$	7,083.25	$	7,083.25
V	Core Business Interiors	$	81,960.66	$	81,960.66
V	Deer Creek Asphalt	$	172,685.63	$	172,685.63
V	Don Berry	$	35,650.00	$	35,650.00
V	Douglass Truck Bodies	$	2,584.35	$	2,584.35
V	Drumright's Office Supply	$	124,889.16	$	124,889.16
V	Dumont Printing	$	18,485.42	$	18,485.42
V	Holt Lumber, Inc.	$	162,771.78	$	162,771.78
V	I-5 Rentals	$	241,272.16	$	241,272.16
V	KRC Safety Co.	$	24,418.03	$	24,418.03
V	Matson Alarm Company	$	4,695.49	$	4,695.49
V	Morgan & Slates Manufacturing	$	80,617.49	$	80,617.49
V	NAPA Auto Parts - Clovis	$	24,591.14	$	24,591.14
V	Nelson's Ace Hardware	$	117,094.64	$	117,094.64
V	Nelson's Power Center	$	5,239.40	$	5,239.40
V	Preferred Power Solutions	$	106,336.48	$	106,336.48
V	Stoney's Sand & Gravel, LLC - Vendor	$	82,794.66	$	82,794.66
V	Valley Communications	$	670.35	$	670.35
V	Vince's Office Supply	$	226,135.97	$	226,135.97
V	Western AG & Industrial, Inc.	$	1,727.56	$	1,727.56
V	Worldwide Automation Construction	$	59,500.00	$	59,500.00
		Total	**$ 1,622,220.03**	**$**	**1,622,220.03**

	MB		Eligible Commitment Value[5]		Eligible Paid to Date[6]
V	Advanced Commercial Cleaners	$	52,992.39	$	52,992.39
V	Alert Medical Training	$	10,754.11	$	10,754.11
V	API Marketing	$	12,895.90	$	12,895.90
V	CALAV, Inc.	$	83,670.63	$	83,670.63
V	California Building Maintenance	$	20,861.34	$	20,861.34
V	Constar Construction Supply	$	418,101.93	$	418,101.93
V	CV Design Interiors	$	422,589.14	$	422,589.14
V	Delta One Security	$	1,062.00	$	1,062.00
V	ERTEC Environmental Services	$	12,111.85	$	12,111.85
V	Fresno Wire Rope & Rigging	$	79,621.76	$	79,621.76
V	Global Leadership Alliance	$	215,892.76	$	215,892.76
V	Green Box Rentals	$	2,322.73	$	2,322.73
V	Harris Electric	$	12,893.12	$	12,893.12
V	Hoffman Electronic Systems	$	207,550.45	$	207,550.45
V	JWT Site Management (Jones Water Truck)	$	18,470.00	$	18,470.00
V	K&K Construction Supply	$	107,573.36	$	107,573.36
V	LCP Tracker	$	85,950.00	$	85,950.00
V	Mody Pumps	$	1,757.30	$	1,757.30
V	Need a Tech HVAC	$	2,500.00	$	2,500.00
V	North State Electric	$	7,349.00	$	7,349.00
V	PTS Rentals	$	124,261.97	$	124,261.97
V	Sequoia Western	$	5,853.74	$	5,853.74
V	Sierra Lock and Glass	$	15,457.07	$	15,457.07
V	Steele Printing	$	4,367.14	$	4,367.14
V	Steam Cleaners	$	25,623.82	$	25,623.82
V	TC Printing	$	10,630.12	$	10,630.12
V	Towne Place Suites at Cal Expo/Marriott	$	46,631.53	$	46,631.53
V	Valley Coffee	$	54,406.89	$	54,406.89
V	Valley Wide Pest Control	$	2,015.00	$	2,015.00
V	Vector Technologies	$	3,117.53	$	3,117.53
V	WECO Supply Company, Inc.	$	1,763.14	$	1,763.14
V	Willems Commercial Printing	$	9,181.63	$	9,181.63
	Total	**$**	**2,080,229.35**	**$**	**2,080,229.35**

	DBE		Eligible Commitment Value[5]		Eligible Paid to Date[6]	Title VI Class
V	Ace Fence Company	$	1,967.00	$	1,967.00	Asian Pacific
V	Alert-O-Lite	$	82,713.38	$	82,713.38	Female
V	Cal Valley Construction	$	19,618.65	$	19,618.65	Female
V	Gallego Consulting Services	$	760,655.61	$	760,655.61	Hispanic
V	JLS Global Metro Security	$	5,175.00	$	5,175.00	Black
V	Phoenix Private Security	$	7,867.00	$	7,867.00	Hispanic
V	RJ Lalonde Inc.	$	1,620,675.86	$	1,620,675.86	Female
V	Rupert Construction Supply	$	14,260.83	$	14,260.83	Female
V	Sam's Equipment Supplies	$	111,691.69	$	111,691.69	Native American
V	Touch of Green Landscape	$	17,323.46	$	17,323.46	Hispanic
V	West Pacific Electric Company	$	106,744.18	$	106,744.18	Hispanic/Female
	Total	**$**	**2,748,692.66**	**$**	**2,748,692.66**	

	DVBE		Eligible Commitment Value[5]		Eligible Paid to Date[6]
V	Katch Environmental	$	236,773.81	$	236,773.81
V	Service Connected	$	91,318.29	$	91,318.29
V	She Marine Construction Supply	$	21,476.90	$	21,476.90
V	USC Supply	$	35,295.73	$	35,295.73
	Total	**$**	**384,864.73**	**$**	**384,864.73**

PENDING SB COMMITMENTS[7]

	Subcontractor	Scope of Work	Proposed Commitment Value	Certification
C	Avila Traffic Safety	Provide traffic control activities	$ 150,000.00	DBE
		Total	**$ 150,000.00**	

UNSOLIDIFIED SB PERFORMANCE PLAN LISTED COMMITMENTS[8]

	Subcontractor	Scope of Work	Proposed Commitment Value	Certification
P	Bradley Tanks	Holding Tanks	$ -	DVBE

Legend

(Higher Tier Sub) The listed name is sub-tier of the contractor listed in parenthesis

P Professional Service

C Construction Subcontractor

V Vendor

* Denotes new procurement for **August 2020.**

[1] Original Contract Price includes Fixed Bid Price and Provisional Sums.

[2] Includes total Fixed Bid Price, Provisional Sum, Class I and Class II Hazardous Waste Variable Bid Price, Prime Contract Change Orders and does not include payments made to excluded 3rd Party Subcontractors. Change order values are based on California High-Speed Rail Central Valley Report **July 2020** data.

[3] Verified data includes **August 2020** SB utilization totals and percentages, and is the sum of data provided only on Forms 103A and prompt payment reports submitted from the inception of the project through this reporting period. Does not include data from unapproved SB firms or unverified data from subcontractors.

[4] Verified data includes **August 2020** SB utilization totals and percentages, and is the sum of data provided only on Forms 103B and prompt payment reports submitted from the inception of the project through this reporting period. Does not include data from unapproved SB firms or unverified data from subcontractors, or vendors.

[5] Eligible commitment values for subcontractors reflect original contract value inclusive of all Authority approved change order activity minus commitment value of lower tier subcontractors. Eligible commitment value for vendors reflect the total dollars paid to the vendor from inception through the end of the reporting period.

[6] Eligible paid to date reflects all dollars paid to the firm minus payments made to their lower tier subcontractors.

[7] Subcontractors with submitted Requests to Add (RTAs) and/or executed agreements that are pending review and/or firms with incomplete RTA submission packages as of **August 2020.**

[8] Subcontractos that appear in DFJV's original proposal and/or SB Performance Plan that have not appeared on a Form 103A as of **August 2020.**

Attachment 2: CP2-3 Dispatch Worker by County Report

All Prevailing Wage Workers
And Hours by County
Total Number of Hours Worked

Reporting Period
Cumulative Totals up to August 31, 2020

State, County and Zip Code	Sum of Hours	Sum of Workers
AK	**1,583.00**	**3**
Anchorage Borough	**597.00**	**1**
Chugiak	597.00	1
99567	597.00	1
Kodiak Island Borough	**408.00**	**1**
Kodiak	408.00	1
99615	408.00	1
Matanuska-Susitna	**578.00**	**1**
Wasilla	578.00	1
99623	578.00	1
AZ	**1,425.50**	**1**
Yuma	**1,425.50**	**1**
Yuma	1,425.50	1
85364	1,425.50	1
CA	**879,513.62**	**1572**
Alameda	**7,293.50**	**24**
Alameda	8.00	1
94501	8.00	1
Dublin	107.50	1
94568	107.50	1
Emeryville	8.00	1
94608	8.00	1
Fremont	1,442.00	4
94538	791.00	2
94539	651.00	2
Hayward	1,384.00	4
94541	87.00	1
94542	779.00	1
94544	518.00	2
Livermore	106.00	1
94550	106.00	1
Oakland	2,878.50	7
94602	504.00	1
94603	1,555.00	3
94607	148.00	1
94610	671.50	2
San Leandro	529.75	2
94579	529.75	2
Sunol	242.00	1
94586	242.00	1
Union City	587.75	2
94587	587.75	2
Butte	**90.00**	**1**
Chico	90.00	1
95938	90.00	1
Calaveras	**3,245.00**	**7**
Angels Camp	519.50	1
95222	519.50	1
Mountain Ranch	650.00	1
95246	650.00	1
Valley Springs	1,906.50	4
95252	1,906.50	4
West Point	169.00	1
95255	169.00	1
Colusa	**310.00**	**1**
Arbuckle	310.00	1
95912	310.00	1
Contra Costa	**10,629.00**	**32**
Antioch	1,987.00	4
94509	1,837.50	2
94531	149.50	2
Bethel Island	152.50	2
94511	152.50	2
Brentwood	1,695.00	7
94513	1,695.00	7
Concord	813.50	1
94519	813.50	1
Discovery Bay	829.50	1
94505	829.50	1
El Sobrante	529.50	1
94803	529.50	1
Martinez	103.00	2
94553	103.00	2
Oakley	3,005.50	5
94561	3,005.50	5
Pittsburg	829.00	6

National Targeted Hiring Initiative Workers Summary
Total of Category One & Two and Disadvantaged Workers[1, 2, 3]
Hours Worked Per County

Reporting Period
Cumulative Totals up to August 31, 2020

State, County and Zip Code	Sum of Hours	Sum of Workers
Alameda	**2,733.00**	**8**
CA	**2,733.00**	**8**
Emeryville	8.00	1
94608	8.00	1
Hayward	518.00	2
94544	518.00	2
Oakland	2,207.00	5
94602	504.00	1
94603	1,555.00	3
94607	148.00	1
Calaveras	**169.00**	**1**
CA	**169.00**	**1**
West Point	169.00	1
95255	169.00	1
Carson City	**1,671.50**	**1**
NV	**1,671.50**	**1**
Carson City	1,671.50	1
89706	1,671.50	1
Churchill	**24.00**	**1**
NV	**24.00**	**1**
Fallon	24.00	1
89406	24.00	1
Clark County	**401.00**	**1**
NV	**401.00**	**1**
North Las Vegas	401.00	1
89030	401.00	1
Contra Costa	**3,021.50**	**13**
CA	**3,021.50**	**13**
Antioch	1,837.50	2
94509	1,837.50	2
Bethel Island	32.00	1
94511	32.00	1
Martinez	103.00	2
94553	103.00	2
Pittsburg	829.00	6
94565	829.00	6
Richmond	6.00	1
94804	6.00	1
San Pablo	214.00	1
94806	214.00	1
Deschutes	**186.60**	**1**
OR	**186.60**	**1**
La Pine	186.60	1
97739	186.60	1
Fresno	**253,217.25**	**473**
CA	**253,217.25**	**473**
Clovis	18,487.75	35
93611	5.75	
93612	18,482.00	35
Dinuba	329.50	1
93618	329.50	1
Fresno	186,505.70	353
93272	1,367.00	1
93612	8.00	1
93650	7,631.00	4
93701	4,191.75	15
93702	12,436.00	30
93703	9,954.75	20
93704	10,381.80	17
93705	10,265.00	31
93706	24,992.70	32
93710	6,916.70	13
93721	28.00	2
93722	38,025.10	67
93723	1,713.75	7
93725	5,768.55	13
93726	14,148.35	38
93727	30,140.25	44
93728	8,537.00	18
Hanford	4,530.00	5
93230	4,530.00	5
Kerman	212.75	5
93630	212.75	5
Kermen	921.50	3
93630	921.50	3
Kingsburg	4,402.75	14

State, County and Zip Code	Sum of Hours	Sum of Workers
94565	829.00	6
Richmond	470.50	2
94804	6.00	1
94805	464.50	1
San Pablo	214.00	1
94806	214.00	1
El Dorado	**100.75**	**2**
Lotus	57.75	1
95651	57.75	1
Placerville	43.00	1
95667	43.00	1
Fresno	**400,043.60**	**703**
Auberry	3,869.50	5
93602	3,869.50	5
Caruthers	4,868.05	8
93609	4,868.05	8
Clovis	57,098.20	95
93611	17,904.55	34
93612	18,489.00	36
93613	1,246.00	5
93619	19,458.65	20
Coalinga	12,248.75	17
93210	12,248.75	17
Del Rey	32.00	1
93616	32.00	1
Dinuba	329.50	1
93618	329.50	1
Fowler	931.35	4
93625	931.35	4
Fresno	230,809.90	446
92728	8.00	1
93242	3,566.00	1
93272	1,367.00	1
93274	36.00	1
93276	56.00	1
93612	8.00	1
93650	7,631.00	4
93656	507.00	1
93701	4,191.75	15
93702	13,236.00	32
93703	9,962.75	21
93704	10,491.05	19
93705	11,493.50	35
93706	25,166.20	33
93707	1,015.50	1
93710	6,916.70	13
93711	13,318.90	16
93720	8,812.50	28
93721	28.00	2
93722	44,756.85	71
93723	2,026.75	9
93724	517.00	1
93725	7,415.80	15
93726	16,243.60	44
93727	30,871.50	52
93728	8,599.75	20
93729	428.50	2
93730	580.00	1
93737	895.00	2
93777	91.00	1
93792	568.30	1
97705	4.00	1
Friant	2,380.50	4
93626	2,380.50	4
Handford	339.00	1
93230	339.00	1
Hanford	4,530.00	5
93230	4,530.00	5
Huron	601.00	2
93234	601.00	2
Kerman	212.75	5
93630	212.75	5
Kermen	921.50	3
93630	921.50	3
Kingsburg	4,969.25	17
93631	4,969.25	17
Lanton	8,691.50	1
93242	8,691.50	1
Laton	2,545.75	6
93242	2,545.75	6
Mendota	115.00	1
93640	115.00	1
Orange Cove	248.00	3
93646	248.00	3
Parlier	6,067.00	3

State, County and Zip Code	Sum of Hours	Sum of Workers
93631	4,402.75	14
Mendota	115.00	1
93640	115.00	1
Orange Cove	248.00	3
93646	248.00	3
Parlier	87.00	1
93648	87.00	1
Raisin City	182.50	1
93652	182.50	1
Reedley	4,029.75	7
93654	4,029.75	7
Sanger	17,206.30	29
93657	17,206.30	29
Selma	15,896.00	14
93662	15,896.00	14
Squaw Valley	27.00	1
93705	27.00	1
Visalia	35.75	
93292	35.75	
Harris	**62.00**	**1**
TX	**62.00**	**1**
Humble	62.00	1
77396	62.00	1
Imperial	**52.00**	**1**
CA	**52.00**	**1**
El Centro	52.00	1
92243	52.00	1
Kern	**8,416.75**	**35**
CA	**8,416.75**	**35**
Bakersfield	7,667.25	29
93304	9.50	1
93305	30.50	2
93306	636.50	4
93307	3,646.25	6
93308	2,225.00	10
93309	1,119.50	6
Delano	280.50	2
93215	280.50	2
Glennville	249.50	1
93226	249.50	1
McFarland	69.50	1
93250	69.50	1
Wasco	150.00	2
93280	150.00	2
Kings	**50,712.25**	**79**
CA	**50,712.25**	**79**
Armona	290.00	1
93202	290.00	1
Avenal	931.50	3
93204	931.50	3
Corcoran	3,104.00	3
93212	3,104.00	3
Handford	134.00	1
93230	134.00	1
Hanford	26,236.50	48
93230	26,236.50	48
Kettleman City	425.00	1
93239	425.00	1
Lemoore	19,591.25	22
93245	19,591.25	22
Kodiak Island Borough	**408.00**	**1**
AK	**408.00**	**1**
Kodiak	408.00	1
99615	408.00	1
Lake	**855.50**	**2**
CA	**855.50**	**2**
Clearlake	855.50	2
95422	855.50	2
Los Angeles	**3,760.00**	**12**
CA	**3,760.00**	**12**
Bell	170.00	1
90201	170.00	1
El Monte	87.25	1
91733	87.25	1
Gardena	172.50	1
90247	172.50	1
Huntington Park	365.00	2
90255	365.00	2
Long Beach	257.00	1
90804	257.00	1
Los Angeles	304.00	1
90047	304.00	1
Maywood	246.25	1
90270	246.25	1
Pacoima	1,658.50	1

State, County and Zip Code	Sum of Hours	Sum of Workers
93648	6,067.00	3
Prather	147.50	1
93651	147.50	1
Raisin City	182.50	1
93652	182.50	1
Reedley	4,033.75	8
93654	4,033.75	8
Riverdale	6,364.25	9
93656	6,364.25	9
San Joaquin	381.00	2
93660	381.00	2
Sanger	17,466.85	32
93657	17,466.85	32
Selma	19,626.50	17
93662	19,626.50	17
Squaw Valley	27.00	1
93705	27.00	1
Tollhouse	9,970.00	4
93667	9,970.00	4
Visalia	35.75	
93292	35.75	
Imperial	**170.00**	**2**
El Centro	170.00	2
92243	170.00	2
Kern	**23,649.25**	**75**
Bakersfield	14,504.75	64
93304	137.50	2
93305	30.50	2
93306	636.50	4
93307	3,680.75	7
93308	2,252.50	11
93309	1,188.50	7
93311	2,173.00	10
93312	2,564.50	10
93313	841.00	5
93314	234.50	4
93315	765.50	2
Delano	290.00	3
93215	290.00	3
Farmersville	3,280.00	1
93222	3,280.00	1
Glennville	249.50	1
93226	249.50	1
McFarland	69.50	1
93250	69.50	1
Shafter	134.00	1
93263	134.00	1
Tehachapi	720.50	1
93561	720.50	1
Terra Bella	4,251.00	1
93276	4,251.00	1
Wasco	150.00	2
93280	150.00	2
Kings	**51,565.55**	**90**
Armona	359.00	2
93202	359.00	2
Avenal	931.50	3
93204	931.50	3
Corcoran	3,294.50	4
93212	3,294.50	4
Handford	157.50	3
93230	157.50	3
Hanford	26,562.80	52
93230	26,420.30	51
93232	142.50	1
Kettleman City	425.00	1
93239	425.00	1
Lemoore	19,766.75	24
93245	19,766.75	24
Stratford	68.50	1
93266	68.50	1
Lake	**855.50**	**2**
Clearlake	855.50	2
95422	855.50	2
Los Angeles	**8,709.50**	**17**
Bell	170.00	1
90201	170.00	1
El Monte	87.25	1
91733	87.25	1
Gardena	172.50	1
90247	172.50	1
Huntington Park	365.00	2
90255	365.00	2
Long Beach	257.00	1
90804	257.00	1
91331	1,658.50	1
Palmdale	129.50	1
93550	129.50	1
Paramount	304.00	1
90723	304.00	1
Winnetka	66.00	1
91306	66.00	1
Madera	**30,861.00**	**39**
CA	**30,861.00**	**39**
Chowchilla	11,822.50	6
93610	11,822.50	6
Madera	19,038.50	33
93637	9,218.25	15
93638	9,820.25	18
Merced	**18,014.75**	**18**
CA	**18,014.75**	**18**
Atwater	2,432.00	6
95301	2,432.00	6
Kermen	117.50	1
93620	117.50	1
Los Banos	6,192.50	4
93635	6,192.50	4
Merced	9,032.50	5
95341	8,549.50	3
95348	483.00	2
Planada	175.50	1
95365	175.50	1
Winton	64.75	1
95388	64.75	1
Monterey	**9,661.50**	**2**
CA	**9,661.50**	**2**
Salinas	4,593.50	1
93901	4,593.50	1
Soledad	5,068.00	1
93960	5,068.00	1
Orange	**891.75**	**3**
CA	**891.75**	**3**
Anaheim	246.25	1
92802	246.25	1
Stanton	51.50	1
90680	51.50	1
Westminster	594.00	1
92683	594.00	1
Placer	**56.00**	**1**
CA	**56.00**	**1**
Lincoln	56.00	1
95648	56.00	1
Randolph	**237.00**	**1**
IL	**237.00**	**1**
Sparta	237.00	1
62286	237.00	1
Riverside	**5,863.75**	**8**
CA	**5,863.75**	**8**
Corona	212.25	2
92879	180.25	1
92882	32.00	1
Hemet	1,877.50	1
92545	1,877.50	1
Moreno Valley	1,127.00	2
92553	191.00	1
92557	936.00	1
Riverside	2,647.00	3
92503	1,632.00	2
92509	1,015.00	1
Sacramento	**1,548.50**	**4**
CA	**1,548.50**	**4**
Rancho Cardova	472.50	1
95670	472.50	1
Sacramento	1,076.00	3
95822	1,001.50	2
95824	74.50	1
San Bernardino	**5,277.75**	**11**
CA	**5,277.75**	**11**
Apple Valley	10.00	1
92308	10.00	1
Devore	1,033.00	1
92407	1,033.00	1
Hesperia	39.50	1
92345	39.50	1
Redlands	87.25	1
92374	87.25	1
Rialto	72.00	1
92376	72.00	1
San Bernardino	1,520.50	2
92407	1,520.50	2

State, County and Zip Code	Sum of Hours	Sum of Workers
Los Angeles	460.00	2
90034	156.00	1
90047	304.00	1
Maywood	246.25	1
90270	246.25	1
Pacoima	1,658.50	1
91331	1,658.50	1
Palmdale	138.00	2
93550	129.50	1
93551	8.50	1
Paramount	304.00	1
90723	304.00	1
San Pedro	4,648.00	1
90732	4,648.00	1
Signal Hill	65.00	1
90755	65.00	1
West Covina	72.00	1
91790	72.00	1
Winnetka	66.00	1
91306	66.00	1
Madera	**40,300.00**	**66**
Chowchilla	11,822.50	6
93610	11,822.50	6
Coarsegold	265.00	3
93614	265.00	3
Madera	24,522.00	50
93636	4,541.00	12
93637	9,944.75	17
93638	10,036.25	21
North Fork	1,181.00	2
93643	1,181.00	2
Northfork	164.00	1
93643	164.00	1
Oakhurst	2,345.50	4
93644	2,345.50	4
Mariposa	**1,842.50**	**3**
Mariposa	1,842.50	3
95338	1,842.50	3
Merced	**21,325.75**	**23**
Atwater	2,432.00	6
95301	2,432.00	6
Delhi	2,685.00	2
95315	2,685.00	2
Dos Palos	574.50	1
93620	574.50	1
Kermen	117.50	1
93620	117.50	1
Livingston	51.50	2
95334	51.50	2
Los Banos	6,192.50	4
93635	6,192.50	4
Merced	9,032.50	5
95341	8,549.50	3
95348	483.00	2
Planada	175.50	1
95365	175.50	1
Winton	64.75	1
95388	64.75	1
Modoc	**66.00**	**1**
Lookout	66.00	1
96054	66.00	1
Monterey	**10,449.00**	**4**
Prunedale	390.00	1
93907	390.00	1
Salinas	4,991.00	2
93901	4,593.50	1
93906	397.50	1
Soledad	5,068.00	1
93960	5,068.00	1
Napa	**2.00**	**1**
Napa	2.00	1
94558	2.00	1
Orange	**5,691.32**	**7**
Anaheim	246.25	1
92802	246.25	1
Cypress	284.50	1
90630	284.50	1
Fullerton	281.50	1
92832	281.50	1
Los Alamitos	14.07	1
90720	14.07	1
Santa Anna	4,219.50	1
92707	4,219.50	1
Stanton	51.50	1
90680	51.50	1

State, County and Zip Code	Sum of Hours	Sum of Workers
Victorville	2,515.50	4
92392	2,515.50	4
San Diego	**2,902.00**	**4**
CA	**2,902.00**	**4**
Chula Vista	253.00	1
91911	253.00	1
El Cajon	1,505.00	2
92020	56.00	1
92021	1,449.00	1
Escondido	1,144.00	1
92026	1,144.00	1
San Francisco	**3.00**	**1**
CA	**3.00**	**1**
San Francisco	3.00	1
94109	3.00	1
San Joaquin	**5,439.50**	**16**
CA	**5,439.50**	**16**
Lodi	180.00	2
95240	180.00	2
Manteca	207.50	2
95336	207.50	2
Stockton	5,052.00	12
95203	1,175.00	1
95204	789.00	1
95205	340.00	1
95206	327.00	1
95207	987.50	3
95209	54.00	2
95210	1,073.50	2
95215	306.00	1
San Luis Obispo	**1,109.00**	**3**
CA	**1,109.00**	**3**
Oceano	4.00	1
93445	4.00	1
Paso Robles	1,105.00	2
93446	1,105.00	2
Santa Barbara	**786.50**	**4**
CA	**786.50**	**4**
Lompoc	11.00	1
93436	11.00	1
Santa Maria	775.50	3
93458	775.50	3
Santa Cruz	**234.00**	**1**
CA	**234.00**	**1**
Watsonville	234.00	1
95076	234.00	1
Shasta	**847.00**	**3**
CA	**847.00**	**3**
Anderson	84.00	1
96007	84.00	1
Redding	763.00	2
96001	763.00	2
Solano	**1,576.50**	**5**
CA	**1,576.50**	**5**
Fairfield	1,164.50	3
94533	1,164.50	3
Vallejo	412.00	2
94590	412.00	2
Sonoma	**142.00**	**1**
CA	**142.00**	**1**
Sonoma	142.00	1
95476	142.00	1
Spokane	**91.50**	**1**
WA	**91.50**	**1**
Spokane	91.50	1
99201	91.50	1
Stanislaus	**19,338.25**	**21**
CA	**19,338.25**	**21**
Ceres	14,946.50	5
95307	14,946.50	5
Modesto	4,321.75	15
95350	1,386.50	4
95351	8.00	1
95354	8.00	1
95357	154.00	1
95358	2,765.25	8
Turlock	70.00	1
95380	70.00	1
Tehama	**34.00**	**1**
CA	**34.00**	**1**
Red Bluff	34.00	1
96080	34.00	1
Tulare	**156,422.70**	**193**
CA	**156,422.70**	**193**
Coalinga	1,327.00	1

State, County and Zip Code	Sum of Hours	Sum of Workers
Westminster	594.00	1
92683	594.00	1
Placer	**6,001.50**	**2**
Lincoln	56.00	1
95648	56.00	1
Rocklin	5,945.50	1
95765	5,945.50	1
Riverside	**10,066.25**	**18**
Canyon Lake	484.50	1
92587	484.50	1
Corona	212.25	2
92879	180.25	1
92882	32.00	1
Eastvale	86.50	1
92880	86.50	1
Hemet	1,877.50	1
92545	1,877.50	1
Menifee	24.00	1
92584	24.00	1
Moreno Valley	1,362.50	3
92553	426.50	2
92557	936.00	1
Perris	1,870.00	2
92571	1,870.00	2
Riverside	2,705.00	4
92503	1,632.00	2
92506	58.00	1
92509	1,015.00	1
Temecula	1,142.50	1
92592	1,142.50	1
Wildomar	181.50	1
92595	181.50	1
Winchester	120.00	1
92595	120.00	1
Sacramento	**11,088.75**	**20**
Antelope	40.00	1
95843	40.00	1
Elk Grove	7,006.25	6
95624	1,706.00	4
95757	5,276.25	1
95758	24.00	1
Fair Oaks	109.00	2
95628	109.00	2
Folsom	51.00	1
95630	51.00	1
Galt	1,963.50	3
95632	1,963.50	3
Herald	92.00	1
95638	92.00	1
North Highlands	79.50	1
95660	79.50	1
Rancho Cardova	472.50	1
95670	472.50	1
Sacramento	1,076.00	3
95822	1,001.50	2
95824	74.50	1
Wilton	199.00	1
95693	199.00	1
San Benito	**635.50**	**2**
Hollister	635.50	2
95023	635.50	2
San Bernardino	**9,388.15**	**24**
Alta Loma	2,304.00	1
91737	2,304.00	1
Apple Valley	10.00	1
92308	10.00	1
Chino	1,060.50	2
91710	1,060.50	2
Crestline	66.90	1
92325	66.90	1
Devore	1,033.00	1
92407	1,033.00	1
Fontana	287.00	4
92336	287.00	4
Hesperia	179.00	2
92334	139.50	1
92345	39.50	1
Oak Hills	55.00	1
92344	55.00	1
Phelan	43.00	1
92371	43.00	1
Redlands	87.25	1
92374	87.25	1
Rialto	72.00	1
92376	72.00	1
93219	1,327.00	1
Dinuba	14,080.00	13
93618	14,080.00	13
Farmersville	11,798.00	10
93223	11,798.00	10
Lindsay	5,502.00	10
93247	5,502.00	10
Orosi	4,178.00	6
93647	4,178.00	6
Pixley	4.00	1
93256	4.00	1
Porterville	11,129.00	13
93257	7,119.00	11
93258	4,010.00	2
Strathmore	205.50	2
93267	205.50	2
Terre Bella	348.50	1
93270	348.50	1
Tipton	425.00	1
93272	425.00	1
Tulare	10,573.00	20
93274	10,573.00	20
Visalia	96,686.70	112
93261	303.50	1
93277	35,824.20	41
93291	44,565.25	44
93292	14,198.75	25
93702	1,795.00	1
Wood Lake	106.00	1
93286	106.00	1
Woodlake	60.00	2
93286	60.00	2
UNDETERMINED COUNTY	**278.50**	**1**
NV	**278.50**	**1**
Pittsburg	278.50	1
94565	278.50	1
Utah	**50.50**	**1**
UT	**50.50**	**1**
Provo	50.50	1
84601	50.50	1
Visalia	**27.00**	**1**
CA	**27.00**	**1**
Fresno	27.00	1
93277	27.00	1
Washoe	**34.30**	**1**
NV	**34.30**	**1**
Sparks	34.30	1
89434	34.30	1
Yolo	**146.25**	**2**
CA	**146.25**	**2**
W Sacramento	129.75	1
95691	129.75	1
Woodland	16.50	1
95776	16.50	1
Yuba	**9,165.00**	**3**
CA	**9,165.00**	**3**
Marysville	32.50	1
95901	32.50	1
Oliverhurst	2,452.00	1
95961	2,452.00	1
Plumas Lake	6,680.50	1
95961	6,680.50	1
Yuma	**1,425.50**	**1**
AZ	**1,425.50**	**1**
Yuma	1,425.50	1
85364	1,425.50	1
Grand Total	**598,155.35**	**981**

State, County and Zip Code	Sum of Hours	Sum of Workers
San Bernardino	1,659.00	3
92406	138.50	1
92407	1,520.50	2
Victorville	2,531.50	5
92392	2,531.50	5
San Diego	**4,186.70**	**7**
Campo	1,006.50	1
91906	1,006.50	1
Chula Vista	253.00	1
91911	253.00	1
El Cajon	1,505.00	2
92020	56.00	1
92021	1,449.00	1
Escondido	1,144.00	1
92026	1,144.00	1
Lakeside	227.50	1
92040	227.50	1
Oceanside	50.70	1
92056	50.70	1
San Francisco	**291.50**	**2**
San Francisco	291.50	2
94109	3.00	1
94122	288.50	1
San Joaquin	**14,427.55**	**39**
Escalon	1,384.50	2
95320	1,384.50	2
Linden	43.00	1
95236	43.00	1
Lodi	679.25	3
95240	180.00	2
95242	499.25	1
Manteca	2,029.00	6
95336	207.50	2
95337	1,821.50	4
Mountain House	8.00	1
95391	8.00	1
Oakland	88.50	1
95361	88.50	1
Ripon	319.00	1
95366	319.00	1
Stockton	6,256.50	16
95027	115.00	1
95203	1,175.00	1
95204	789.00	1
95205	340.00	1
95206	327.00	1
95207	987.50	3
95209	54.00	2
95210	1,113.50	3
95212	513.00	1
95215	306.00	1
95219	536.50	1
Tracy	3,343.30	7
95304	446.00	1
95376	2,870.30	5
95377	27.00	1
Victor	276.50	1
95253	276.50	1
San Luis Obispo	**5,072.25**	**22**
Atascadero	668.33	4
93422	668.33	4
Atascedero	102.50	1
93422	102.50	1
Grover Beach	6.00	1
93433	6.00	1
Los Osos	344.50	1
93402	344.50	1
Nipomo	65.00	1
93444	65.00	1
Oceano	4.00	1
93445	4.00	1
Paso Robles	3,598.00	9
93446	3,598.00	9
San Luis Obispo	4.50	1
93401	4.50	1
Santa Margarita	163.50	2
93453	163.50	2
Templeton	115.92	1
93465	115.92	1
San Mateo	**88.00**	**3**
Daly City	74.50	1
94014	74.50	1
Menlo Park	3.50	1
94025	3.50	1
South San Francisco	10.00	1

State, County and Zip Code	Sum of Hours	Sum of Workers
94080	10.00	1
Santa Barbara	**1,314.00**	**6**
Lompoc	11.00	1
93436	11.00	1
Santa Maria	836.00	4
93454	60.50	1
93458	775.50	3
Solvang	467.00	1
93464	467.00	1
Santa Clara	**154.50**	**3**
Palo Alto	4.50	1
94303	4.50	1
San Jose	150.00	2
95124	4.00	1
95136	146.00	1
Santa Cruz	**237.00**	**2**
Capitola	3.00	1
95010	3.00	1
Watsonville	234.00	1
95076	234.00	1
Shasta	**847.00**	**3**
Anderson	84.00	1
96007	84.00	1
Redding	763.00	2
96001	763.00	2
Solano	**3,033.00**	**22**
Dixon	24.00	1
95620	24.00	1
Fairfield	1,560.00	7
94533	1,164.50	3
94534	395.50	4
Rio Vista	252.00	2
94571	252.00	2
Suisun City	104.50	3
94534	7.50	1
94585	97.00	2
Vacaville	597.50	5
95687	423.00	3
95688	174.50	2
Vakkejo	36.00	1
94589	36.00	1
Vallejo	459.00	3
94590	412.00	2
94591	47.00	1
Sonoma	**142.00**	**1**
Sonoma	142.00	1
95476	142.00	1
Stanislaus	**29,767.50**	**54**
Ceres	14,946.50	5
95307	14,946.50	5
Crows Landing	886.00	1
95313	886.00	1
Denair	103.50	2
95316	103.50	2
Hughson	190.50	2
95326	190.50	2
Modesto	7,520.00	27
95350	1,386.50	4
95351	8.00	1
95354	8.00	1
95355	803.50	4
95356	2,394.75	8
95357	154.00	1
95358	2,765.25	8
Oakdale	629.50	6
95361	629.50	6
Patterson	2,776.00	2
95363	2,776.00	2
Salida	1,720.50	1
95368	1,720.50	1
Turlock	482.50	5
95380	70.00	1
95382	412.50	4
Waterford	130.00	2
95386	130.00	2
Westley	382.50	1
95387	382.50	1
Sutter	**63.50**	**1**
Sutter	63.50	1
95982	63.50	1
Tehama	**34.00**	**1**
Red Bluff	34.00	1
96080	34.00	1
Tulare	**183,867.95**	**265**
Coalinga	1,327.00	1

State, County and Zip Code	Sum of Hours	Sum of Workers
93219	1,327.00	1
Dinuba	14,139.00	14
93618	14,139.00	14
Exeter	8,341.25	5
93221	8,341.25	5
Farmersville	11,798.00	10
93223	11,798.00	10
Goshen	471.00	2
93227	5.00	1
93277	466.00	1
Ivanhoe	3,279.50	1
93235	3,279.50	1
Lemon Cove	97.50	1
93244	97.50	1
Lindsay	5,548.50	12
93247	5,544.50	11
93274	4.00	1
Orosi	4,178.00	6
93647	4,178.00	6
Pixley	8.00	2
93256	8.00	2
Porterville	14,200.00	21
93257	10,190.00	19
93258	4,010.00	2
Posey	118.50	2
93260	118.50	2
Springville	850.50	1
93265	850.50	1
Strathmore	237.50	3
93267	237.50	3
Terre Bella	348.50	1
93270	348.50	1
Three Rivers	347.50	2
93271	347.50	2
Tipton	425.00	1
93272	425.00	1
Traver	1,432.00	1
93673	1,432.00	1
Tulare	16,209.50	37
93274	15,115.50	34
93275	576.00	2
93724	518.00	1
Visalia	100,128.70	137
93227	450.00	2
93261	303.50	1
93277	36,589.20	46
93279	216.00	2
93290	250.50	1
93291	45,340.25	53
93292	15,184.25	31
93702	1,795.00	1
Wood Lake	316.50	2
93286	316.50	2
Woodlake	66.00	3
93286	66.00	3
Tuolumne	**72.00**	**1**
Jamestown	72.00	1
95327	72.00	1
UNDETERMINED COUNTY	**185.80**	**1**
INCOMPLETE	185.80	1
INCOMPLETE 9370	185.80	1
Ventura	**491.00**	**2**
Camarillo	414.50	1
93011	414.50	1
Moorpark	76.50	1
93021	76.50	1
Visalia	**27.00**	**1**
Fresno	27.00	1
93277	27.00	1
Yolo	**2,526.50**	**5**
Crescent Mills	2,184.00	1
95937	2,184.00	1
Esparto	194.25	1
95627	194.25	1
W Sacramento	129.75	1
95691	129.75	1
Woodland	18.50	2
95695	2.00	1
95776	16.50	1
Yuba	**9,166.50**	**4**
Marysville	32.50	1
95901	32.50	1
Oliverhurst	2,452.00	1
95961	2,452.00	1
Oregon House	1.50	1

State, County and Zip Code	Sum of Hours	Sum of Workers
95962	1.50	1
Plumas Lake	6,680.50	1
95961	6,680.50	1
ID	**120.00**	**1**
Kootenai	**120.00**	**1**
Post Falls	120.00	1
83854	120.00	1
IL	**237.00**	**1**
Randolph	**237.00**	**1**
Sparta	237.00	1
62286	237.00	1
NV	**4,544.30**	**13**
Carson City	**1,671.50**	**1**
Carson City	1,671.50	1
89706	1,671.50	1
Churchill	**24.00**	**1**
Fallon	24.00	1
89406	24.00	1
Clark	**176.50**	**1**
Henderson	176.50	1
89052	176.50	1
Clark County	**773.50**	**3**
Las Vegas	90.00	1
89148	90.00	1
North Las Vegas	683.50	2
89030	401.00	1
89084	282.50	1
Douglas	**32.00**	**1**
Gardnerville	32.00	1
89410	32.00	1
Lyon	**896.50**	**1**
Wellington	896.50	1
89444	896.50	1
UNDETERMINED COUNTY	**278.50**	**1**
Pittsburg	278.50	1
94565	278.50	1
Washoe	**691.80**	**4**
Cold Springs	560.00	1
89508	560.00	1
Sparks	131.80	3
89434	34.30	1
89436	28.00	1
89441	69.50	1
OR	**186.60**	**1**
Deschutes	**186.60**	**1**
La Pine	186.60	1
97739	186.60	1
TX	**62.00**	**1**
Harris	**62.00**	**1**
Humble	62.00	1
77396	62.00	1
UT	**50.50**	**1**
Utah	**50.50**	**1**
Provo	50.50	1
84601	50.50	1
WA	**91.50**	**1**
Spokane	**91.50**	**1**
Spokane	91.50	1
99201	91.50	1
Grand Total	**887,814.02**	**1,595**

Source Documents: CP 2-3 Certified Payroll Records (CPRs) submitted by Dragados/Flatiron, JV active and approved subcontractors regardless of tier.

Disclaimer: [a] The Information provided in this report is based from CPRs sent by DFJV from the beginning of project work to August 31, 2020 for CP 2-3 Project.

[b] As of June 2020 contractors performing Community Benefits Agreement (CBA) Excluded work as defined by CBA 2.4, Exclusions, the Targeted Worker hours have been extracted from the NTHI reporting, therefore, causing a decrease in Targeted Worker hours; however, the overall hours and employee count will continue to be reported.

Undetermined County: County can not be identified by zip code or zip code is inconsistent with city listed on CPRs

National Targeted Worker means an individual whose primary place of residence is within an Extremely Economically Disadvantaged Area (Category 1) or an Economically Disadvantaged Area (Category 2) in the United States, or a Disadvantaged Worker (see below).
Includes only the CBA covered work.

[1] **Category 1** refers to workers who reside in extremely economically disadvantaged area with zip codes that includes a census tract or portion thereof in which the median annual household income is less than $32,000 per year.

[2] **Category 2** refers to workers who reside in economically disadvantaged area with zip codes that includes a census tract or portion thereof in which median annual household income is between $32,000 and $40,000 per year.

[3] **Disadvantaged worker** means an individual who, prior to commencing work on the project, resides in an Extremely Economically Disadvantaged area (Category 1) or Economically Disadvantaged area (Category 2), and faces at least one of the following barriers to employment: being a veteran, being homeless, being custodial single parent, receiving public assistance, lacking a GED or high school diploma, having a criminal record or other involvement with the criminal justice system, suffering from chronic unemployment, emancipated from foster care system, or being an apprentice with less than 15% of the apprenticeship hours required to graduate to journey level in a program as described in Section 1.2. *Note that a Disadvantaged Worker classification also entails that the worker resides in a Category 1 or Category 2 area, meaning they are counted within the two categories for employee count and craft hour reporting purposes.*

CP4 Summary Monthly DBE/DVBE/MB/SB Participation Progress Narrative
August 2020 Reporting Period

CRB SB/DBE/DVBE EXPENDED DOLLARS PROGRESS FOR THE MONTH OF AUGUST 2020

THREE MONTH PERFORMANCE ASSESSMENT – AT A GLANCE
[JUNE 01, 2020 – AUGUST 31, 2020]

☐Excellent *(24%-30% to SBs)*	☐Good *(18%-23% to SBs)*	☐Fair *(12%-17% to SBs)*	☒**Poor** ***(6%-11% to SBs)***	☐Serious Risk of SB Shortfall *(0-5% to SBs)*

CP4 DOLLARS PAID	**AMOUNT**	**PERCENT**
TOTAL HSR PAYMENTS TO CRB	**$46,418,526.71**	**100%**
All SBs Share of HSR Payment:	$4,444,000.10	9.57%
Target Payment to SBs Based on CRB's HSR Payments:	$13,925,558.01	30.00%

Conclusion: CRB paid less than $0.10 cents collectively to SBs for every dollar it paid to itself and/or non-SB subcontractors during the period of June 01 - August 31, 2020. Given CRB's substantial deficiency in payments made to SBs, CRB would need to increase the SBs share of HSR payments to $0.51 cents for every dollar remaining on the CP4 contract to fulfill the overall 30% SB goal.

1. Overall DBE/DVBE/MB/SB/ SB PW Participation

Review and verification of California Rail Builders (CRB) Monthly Form 103A and prompt payment report covering the period of August 01 – August 31, 2020 was completed and submitted to the task manager of the Authority's Contract Compliance Unit (CCU). See Attachment 1 – *CP4 Verified Monthly SB Utilization Report August 2020*. At 54.96% project completion, CRB is behind $21.0 million in meeting the projected overall 30% SB goal based on their current level of SB commitment. At this point in the project cycle, CRB's SB participation is projected at ≈$98.6 million in both SB commitments and total dollars expended to SBs to date (including DBEs, DVBEs, MBs, SBs, and SB PWs). In spending, CRB is ≈$55.5 million behind target in projected total dollars expended to SBs to date. Currently CRB has executed 59 subcontract agreements among all SB types, including 13 to DBEs; 12 to DVBEs; 14 to MBs; and 20 to SBs. CRB has 09 SB agreements with a combined value of $6,406,192.19 that are currently pending approval.

Overall SB Goal (30%) **$179,476,784.83** (by close of project)
SBs Projected Commitment & Expended to Date **$98,647,945.76** (based on % project complete)

CRB SB Goal Progress	Actual Value To Date	Actual v. Projected Value To Date	Status SB Goal Progress to Date
All SB Commitment	$77,649,895.97	**-$20,998,049.79**	**Behind Projected**
All SB Total Dollars Expended	$43,167,005.50	**-$55,480,940.26**	**Behind Projected**

DBE Goal (10% overall) **$59,825,594.94** (by close of project)
DBE Projected Commitment & Expended to Date **$32,882,648.59** (based on % project complete)

CRB DBE Goal Progress	Actual Value To Date	Actual v. Projected To Date	Status DBE Goal Progress to Date
DBE Commitment	$21,828,112.57	**-$11,054,536.02**	**Behind Projected**
DBE Total Dollars Expended	$13,564,085.57	**-$19,318,563.02**	**Behind Projected**

Summary DBE Participation: At 54.96% project completion, CRB is behind the DBE projected participation in commitments by ≈$11.0 million and by ≈$19.3 million in total dollars expended to date to DBEs. CRB is significantly behind in achieving the 10% DBE goal in total dollars expended to DBEs due by the close of the project having only attained ≈2.3% of the 10% DBE goal.

DVBE Goal (03% overall) **$17,947,678.48** (by close of project)
DVBE Projected Commitment & Expended to Date **$9,864,794.58** (based on % project complete)

CRB DVBE Goal Progress	Actual Value To Date	Actual v. Projected Value To Date	Status DVBE Goal Progress to Date
DVBE Commitment	$3,041,953.60	**-$6,822,840.98**	**Behind Projected**
DVBE Total Dollars Expended	$2,476,890.16	**-$7,387,904.42**	**Behind Projected**

Summary DVBE Participation: At 54.96% project completion, CRB is behind the DVBE projected participation by ≈$6.8 million in commitments and ≈$7.4 million in total dollars expended to DVBEs to date. Overall, CRB is short ≈$15.5 million from meeting the DVBE goal in total dollars that are due to be expended by the close of the project.

2. Comparison of July 2020 data to August 2020 data

Comparison of the CP4 SB Utilization report from the prior month to this reporting period resulted in the following changes:

Total Contract Value Subject to the 30% SB Goal Changes

- CRB's total contract value (including provisional sums, Class I and Class II hazardous materials, and change orders) did not change from the prior month to this reporting period. Prime Contract Change order values are now based on the most current California High-Speed Rail Central Valley Status Report available during the drafting of this report. The change order values relied upon in this report were pulled from the **July 2020** CV Status Report.
- The CRB's total paid to date value (not including provisional sum payments paid to excluded Third Parties) increased by $21,558,684.38 from the prior month to this reporting period.

DBE/DVBE/MB/SB Commitment Changes

- CRB's overall SB commitment increased $201,616.49 from the prior month to this reporting period.
- The Authority verified a total of $15,000.00 in change orders that were issued to the following SB subcontractor(s) for this reporting period:

CO #21	Othon	$	15,000.00

CP4 Summary Monthly DBE/DVBE/MB/SB Participation Progress Narrative
August 2020 Reporting Period

DBE/DVBE/MB/SB Payment Changes

- CRB's overall payments to SBs increased $1,720,916.33 the prior month to this reporting period. All payments reported paid to SB subcontractors were verified through prompt payment reports, of which the expended to date totals for each SB is listed by SB type, as shown on Attachment 1.

Dispatched Worker Changes	**Prior Month**	**Current Month**
• Total Labor Craft Workers To Date	830	898
• Total NTHI Workers To Date	473	514
• Total Disadvantaged Workers To Date	49	49
• Total Journeymen Workers To Date	748	808
• Total Apprentice Workers To Date	82	90
Dispatched Worker Hours Changes	**Prior Month**	**Current Month**
• Total Labor Craft Worker Hours To Date	250,034	275,943
• Total NTHI Worker Hours To Date	138,642	152,794
• Total Disadvantaged Worker Hours To Date	17,868	18,883
• Total Journeymen Worker Hours To Date	228,102	252,447
• Total Apprentice Worker Hours To Date	21,932	23,496

The reported totals for dispatched workers and worker hours are sourced from certified payroll records submitted by the Contractor from the beginning of project work through the last day of the month for this reporting period. See Attachment 2 – *CP4 Dispatch Worker By County Report.*

Attachments:
1. Attachment 1 – *CP4 Verified Monthly SB Utilization Report August 2020*
2. Attachment 2 – *CP4 Dispatch Worker by County Report August 2020*

Attachment 1: CP4 Verified Monthly SB Utilization Report

California High Speed Rail Authority
Construction Package 4 - CRB Contract Number HSR 14-32
Summary of Construction SBE/MB/DBE/DVBE Utilization

CP 4 CRB Small Business Utilization Data for period inception through 8/31/2020

Original Contract Price[1]	**$444,247,000.00**
Class I and Class II Hazardous Waste Bid Price	$10,310,000.00
Executed Change Orders	$143,698,949.43
CRB Current Total Contract Value	**$598,255,949.43**
Excluded 3rd Party Utility Subcontractor Payments	(Unkown)
SB Applicable Contract Value [A][2]	**$598,255,949.43**
CRB Paid to Date minus 3rd Party Utility Payments [B]	$328,826,485.86
Project Completion [B/A]	**54.9642%**
SB/DBE/DVBE/MB Utilization Commitment [C]	$77,649,895.97
Commitment % [C/A]	12.9794%
SB/DBE/DVBE/MB Paid Expended to Date Utilization [D]	$43,167,005.50
Utilization % Based on Commitment [D/C]	55.5918%
Utilization % Based on Contract Paid to Date [D/B]	13.1276%
Construction SB Utilization	**7.2155%**
SB	2.9433%
DBE	2.2673%
DVBE	0.4140%
MB	1.5909%

Utilization = SB Dollars Paid to Date/SB Applicable Contract Value

SUMMARY OF CALIFORNIA RAIL BUILDERS CP-4 SUBCONTRACT AGREEMENTS AND VENDOR COUNT

Type of Small Business	Construction	Professional Service	Total SB Contracts	Total Vendor Contracts
Small Business (SB)	17	3	20	9
Micro Business (MB)	6	8	14	14
Disadvantaged Business Enterprise (DBE)	5	8	13	6
Disabled Veteran Business Enterprise (DVBE)	4	8	12	1
Total	32	27	59	30
		Total SB subcontracts and vendor agreements		**89**
		Total Unique Small Businesses utilized		**71**
		Total Pending SB Commitments		**9**
		Combined Approved and Pending SB Commitment Total	**$**	**84,056,088.16**

CALIFORNIA RAIL BUILDERS CP4 SB SUBCONTRACTOR DOLLARS COMMITTED AND EXPENDED TO DATE (FORM 103A) [3]

	Professional (P)	Construction (C)	Dollars	% Based on CRB Paid to Date	% Based on SB Applicable Contract Value
Total SB Program Dollars Committed	**$ 23,069,730.59**	**$ 54,580,165.38**	**$ 77,649,895.97**	**23.6142%**	**12.9794%**
Total SB Program Dollars Expended to Date	**$ 16,982,021.26**	**$ 26,184,984.24**	**$ 43,167,005.50**	**13.1276%**	**7.2155%**
Total SB Dollars Committed	$ 228,901.25	$ 38,011,930.33	$ 38,240,831.58	11.6295%	6.3921%
Total SB Dollars Expended to Date	$ 239,302.54	$ 17,369,027.87	$ 17,608,330.41	5.3549%	2.9433%
Total DBE Dollars Committed	$ 8,537,849.63	$ 13,290,262.94	$ 21,828,112.57	6.6382%	3.6486%
Total DBE Dollars Expended to Date	$ 7,517,140.50	$ 6,046,945.07	$ 13,564,085.57	4.1250%	2.2673%
Total DVBE Dollars Committed	$ 75,727.57	$ 2,966,226.03	$ 3,041,953.60	0.9251%	0.5085%
Total DVBE Dollars Expended to Date	$ 43,795.68	$ 2,433,094.48	$ 2,476,890.16	0.7533%	0.4140%
Total MB Dollars Committed	$ 14,227,252.14	$ 311,746.08	$ 14,538,998.22	4.4215%	2.4302%
Total MB Dollars Expended to Date	$ 9,181,782.54	$ 335,916.82	$ 9,517,699.36	2.8944%	1.5909%

CP 4 SMALL BUSINESS SUMMARY DETAILS

	SB	Eligible Commitment Value[5]	Eligible Paid to Date[6]
C	Alliance Ready Mix Inc	$ 9,852,914.09	$ 3,934,131.75
P	BC Laboratories, Inc	$ 4,821.25	$ 4,821.25
C	Bridgeway Civil Constructors	$ 5,604,605.64	$ 1,466,848.21
C	Central Valley Environmental - Package 1	$ 22,680.00	$ 22,680.00
C	Central Valley Environmental - Package 2	$ 43,391.00	$ 41,221.45
C	Central Valley Environmental - Package 3	$ 103,845.00	$ 77,632.57
C	Central Valley Environmental - Package 4	$ 91,800.00	$ 113,092.75
C	Curtis Electrical Inc	$ 288,504.81	$ 280,049.68
C	CVE Demolition - Package 2	$ 739,050.00	$ 499,622.98
C	CVE Demolition - Clear and Grub	$ 1,889,063.05	$ 1,137,957.05
C	Hughes Construction - Garces, Pond, Peterson	$ 316,775.33	$ 279,401.85
C	Hughes Construction - Kimberlina	$ 140,919.20	$ 89,651.88
C	Leo Tidewell Excavating Corp - AT&T	$ 1,630,872.38	$ 333,072.22
C	Leo Tidewell Excavating Corp - Frontier	$ 23,214.00	$ 22,214.00
C	Leo Tidewell Excavating Corp - Level 3	$ 4,899,746.72	$ 4,827,142.80
P	O'Dell Engineering	$ 204,080.00	$ 217,951.29
C	Ruben's Pipeline - USBR-SWID Conflicts	$ 1,082,440.09	$ 993,200.86
C	Ruben's Pipeline - City of Wasco Relocations	$ 880,293.52	$ 16,207.00
P	Salem Engineering Inc (AMEC)	$ 20,000.00	$ 16,530.00
C	Triple E Trucking, LLC (Griffith)	$ 10,401,815.50	$ 3,234,900.82
	Total	**$ 38,240,831.58**	**$ 17,608,330.41**

	MB	Eligible Commitment Value[5]	Eligible Paid to Date[6]
C	2R Drilling Inc (AMEC)	$ 5,000.00	$ 5,250.00
P	Capo Projects Group, Inc	$ 1,302,500.00	$ 1,221,540.00
C	CVE Demolition - Package 1	$ 56,120.00	$ 53,314.00
C	DeWalt Inc- Package 1	$ 81,600.00	$ 93,976.00
C	DeWalt Inc - Package 2	$ 94,186.08	$ 94,186.08
P	DeWalt Inc - Utilities Design	$ 5,664,178.75	$ 4,260,454.06
P	Geovision Geographical	$ 27,300.00	$ 27,290.00
P	GeoX, Inc.	$ 5,145,969.60	$ 1,824,388.64
P	iWalk Inc.	$ 632,063.00	$ 536,887.11
P	JMA Civil Inc.	$ 1,004,796.75	$ 968,325.44
C	Kehoe Testing and Engineering (AMEC)	$ 70,000.00	$ 81,630.00
C	Kern Brothers Trucking	$ 4,840.00	$ 7,560.74
P	MKN & Associates	$ 344,539.16	$ 236,992.41
P	Rende Consulting	$ 105,904.88	$ 105,904.88
	Total	**$ 14,538,998.22**	**$ 9,517,699.36**

	DBE	Eligible Commitment Value[5]	Eligible Paid to Date[6]	Title VI Class
C	Avila Traffic Safety - Crash Barriers	$ 331,283.75	$ 130,320.14	Caucasian/Female
P	Avila Traffic Safety - Materials	$ 58,145.00	$ 22,680.00	Caucasian/Female
C	Code Precast Products, Inc - Package 1	$ 45,286.31	$ 45,286.31	Hispanic/Female
C	Code Precast Products, Inc - Package 2	$ 319,938.03	$ 106,093.08	Hispanic/Female
C	Excel Concrete, Inc.	$ 5,443,004.85	$ 1,599,873.08	Hispanic
P	Fine Grade Equipment	$ 250,000.00	$ 90,257.50	Native American/Female
P	JAD & Associates	$ 862,900.00	$ 513,603.50	Asian Pacific
C	JP Transport (Papich)	$ 7,150,750.00	$ 4,165,372.46	Hispanic
P	Othon Inc	$ 3,378,011.25	$ 3,540,389.04	Hispanic
P	Preferred Power Solutions	$ 663,448.21	$ 500,662.99	Caucasian/Female
P	Terra Environmental - Package 1	$ 10,090.00	$ 9,585.50	Hispanic
P	Terra Environmental - Package 2	$ 113,255.17	$ 105,622.87	Hispanic
P	Unico Engineering	$ 3,202,000.00	$ 2,734,339.10	Hispanic
	Total	**$ 21,828,112.57**	**$ 13,564,085.57**	

	DVBE		Eligible Commitment Value[5]		Eligible Paid to Date[6]
C	CalBurton, Inc.	$	30,600.00	$	2,356.00
C	Katch Environmental, Inc.	$	189,126.03	$	107,099.22
C	Soils Engineering (AMEC)	$	110,000.00	$	124,910.75
P	United Synergy Corporation (USC Supply) - Material Supplier - 163	$	2,147.74	$	2,147.74
P	United Synergy Corporation (USC Supply) - PVC Pipe Supplier - 189	$	40,155.81	$	8,223.92
P	United Synergy Corporation (USC Supply) - Material Supplier - 266	$	2,950.00	$	2,950.00
P	United Synergy Corporation (USC Supply) - Mule Tape - 269	$	649.50	$	649.50
P	United Synergy Corporation (USC Supply) - PVC Pipe & Tape -296	$	6,706.04	$	6,706.04
P	United Synergy Corporation (USC Supply) - Flare Ends - 305	$	6,768.66	$	6,768.66
P	United Synergy Corporation (USC Supply) - PVC Pipe Supplier - 318	$	1,122.94	$	1,122.94
P	She Marine Construction Supply	$	15,226.88	$	15,226.88
C	Tri-F Consolidators (Papich)	$	2,636,500.00	$	2,198,728.51
	Total	**$**	**3,041,953.60**	**$**	**2,476,890.16**

CALIFORNIA RAIL BUILDERS CP4 SB VENDOR DOLLARS COMMITTED AND EXPENDED TO DATE (FORM 103B) [4]			
		Dollars	%
Total SB Program Dollars Committed	**$**	**1,400,449.61**	**0.2341%**
Total SB Program Dollars Expended to Date	**$**	**1,400,449.61**	**0.2341%**
Total SB Dollars Committed	$	408,259.92	0.0682%
Total SB Dollars Expended to Date	$	408,259.92	0.0682%
Total DBE Dollars Committed	$	392,076.78	0.0655%
Total DBE Dollars Expended to Date	$	392,076.78	0.0655%
Total DVBE Dollars Committed	$	10,166.89	0.0017%
Total DVBE Dollars Expended to Date	$	10,166.89	0.0017%
Total MB Dollars Committed	$	589,946.02	0.0986%
Total MB Dollars Expended to Date	$	589,946.02	0.0986%

VENDOR SUMMARY DETAILS

	SB		Eligible Commitment Value[5]		Eligible Paid to Date[6]
V	Constar Supply	$	5,481.78	$	5,481.78
V	Constar Supply (Griffith Earthworks)	$	128,881.70	$	128,881.70
V	Direct Safety Solutions	$	74,478.46	$	74,478.46
V	ISCO Machinery (Griffith Earthworks)	$	87,727.46	$	87,727.46
V	Knight Pumping & Portable Services	$	103,977.75	$	103,977.75
V	O'Leary's Office Products	$	6,120.70	$	6,120.70
V	Trinity Safety Supply	$	974.25	$	974.25
V	Triple E Trucking	$	390.60	$	390.60
V	Tyacks Tires	$	227.22	$	227.22
	Total	**$**	**408,259.92**	**$**	**408,259.92**

	MB		Eligible Commitment Value[5]		Eligible Paid to Date[6]
V	American Refuse	$	45,820.10	$	45,820.10
V	American Refuse Inc (Griffith Earthworks)	$	12,603.83	$	12,603.83
V	B&R Tool & Supply Co. (Griffith Earthworks)	$	98.42	$	98.42
V	ERTEC Environmental Systems	$	124,440.81	$	124,440.81
V	First Business Machines (Griffith Earthworks)	$	2,300.35	$	2,300.35
V	Integrity Technology	$	122,063.24	$	122,063.24
V	Inverted, Inc.	$	16,371.12	$	16,371.12
V	Kern Valley Printing	$	20,057.11	$	20,057.11
V	LCP Tracker	$	49,008.30	$	49,008.30
V	PTS Rentals	$	61,994.28	$	61,994.28
V	SC Communications (Griffith Earthworks)	$	4,325.02	$	4,325.02
V	South Tulare-Richgrove Refuse	$	32,351.95	$	32,351.95
V	UBP-Bakersfield	$	70,657.42	$	70,657.42
V	Wall Street Imprintables	$	27,854.07	$	27,854.07
	Total	**$**	**589,946.02**	**$**	**589,946.02**

	DBE	Eligible Commitment Value[5]	Eligible Paid to Date[6]	Title VI Class
V	Blueprint Enterprises	$ 17,241.22	$ 17,241.22	Asian Pacific
V	Blueprint Enterprises (Griffith Earthworks)	$ 3,956.35	$ 3,956.35	Asian Pacific
V	LaLonde Equipment Rental	$ 218,421.44	$ 218,421.44	Female
V	LaLonde Equipment Rental (Griffith Earthworks)	$ 136,922.86	$ 136,922.86	Female
V	Tyack's Tires (Griffith Earthworks)	$ 14,659.91	$ 14,659.91	Female
V	Valley SB Construction Report (VSBCR)	$ 875.00	$ 875.00	Black
	Total	**$ 392,076.78**	**$ 392,076.78**	

	DVBE	Eligible Commitment Value[5]	Eligible Paid to Date[6]
V	She Marine	$ 10,166.89	$ 10,166.89
	Total	**$ 10,166.89**	**$ 10,166.89**

PENDING SB COMMITMENTS[7]

Subcontractor	Scope of Work	Proposed Commitment Value	Certification
Pavletich Electric & Communications, Inc	Cable for Modular Trailers	$ 45,675.58	SB
Sierra Aeriform	Aerial photography and videography	$ 319,680.00	MB
Verux, Inc.	Storm water pollution prevention plan	$ 4,800.00	MB
Alliance Ready Mix (Hayward Baker, Inc.)	Ready Mix Concrete Supplier	$ 5,104,500.00	SB
Hughes Construction	Install Temporary Shoring (Wasco Viaduct)	$ 294,360.00	SB
Hughes Construction	Install Temporary Shoring (Poso Creek)	$ 325,287.00	SB
Aardvark Biological Services	Biological Pre-Construction Surveys	$ 211,889.61	DBE
Paleo Solutions, Inc (Stantec)	Paleo Construction Monitoring	Time & Materials	DBE
Padre Associates, Inc (Stantec)	On Call Biological Services	$ 100,000.00	SB
	Total	**$ 6,406,192.19**	

UNSOLIDIFIED SB PERFORMANACE PLAN LISTED COMMITMENTS[8]

Subcontractor	Scope of Work	Proposed Commitment Value	Certification
G&C Equipment Corporation		$500,000-$2 Million	DBE/DVBE

Legend

(Higher Tier Sub)	The listed name is sub-tier of the contractor listed in parenthesis
P	**Professional Service**
C	**Construction Subcontractor**
V	**Vendor**

* Denotes new procurement for **August 2020.**

[1] Original Contract Price includes Fixed Bid Price and Provisional Sums.

[2] Includes total Fixed Bid Price, Provisional Sums, Class I and Class II Hazardous Waste Variable Bid Price, Prime Contract Change Orders and does not include payments made to excluded 3rd Party Subcontractors. Change order values are based on the **July 2020** data found in the California High-Speed Rail Central Valley Status Report .

[3] Verified data includes **August 2020** SB utilization totals and percentages, and is the sum of data provided only on Forms 103A and prompt payment reports submitted from the inception of the project through this reporting period. Does not include data from unapproved SB firms or unverified data from subcontractors.

[4] Verified data includes **August 2020** SB utilization totals and percentages, and is the sum of data provided only on Forms 103B and prompt payment reports submitted from the inception of the project through this reporting period. Does not include data from unapproved SB firms or unverified data from subcontractors, or vendors.

[5] Eligible commitment values for subcontractors reflect original contract value inclusive of all Authority approved change order activity minus commitment value of lower tier subcontractors. Eligible commitment value for vendors reflect the total dollars paid to the vendor from inception through the end of the reporting period.

[6] Eligible paid to date reflects all dollars paid to the firm minus payments made to their lower tier subcontractors.

[7] Subcontractors with submitted Requests to Add (RTAs) and/or executed agreements that are pending review and/or firms with incomplete RTA submission packages as of **August 2020.**

[8] Subcontractors that appear in CRB's original proposal and/or SB Performance Plan that have not appeared on a Form 103A as of **August 2020.**

Attachment 2: CP4 Dispatch Worker by County Report

California High Speed Rail Authority
Construction Package 4 Design Build Project

All Prevailing Wage Workers
And Hours by County
Total Number of Hours Worked

Reporting Period
Cumulative Totals up to August 31, 2020

State, County, and Zip Code	Sum of Hours	Sum of Workers
CA	**268,236.57**	**878**
Amador	**710.50**	**1**
95666	710.50	1
Calaveras	**701.50**	**1**
95246	701.50	1
Colusa	**8.00**	**1**
95932	8.00	1
Contra Costa	**1,013.56**	**5**
94509	605.50	2
94513	408.06	3
Fresno	**10,133.75**	**43**
93210	1,620.50	1
93611	740.50	4
93616	305.00	1
93625	50.50	1
93630	48.00	1
93631	45.00	1
93636	8.00	1
93640	408.00	1
93656	45.00	2
93657	225.50	1
93662	13.00	1
93702	92.50	2
93703	261.00	2
93704	1,435.25	2
93705	246.50	3
93706	234.00	1
93710	1,495.00	2
93711	92.50	2
93722	1,296.50	7
93725	138.00	2
93727	1,126.50	4
93728	207.00	1
Imperial	**27.00**	**1**
92231	27.00	1
Inyo	**32.00**	**1**
93514	32.00	1
Kern	**167,313.30**	**534**
93203	888.50	3
93206	259.75	1
93215	571.00	4
93216	803.00	1
93222	6.00	1
93224	25.50	1
93225	414.00	1
93240	2,018.50	5
93241	2,785.75	6
93250	820.50	7
93263	4,161.00	12
93266	27.50	1
93268	1,003.59	4
93280	6,310.78	15
93283	220.50	1
93301	296.00	6
93303	92.00	2
93304	13,249.12	50
93305	5,226.00	16
93306	19,272.50	45
93307	10,724.12	51
93308	17,854.40	72
93309	16,132.07	48
93311	7,562.95	27
93312	19,283.89	58
93313	13,479.62	40
93314	11,652.25	29
93384	37.50	1
93386	329.50	1
93387	23.50	1
93516	199.50	1
93518	3,637.00	4
93527	355.00	1
93531	4,227.50	1

National Targeted Hiring Initiative Workers Summary
Total of Category One & Two and Disadvantaged Workers(1, 2, 3)
Hours Worked Per County

Reporting Period
Cumulative Totals up to August 31, 2020

State, County, and Zip Code	Sum of Hours	Sum of Workers
CA	**151,439.72**	**510**
Contra Costa	**435.00**	**1**
Fresno	**7,255.25**	**31**
93611	16.00	1
93630	48.00	1
93631	45.00	1
93640	408.00	1
93657	225.50	1
93662	13.00	1
93702	92.50	2
93703	261.00	2
93704	1,435.25	2
93705	246.50	3
93706	234.00	1
93710	1,495.00	2
93722	1,264.00	6
93725	138.00	2
93727	1,126.50	4
93728	207.00	1
Imperial	**27.00**	**1**
92231	27.00	1
Inyo	**32.00**	**1**
93514	32.00	1
Kern	**96,420.09**	**330**
93203	888.50	3
93215	413.00	3
93240	2,018.50	5
93241	2,785.75	6
93250	468.00	6
93263	2,632.00	10
93266	27.50	1
93268	1,003.59	4
93280	6,232.78	13
93301	296.00	6
93304	12,707.62	46
93305	4,243.00	14
93306	17,190.00	40
93307	10,695.62	50
93308	16,622.15	67
93309	16,105.57	46
93311	57.00	1
93312	135.00	2
93314	20.00	1
93516	199.50	1
93560	1,679.00	5
Kings	**88.00**	**2**
93230	88.00	2
Los Angeles	**9,626.50**	**43**
90002	21.00	1
90011	12.00	1
90016	48.00	1
90022	36.50	2
90033	32.00	1
90037	136.00	1
90044	24.00	1
90047	80.00	1
90063	260.00	2
90201	1,783.00	4
90247	296.50	1
90260	52.50	1
90262	184.00	5
90602	89.50	2
90723	3,480.50	2
90802	12.00	1
90805	57.00	2
90806	16.00	1
91342	12.00	1
91352	34.00	1
91390	16.00	1
91702	118.00	1
91706	475.50	1
91801	8.00	1

State, County, and Zip Code	Sum of Hours	Sum of Workers
93560	1,679.00	5
93561	1,568.00	9
93581	116.00	3
Kings	**88.00**	**2**
93230	88.00	2
Los Angeles	**13,013.00**	**62**
90002	21.00	1
90011	12.00	1
90016	48.00	1
90022	36.50	2
90033	32.00	1
90037	136.00	1
90044	24.00	1
90047	80.00	1
90063	260.00	2
90201	1,783.00	4
90211	144.00	1
90220	70.00	1
90247	296.50	1
90260	52.50	1
90262	184.00	5
90602	89.50	2
90712	24.00	1
90723	3,480.50	2
90802	12.00	1
90805	57.00	2
90806	16.00	1
90808	593.00	1
91340	12.00	1
91342	12.00	1
91351	12.00	1
91352	34.00	1
91390	16.00	1
91702	118.00	1
91706	475.50	1
91740	108.00	1
91746	52.00	1
91750	24.00	1
91773	101.00	1
91790	12.00	1
91801	8.00	1
92706	31.00	1
93510	62.00	2
93534	928.00	1
93535	640.00	2
93536	1,182.50	4
93550	1,544.00	3
93551	62.00	1
93552	127.50	1
Madera	**1,431.00**	**7**
93610	268.00	1
93636	230.00	2
93637	490.50	1
93638	442.50	3
Maricopa	**283.00**	**1**
85374	283.00	1
Merced	**978.00**	**5**
93301	28.00	
93635	33.00	2
95301	385.00	1
95341	44.50	1
95348	487.50	1
Monterey	**882.50**	**1**
93426	882.50	1
Orange	**1,846.00**	**6**
92646	700.00	1
92672	52.00	1
92694	128.00	1
92821	243.00	1
92833	2.00	1
92868	721.00	1
Pinal	**536.50**	**1**
85653	536.50	1
Placer	**356.00**	**4**
95604	71.50	1
95648	275.00	2
95678	9.50	1
Riverside	**13,600.75**	**39**
91752	24.00	1
92223	16.00	1
92234	1,141.00	3
92236	367.00	1
92240	122.00	1
92274	252.00	1
92320	12.00	1
92324	246.00	1
92503	30.00	1

State, County, and Zip Code	Sum of Hours	Sum of Workers
92706	31.00	1
93535	640.00	2
93550	1,544.00	3
93552	127.50	1
Madera	**1,201.00**	**5**
93610	268.00	1
93637	490.50	1
93638	442.50	3
Maricopa	**283.00**	**1**
85374	283.00	1
Merced	**933.50**	**4**
93301	28.00	
93635	33.00	2
95301	385.00	1
95348	487.50	1
Placer	**284.50**	**3**
95648	275.00	2
95678	9.50	1
Riverside	**7,749.00**	**20**
91752	24.00	1
92223	16.00	1
92234	1,141.00	3
92236	367.00	1
92240	122.00	1
92274	252.00	1
92324	246.00	1
92503	30.00	1
92505	61.00	1
92507	286.00	1
92509	46.00	1
92530	907.50	2
92544	2,024.00	2
92545	1,439.00	1
92557	779.50	1
92562	8.00	1
Sacramento	**8.00**	**1**
95824	8.00	1
San Benito	**17.00**	**1**
95023	17.00	1
San Bernardino	**10,007.13**	**24**
90802	8.00	1
91761	22.00	1
91762	8.00	1
92301	1,466.00	1
92307	516.00	2
92311	156.00	1
92324	999.00	1
92335	60.00	2
92345	2,134.75	4
92363	384.00	1
92376	1,017.00	2
92392	1,188.38	3
92404	914.50	1
92407	906.50	2
93304	227.00	1
San Diego	**8,001.50**	**10**
91911	1,891.00	1
91942	199.00	1
91950	1,687.50	3
92020	2,679.50	2
92058	75.00	2
92071	1,469.50	1
San Joaquin	**137.50**	**1**
95204	137.50	1
San Luis Obispo	**4,656.00**	**7**
93240	108.00	1
93445	37.00	1
93446	4,511.00	5
San Mateo	**22.50**	**1**
94063	22.50	1
Santa Barbara	**1,282.50**	**5**
93436	757.50	1
93458	525.00	4
Shafter	**2.50**	**1**
93263	2.50	1
Solano	**683.50**	**3**
94533	433.00	2
94590	250.50	1
Stanislaus	**85.00**	**1**
95350	85.00	1
Tulare	**1,777.75**	**12**
93247	260.00	1
93257	872.00	3
93274	40.75	1
93277	99.50	4
93292	492.50	2
93618	13.00	1

State, County, and Zip Code	Sum of Hours	Sum of Workers
92505	61.00	1
92506	471.00	1
92507	286.00	1
92509	46.00	1
92530	907.50	2
92544	2,024.00	2
92545	1,439.00	1
92548	104.50	2
92549	1.50	1
92557	779.50	1
92562	8.00	1
92563	232.75	4
92571	24.00	1
92582	2,012.00	2
92584	175.50	3
92585	476.50	1
92587	100.00	1
92595	2,165.00	1
92880	77.00	1
Sacramento	**10.00**	**2**
95693	2.00	1
95824	8.00	1
San Benito	**17.00**	**1**
95023	17.00	1
San Bernardino	**11,810.13**	**31**
90802	8.00	1
91701	181.00	2
91730	600.50	1
91761	22.00	1
91762	8.00	1
91763	43.50	1
92301	2,331.50	2
92307	516.00	2
92311	156.00	1
92324	999.00	1
92335	60.00	2
92336	38.50	1
92345	2,134.75	4
92363	384.00	1
92371	74.00	1
92376	1,017.00	2
92392	1,188.38	3
92404	914.50	1
92407	906.50	2
93304	227.00	1
San Diego	**18,675.00**	**22**
91906	1,009.00	1
91911	1,891.00	1
91913	1,312.50	1
91916	148.00	1
91942	199.00	1
91950	1,687.50	3
92018	6.00	1
92019	2,876.00	1
92020	2,679.50	2
92040	3,841.00	5
92058	75.00	2
92064	50.50	1
92065	1,430.50	1
92071	1,469.50	1
San Joaquin	**744.00**	**9**
95204	137.50	1
95253	32.00	1
95258	52.50	1
95320	357.00	2
95330	32.00	1
95337	93.00	2
95377	40.00	1
San Luis Obispo	**14,191.33**	**46**
93240	108.00	1
93420	425.50	2
93421	1,470.00	1
93422	947.83	8
93433	1,154.50	4
93444	76.50	5
93445	37.00	1
93446	4,702.00	9
93447	21.00	2
93449	131.00	3
93453	853.00	2
93461	2,114.00	4
93465	454.50	2
93475	21.50	1
93483	1,675.00	1
San Mateo	**22.50**	**1**
94063	22.50	1
Santa Barbara	**2,375.50**	**12**
Yuba	**424.00**	**1**
95961	424.00	1
NM	**24.00**	**1**
Bernalillo	**24.00**	**1**
87121	24.00	1
NV	**40.00**	**1**
Clark	**40.00**	**1**
89104	40.00	1
OR	**1,290.00**	**2**
Jackson	**1,290.00**	**2**
97503	645.50	1
97539	644.50	1
Grand Total	**152,793.72**	**514**

State, County, and Zip Code	Sum of Hours	Sum of Workers
93254	1,034.50	1
93436	765.50	2
93454	26.50	1
93455	8.00	2
93456	8.00	1
93458	533.00	5
Shafter	**2.50**	**1**
93263	2.50	1
Solano	**1,292.50**	**9**
94533	683.50	4
94571	200.50	1
94585	121.00	1
94590	250.50	1
95620	6.00	1
95688	31.00	1
Stanislaus	**184.00**	**3**
95350	85.00	1
95358	95.00	1
95361	4.00	1
Tulare	**3,306.75**	**18**
93247	260.00	1
93256	1,166.50	1
93257	900.00	4
93265	64.00	1
93274	180.25	3
93277	99.50	4
93292	623.50	3
93618	13.00	1
Ventura	**1,954.50**	**5**
93003	1,106.50	2
93012	16.00	1
93065	619.50	1
93066	212.50	1
Yolo	**80.00**	**1**
95616	80.00	1
Yuba	**424.00**	**1**
95961	424.00	1
Alameda	**192.50**	**1**
94552	192.50	1
CO	**160.00**	**1**
Delta	**160.00**	**1**
81416	160.00	1
ID	**9.50**	**1**
Gem	**9.50**	**1**
83617	9.50	1
KY	**605.00**	**1**
Hopkins	**605.00**	**1**
42413	605.00	1
MO	**374.83**	**1**
Ray	**374.83**	**1**
64062	374.83	1
NM	**60.00**	**2**
Bernalillo	**60.00**	**2**
87121	60.00	2
NV	**2,163.00**	**4**
Clark	**2,106.00**	**3**
89011	122.00	1
89031	1,944.00	1
89104	40.00	1
Lyon	**57.00**	**1**
89429	57.00	1
OK	**447.00**	**3**
Tulsa	**206.50**	**1**
74070	206.50	1
Washington	**240.50**	**2**
74022	206.50	1
74061	34.00	1
OR	**3,878.00**	**6**
Jackson	**3,878.00**	**6**
97503	645.50	1
97525	1,297.00	2
97537	1,291.00	2
97539	644.50	1
WA	**9.50**	**1**
Clark	**9.50**	**1**
98642	9.50	1
Grand Total	**275,943.40**	**898**

Source Documents: CP 4 Certified Payroll Records (CPRs) submitted by California Rail Builders, LLC active and approved subcontractors regardless of tier.
Disclaimer: a The Information provided in this report is based from CPRs sent by TPZP from the beginning of project work to August 31, 2020 for CP 1 Project.
Undetermined County: County can not be identified by zip code or zip code is inconsistent with city listed on CPRs

National Targeted Worker means an individual whose primary place of residence is within an Extremely Economically Disadvantaged Area (Category 1) or an Economically Disadvantaged Area (Category 2) in the United States, or a Disadvantaged Worker (see below).
Includes only the Community Beneftis Agreement (CBA) covered work.

[2] **Category 1** refers to workers who reside in extremely economically disadvantaged area with zip codes that includes a census tract or portion thereof in which the median annual household income is less than $32,000 per year.

[1] **Category 2** refers to workers who reside in economically disadvantaged area with zip codes that includes a census tract or portion thereof in which median annual household income is between $32,000 and $40,000 per year.

[3] **Disadvantaged worker** means an individual who, prior to commencing work on the project, resides in an Extremely Economically Disadvantaged area (Category 1) or Economically Disadvantaged area (Category 2), and faces at least one of the following barriers to employment: being a veteran, being homeless, being custodial single parent, receiving public assistance, lacking a GED or high school diploma, having a criminal record or other involvement with the criminal justice system, suffering from chronic unemployment, emancipated from foster care system, or being an apprentice with less than 15% of the apprenticeship hours required to graduate to journey level in a program as described in Section 1.2. *Note that a Disadvantaged Worker classification*

CALIFORNIA High-Speed Rail Authority

Memorandum

DATE: October 19, 2020

TO: Catrina Blair, Small Business Advocate

FROM: Doua Moua

SUBJECT: Business Advisory Council Monthly Report

Reporting Period : September 1st – 30th, 2020

CURRENT SMALL BUSINESS ACTIVITY

Certification Type	# of Firms on Contract	Total Contract Value	Expended Value	Small Business Percentage
SBE	44	$ 114,956,557.40	$ 65,024,061.68	
DBE	28	$ 263,131,047.27	$ 125,769,169.27	
DVBE	15	$ 132,174,734.10	$ 87,390,895.76	
TOTAL Engagements	87	$ 510,262,338.77	$ 278,184,126.71	

ACHIEVEMENTS

- Participation (committed contracts) – SBE- 25.43%, includes 13.12% DBE, and DVBE 6.59%

AVAILABLE PROCUREMENTS:

- **N/A**

UPCOMING PROCUREMENTS:

Procurement	Date	Status
N/A		

3- MONTH PROJECT LOOK AHEAD

- Complete American Avenue grade separation
- Complete Avenue 12 Grade Separation

CRITICAL ISSUES/CHALLENGES

- Compliance - Certified Payroll

- Schedule - Delays

UPCOMING OUTREACH EVENTS

Event	Date	Address	Time
N/A			

CALIFORNIA High-Speed Rail Authority

Memorandum

DATE: October 14, 2020

TO: Catrina Blair, Small Business Advocate

FROM: Bjorn Nilsen, DFJV Small Business Manager, HSR 13-57

SUBJECT: Business Advisory Council Monthly Report

Reporting Period : Through August 31, 2020

CURRENT SMALL BUSINESS ACTIVITY**

Certification Type	# of Firms on Contract	Total Contract Value*	Expended $ Value
SBE	122	$ 330,947,469.46	$86,583,460.48
DBE	59	$ 86,206,285.46	$32,136,798.83
DVBE	19	$ 26,041,517.42	$17,092,833.29
TOTAL Engagements	**201**	**$ 443,194,272.34**	**$135,813,092.48**

*** Total Contract Value includes contracts executed but not as yet approved by the Authority for SB counting credit.**
**** Figures reflect EOM June 2020 data**.

ACHIEVEMENTS

- Increased overall SB contracting commitments by approximately $11 million over the last 30 days.
- Payments increased by $10 million over the last 30 days.
- Conducted Good Faith Efforts documentation training with segment procurement engineers.

AVAILABLE PROCUREMENTS:

- As of October 14, 2020, there is one active and open public invitations for bid.
  - Proposers interested in the furnish and installation of CIDH Pile for Dutch John Cut and Cole Slough Structures for the CP 2-3 Project can download our RFP and reference materials for 20_BP_227 at our web site at http://www.dfcp23.com/subcontracting
- Bids under evaluation and pending award include:
  - IFB 20-214 Joint Seals, Segment 3 – DFJV is in negotiation with a DBE to provide joint seals for all segments.
- DFJV is seeking DBE and DVBE wood working firms willing to manufacture falsework frames to drawings….this is a million-dollar opportunity!

UPCOMING PROCUREMENTS:

Procurement	Date	Status
BP_19_093 Grangeville Driven Piles	3rd Quarter 2020	Planned
BP_19_103 Dutch John Cut CIDH Pile	3rd Quarter 2020	Active
BP_19_208 Cole Slough CIDH	3rd Quarter 2020	Planned
BP_20_104 Dover Ave CIDH Pile	3rd Quarter 2020	Planned
BP_20_105 Manning CIDH	3rd Quarter 2020	Planned
BP_20_209 SR 43 CIDH	4th Quarter 2020	Drawings being finalized for release
BP_20_212 Flint Ave and Dover Ave	4th Quarter 2020	Drawings being finalized for release
BP_20_218 Fargo Avenue Prod Pile	4th Quarter 2020	Drawings being finalized for release
BPI_20_216 Segment 3 CIDH	4th Quarter 2020	Drawings being finalized for release
BPI_20_221 Pile Driving Segment 3	4th Quarter 2020	Drawings being finalized for release

Additionally, DFJV is working on bid packages for:

- Bridge deck work
- Roadway Paving
- Lane Striping
- Utility Relocations
- MBGR supply and install

3-6 MONTH LOOK AHEAD*

DFJV will be seeking out small businesses that can:

- *Build DOT overpass structures***
- Install either or both CIDH and driven pile
- *Supply and install metal beam guardrails.***
- Pave road and bridge surfaces
- Furnish and install bridge bearings and pads
- Provide post tensioning services
- Provide slope paving services
- Manufacture wooden falsework frames to drawings ***

*These are our largest open opportunities for SB's.
**Items with emphasis added are most urgent with respect to finding a qualified and capable source. – *with an emphasis on DBE and DVBE participation needed for all!*
***This is a million-dollar opportunity for a small wood-working business – procure lumber and manufacture to specifications (I can't believe no one is doing this!!!)

CRITICAL ISSUES/CHALLENGES

Poor Small Business Response: – Despite outreach, follow-up outreach, and solicitations through small business partner organizations, the response from the small business community has been exceptionally low. In fact, a recent bid for joint seal furnish and install work involved the outreach to 108 small business firms – ZERO responses were received for work that was perfectly suited and sized for small business participation. When offered a "second chance" to bid exclusively as a small business community, the responses were the same – ZERO. BAC MEMBERS – I NEED YOUR HELP IN IMPROVING RESPONSES – ESPECIALLY FROM DBE AND DVBE COMMUNITIES! Please feel free to contact me directly to discuss how your organization can help at bnilsen@dfcp23.com or (559) 356-8688.

Time Pressures to Build – As the Authority feels more and more pressure to drive construction, so does DFJV. There currently exists strong pressure to commence construction as quickly as possible and at every turn. This negates the ability of small businesses to participate in bid activities with short lead-times. SBs typically respond to bids in "off hours" whereas their non-SB competitors have full-time staff to complete quote and bid activities. I am requesting that the Authority come forth with assurances that it will provide Good Faith Effort credit in such cases for the remainder of the project where its schedule demands are a key factor in the lack of small business participation.

UPCOMING OUTREACH EVENTS*

Event	Date	Address	Time
None planned*			

* DFJV and State CoVid-19 protocols prevent any "live" outreach activity at present and in the foreseeable future. As such, DFJV outreach efforts remain focused on a combination of the immediate needs of Operations with respect to specific scopes of work while longer term needs identified by production schedules drive future needs for outreach and recruitment of small business entities. Outreach conducted by DFJV takes place in a number of formats – most common are "Meet the Prime" initial meetings, "One-on-One" follow-up meetings to discuss scope of work and project requirement particulars, and certified specialty E-mail solicitations for expressions of interest.

CALIFORNIA High-Speed Rail Authority

Memorandum

DATE: October 15, 2020

TO: Catrina Blair, Chief, Process and Program Development Branch

FROM: Rita Ohaya, Small Business Officer, HSR14-66

SUBJECT: Business Advisory Council Monthly Report

Reporting Period: September 30, 2020

CURRENT SMALL BUSINESS ACTIVITY

Certification Type	# of Firms on Contract	Total Contract Value*	Expended $ Value*
SBE	25	$108,200,054	$72,796,740
DBE	13	$95,877,608	$72,968,508
DVBE	4	$14,647,138	$13,273,755
TOTAL Engagements	**42**	**$218,724,800**	**$159,039,004**

*Data reflects inception to date.

ACHIEVEMENTS

- Reviewed Small Business compliance with the Authority's Small Business Advocate on September 25, 2020, to assess compliance, recap on the Audit workshop, discuss steps moving forward and discrepancies, proactively mitigate concerns and potential non-compliance.
- Facilitated Prompt Payment Verification of RDP Second Tier Subcontractors for RDP SBs per 49 CFR part 26 by submitting a payment report to the Authority in September 2020.
- Committed to sponsoring the Veteran in Business Network's (VIB) national conference from October 10-13, 2020; as such, marketed the event to WSP Small Business partners, and coordinated matchmaking event for the date of the conference. VIB is a nonprofit 501(c)3 organization that advocates for Veteran Businesses including SDVOSBs and DVBEs; VIB helps build connections between Veteran Businesses, Corporations, Government Agencies, and Prime Contractors looking to create partnerships for contracting opportunities.
- Applied 49 CFR Part 26 best practices while assisting Subcontract Manager with strategizing resolutions for small business overhead and dispute challenges.
- Submitted a Request to Add a Certified Disabled Veteran Business Enterprises.
- Submitted the September 2020 Form 103 to the Authority's Compliance and Small Business teams by September 15, 2020.
- Shared Senate Bill 605, a billed signed by the Governor on October 11, 2017 and subsequently implemented January 1, 2019. This bill amended Government Code 14837 & 14838 that covers certification under the Small Business Procurement and Contract Act. The Senate Bill 605 increases Small Business 3-year average Gross Annual Receipts eligibility threshold for existing certification programs to $15 million for Small Business and $5 Million for Microbusinesses. Additionally, the bill creates a new category of certification for businesses

interested in participating on public works projects. The eligibility for this category is $36 million in 3 year average Gross Annual Receipts, and 200 Employee count;

- To facilitate shared responsibility for and awareness of the Authority's Small and Disadvantaged Business Program plan, reported Small Business progress, process, concerns, and regulations to RDP task leads at a monthly task status meeting held September 24, 2020.
- Further supported small businesses that reached out seeking small business certification and available subcontracting opportunities.
- Collaborated with the Authority to facilitate an Audit workshop that will further mitigate the audit ramifications with the Authority's Small Business Compliance team and Small Business Advocate.

AVAILABLE PROCUREMENTS:

Procurement	Status	Comments
No Available Procurement	N/A	N/A

UPCOMING PROCUREMENTS:

Procurement	Date	Status
No Available Procurement	N/A	N/A

3- MONTH LOOK AHEAD

- Assist with prompt payment analysis as part of the Business Advisory Council's Professional Services subcommittee.
- Partner with the Authority to develop a Frequently Asked Question sheet for the Authority's Audit Process.
- Partner with the Veterans in Business Outreach Committee to provide resources to Veteran Owned Businesses

CRITICAL ISSUES/CHALLENGES

- None

UPCOMING OUTREACH EVENTS

Event	Date	Address	Time
Veteran in Business Network National Conference	October 12 – 13, 2020	Virtual	N/A

CALIFORNIA High-Speed Rail Authority

Memorandum

DATE: October, 2020

TO: Catrina Blair, Small Business Advocate

FROM: Amanda Craft, Construction Package 4

SUBJECT: Business Advisory Council Monthly Report

Reporting Period : Inception through August 31, 2020

CURRENT SMALL BUSINESS ACTIVITY

Certification Type	# of Firms on Contract	Total Contract Value*	Expended $ Value
SBE	57	$53,747,772.94	$28,120,685.91
DBE	20	$22,543,992.63	$13,956,389.57
DVBE	14	$3,897,450.97	$2,487,057.95
TOTAL Engagements	**91**	**$80,189,216.54**	**$44,564,133.43**

*** Total Contract Value includes contracts executed but not as yet approved by the Authority for SB counting credit.**

ACHIEVEMENTS

(I.e. List all achievements pertaining to Small Businesses that have happened in the past month.)

- *Submitted for Authority approval an additional $4.4M in commitments to SBs, DBEs and DVBEs.*

AVAILABLE PROCUREMENTS:

Procurement	Status	Comments
Lighting (Electrical)	Open	
Pump Stations	Open	
Waterproofing Clear Coat	Open	
Temp & Permanent Striping	Open	
Shotcrete (supply and application)	Open	
Solid Barrier	Open	
Semitropic relocation	Open	
Permanent Signage Roadways	Open	
Anti-graffiti Coating		

UPCOMING PROCUREMENTS:

Procurement	Date	Status
AT&T Package 2	TBD	

3- MONTH LOOK AHEAD

(I.e. List mitigating plans/activities to increase small business participation/utilization)

- Continue to manage SBs on the project to overcome any obstacles and help them to be successful

CRITICAL ISSUES/CHALLENGES

- Educating non-signatory/union contractors on the CBA requirements

UPCOMING OUTREACH EVENTS

Event	Date	Address	Time
AGC Small Business Council Expo	~~April 7, 2020~~ **Postponed April 22, 2021**	Richmond, CA	8:00am-12:00pm

CALIFORNIA High-Speed Rail Authority

Memorandum

DATE: October 15, 2020

TO: Catrina Blair, Small Business Advocate

FROM: Madeline Rodriguez, Small Business Officer, HSR 17-20

SUBJECT: Business Advisory Council Monthly Report

Reporting Period : September 1 to 30, 2020

CURRENT SMALL BUSINESS ACTIVITY

Certification Type	# of Firms on Contract	Total Contract Value Expended	Expended Value	Small Business Percentage
SBE	7	N/A	$723,965.70	4.3%
DBE	7	N/A	$2,325,170.04	13.7%
MBE	0	N/A	0	0%
DVBE	1	N/A	$47,809.41	0.3%
TOTAL Engagements	**15**	**$16,928,751.97**	**$3,096,945.16**	**18.3%**

ACHIEVEMENTS in September 2020

General Project Activities involving Small Businesses:

- ETO held weekly SB support calls (9/4, 9/11, 9/18, 9/25)
- Meeting with Enviroissues held on 9/3/2020 to discuss ongoing support with the behavioral research.
- Initializing work with Sagent to conduct focus groups related California insights for Stations Design
- Potential Opportunity for technical writer identified, communicated to Acumen on 9/4/2020
- ETO held monthly SB Outreach Meeting with existing small businesses on 9/24/2020

AVAILABLE PROCUREMENTS (to September 30, 2020):

Procurement	Status	Comments
EARLY TRAIN OPERATOR		

UPCOMING PROCUREMENTS: (I propose to just keep the table below blank because we don't foresee in the near future this work package starting)

Procurement	Closing Date	Status
Geologist	June 2020	**Open / Pending CHSRA Approval**
Stations, Terminals & Intermodal Specialist	May/June 2020	**Pending CHSRA Approval**
Sr. Tunnel Specialist	June 2020	**Pending CHSRA Approval**
Track Structures and Track Specialist	May/June 2020	**Pending CHSRA Approval**
Civil Engineer	May/June 2020	**Pending CHSRA Approval**
Rail Service & Integration Planning Specialist	May 2020	**Pending with Small Business**

3- MONTH PROJECT LOOK AHEAD

- Next Outreach call scheduled for October 22, 2020.
- ETO to continue release of potential opportunities to small businesses as identified and conduct interviews
- Document efforts related to ongoing actions to identify scopes of work for small businesses
- Prompt Payment Form Finalization
- ETO SB Team to continue to attend calls and events as requested by CHSRA SB team (external / SB Community)

- Approval of the RTA form for Enviroissues
- Mobilization Plan and lookahead for 2021 Small Business Utilization

CRITICAL ISSUES/CHALLENGES

- Stop order issued on work package 1.1H (Pre-Operations Training and Mobilization Plan), 1.3 (Preferred Revenue Collection Systems) and 1.10 (Fare Integration). As the Authority has yet to gain legislative approval of their business plan 2020 and the subsequent budget actions, they consider these work packages as premature at this point and have asked us to postpone this work.

UPCOMING OUTREACH EVENTS

Event	Date	Address	Time
Small Business Awareness and Recruitment Outreach Event 2020	Postponed	TBA	TBA

August 11, 2020

Board of Directors
California High-Speed Rail Authority
770 "L" Street, Suite 620
Sacramento, CA 95814
Attention: Thomas Richards

RE: Request for Additional Support for Small Businesses Working on California High Speed Rail Program

Dear Mr. Richards and Members of the California High-Speed Rail Authority Board:

We write to you as representatives of the small businesses (SB) working on the California High Speed Rail (CHSR) Project, and hundreds of others who wish to participate. We bring to your attention and highlight several areas where SBs are in need of assistance and request your help in resolving these issues.

As volunteer members of the Professional Services Committee (PSC) of the HSR Business Advisory Council (BAC), most of us are small business owners ourselves, and we represent thousands of constituent firms that form a highly diverse group of small business enterprises (SBEs), disadvantaged business enterprises (DBEs), and disabled veteran business enterprises (DVBEs), many of which are working hard to construct the nation's first high-speed rail system in California. Most of these firms are quite small and are heavily impacted by certain difficulties on the CHSR Program; some have unfortunately gone out of business because of these issues. Also, it is estimated that 40% of small businesses across California will permanently close as a result of COVID-19 disruptions.

The BAC was formed in 2012 help address some of these issues, with a mission to:

> *"cultivate a partnership between the Authority and its Small Business and contracting community. The Council will serve as a forum to provide essential input and advisement to the Authority in implementing its policies and practices that affect and/or impact Small Business utilization and participation in all of the Authority's contracting programs."*

If the BAC functioned as intended, it would improve opportunities for SBE, DBE, and DVBE businesses on the Program, create a more level playing field for these firms with the larger Prime Contractors on the Program, and remove, where possible, any barriers to entry for these firms. This benefits the Authority by increasing the ability to meet the SBE, DBE, and DVBE goals for the Program and providing input to success stories to share to increase public support for the Program. However, since the BAC's inception, the "partnership" between the Authority and the BAC members has not functioned as originally intended, largely due to lack of action by the Authority on the dozens of requests and suggestions by BAC Professional Services and Construction Committee members.

In reality, since the BAC was formed eight years ago, we have achieved much less than desired, regardless of the thousands upon thousands of volunteer hours expended by the members of the BAC to investigate the issues, identify solutions used by other agencies, and provide "best practice" recommendations to Authority staff at all levels.

Unable to achieve any substantive changes or significant results in the first several years, the current and prior PSC officers made multiple attempts to communicate with Brian Kelly (Authority CEO), his

predecessor, and senior Authority staff, both in writing and in a two face-to-face meetings (in August of 2018 and August of 2019). Through these communications, the PSC members expressed concerns regarding the limited progress of the BAC and requested help to address problems facing small businesses on the Program (see Appendix 1). While one change related to separating goals for professional services firms on Design-Build (DB) contracts was incorporated in one RFP (item 3 in Appendix 1), unfortunately, no other substantive changes were achieved. We bring the concerns below to your attention, which are discussed in more detail in Appendix 1:

1. Inability to achieve SBE/DBE/DVBE utilization goals for all contracts
2. Significant delays in payments to small businesses on the Program (some of 1 year or more)
3. Lack of equal opportunities for professional services firms on DB contracts
4. Lack of sufficient small business support services as required in Governor Brown's document
5. Disempowerment of the BAC and reduction in membership

Considering the latest challenges with the COVID-19 pandemic and the wide-spread public outcry for equity, the opportunity is ripe to support, provide outreach, and advocate for small businesses, so that SBE, DBE, and DVBE firms can easily participate in this prestigious and long-standing rail transportation program.

We believe in the future of high-speed rail in California. Many of us have been involved in the Program in one way or another since its conceptual stages. It has been the dream of many of our constituent firms to work on the Program. We have supported the Program from the passage of bond to date, to create opportunities for California SBE, DBE, and DVBE firms to participate in the Program, and to grow our local knowledge and economy. We want nothing more than to enable more of our members to support the Program, and in return to ensure fair and prompt payment for their work. Our most sincere hope is that we can partner to accomplish these goals and achieve this vision of improving outcomes for small businesses.

Accordingly, we request clear and timely resolution of the stated concerns and that an interactive formal agenda item be added at a CHSR Board of Directors Meeting in the near future to discuss these issues. Thank you in advance for your much-needed support in this endeavor. Please don't hesitate to contact us if you have any questions or need clarifications on any of the items mentioned herein.

Sincerely,

Shari Tavafrashti

Shari Tavafrashti, P.E.
HSR BAC PSC Chair
Women's Transportation Seminar
shariatstrada@gmail.com

Laura Uden

Laura Uden, Ph.D., CMQ/OE, PMP, CSEP
HSR BAC PSC Vice Chair
US Veterans Business Administration
laura@nsieng.com

Melanie Okoro

Melanie Okoro, Ph.D.
HSR BAC PSC Secretary
Sacramento Black Chamber of Commerce
melanie@eco-alpha.com

cc: Brian P. Kelly, California High-Speed Rail Authority CEO
Catrina Blair, BAC Chair

1. **Issue: Inability to achieve SBE/DBE/DVBE utilization goals for all individual contracts although firms are available to perform the work. Lack of oversight and enforcement of utilization goals causing some contracts to fall significantly short of the intended utilization goals.**

   Background: Upon its completion, the Fresno-Bakersfield Regional Consultant contract achieved 12/8/0% utilization rather than the 30/10/3% contract goals, utilizing no DVBE firms at all. The BAC was never informed of the gaps or asked to help identify firms to close the gaps. Many other contracts are not meeting the goals either. Per the Finance and Audit Committee's report through May 31, 2020, all active contracts have expended nearly 60% of their budgets but have only achieved 20% overall SBE utilization rather than the 30% goal. As of May 31, 2020, the CP2-3 DB contract was 54% complete, but only achieved 6.9/2.8/1.5% utilization, and the CP4 DB contract was 50% complete, but only achieved 4.2/4.0/0.9% utilization, and the Early Train Operator contract was 54% complete and only achieved 3.6/12.2/0.3% utilization. Given these numbers, these contracts are now unlikely to achieve the 30/10/3% goals. We are not doing right by the State's Equity, our diverse available firms, or our disabled veterans.

   Recommendation: Conduct oversight of utilization numbers in real-time, not after the contracts are completed. Notify the BAC members when projects are not meeting the goals so they can assist with identifying firms that could help increase utilization on contracts that are below the goals while those projects are still able to recover. Improve contract language to clarify consequences of non-compliance with the required SBE, DBE and DVBE (30/10/3%) goals to encourage the Primes to involve and successfully mentor these firms. Collaborate with BAC members and the Office of Legal Services (OLS) to propose or revise existing and future contract language that outlines the consequences of Prime non-compliance with the established SBE, DBE, and DVBE goals.

2. **Issue: Significant delays in payments to small businesses on the Program, and lack of governance of compliance with the Prompt Payment Act.**

   Background: Much of the data on payment aging for firms at tier 2 and below is not available to BAC members. But according to the Authority's own reports, some small subcontractors aren't reimbursed for their work for 2 to 3 years or more. The reasons for this aren't shared with the BAC membership, so we can't properly advocate for our constituents. In addition, the Authority doesn't monitor payments to firms at tier 2 and below, other than asking the Primes when they're paying their subcontractors. Most agencies ask the subcontractors to independently report the amounts of payments they receive and whether the dates comply with the Prompt Payment Act.

   Recommendation: Establish and implement a "quick" payment protocol that includes contract language to ensure Contractors and Consultants pay SBE, DBE and DVBE firms for services provided within 30 days from when these firms submit an invoice rather than a "pay when paid plus 10 days" approach. Delays in reimbursements for services and costs incurred has been a major risk to the small business community, effectively causing these firms to withdraw their participation on various contracts or go bankrupt trying to stay afloat. For payments to Primes, we suggest limiting the request for corrections to any invoice to 15 days (as required by law) so that delays to reimbursement for services and direct costs are limited to a maximum of 45 days. Some Primes working on the Program have already taken on this responsibility to pay their Subcontractors in 30 days.

3. **Issue: Lack of equal opportunities for Professional Services firms on DB contracts.**

   Background: Nearly all small business contracts on design-build projects are issued to construction firms, providing little to no work for professional services firms on those projects.

   Recommendation: Separate the goals for professional and construction services within each DB contract, keeping in mind that some firms may continue their involvement through operations and maintenance phase of the program. Monitor and report the engagement of professional and

construction service firms separately in each contract. The Authority has agreed to implement this policy and associated contract language into the Track and Systems RFP, which is a good start.

4. **Issue: Lack of sufficient small business support services to help small businesses participate and compete on the Program.**

   Background: small businesses can't compete on the Program, as there are few if any small business set aside prime contracts, and the small businesses can't cover the insurance and bonding requirements on larger contracts. According to the Governor Brown 2012 document "Revised Small and Disadvantaged Business Enterprise Program", the Primes and the Authority are supposed to provide this kind of support for small businesses. In addition, the latest Small Business Development Services contract (while quite a small contract and not very comprehensive in its scope) was canceled by the Authority, in the fall of 2015. In the spring of 2017, the Authority submitted closeout documentation to the FRA for the USDOT complaint that led to the initial formation of the BAC.

   Recommendation: Ensure compliance by Authority and Primes with the 2012 document. Establish contract language that will eliminate roadblocks for engagement of newly formed SBE, DBE and DVBE firms, and create support services to assist these smaller firms to obtain insurance and bonding required to perform their work through Authority-sponsored or funded initiatives or make allowances to remove the stringent requirements from these small subcontractors. Promote the reissuance of a Supportive Services contract to provide support to small businesses to increase their capacity to bid, get insurance, and respond to more Program opportunities as Primes or subs

5. **Issue: Disempowerment of the BAC membership and significant reduction in its numbers.**

   Background: The Authority's Small Business Program document was revised in the past few years without input from the BAC membership. Recent requests to "justify" our participation on the BAC including a requirement to submit resumes and letters of recommendation from the organizations we represent have only exacerbated the situation and caused many BAC members to question their continued participation. The frequency of meetings was reduced by 33%, and the Authority has not achieved much progress in areas impacting small businesses on the Program.

   There is great value in the experience, expertise, and the small business knowledge of the PSC membership; unfortunately, it has not been utilized to its full potential. Although we recognize the complexity of the issues we've addressed above, at times our request for support and input have not been matched by the BAC, which has undoubtedly caused a lack of interest by BAC members and difficulty with recruitment of other SB organizations.

   Our membership feels disrespected and unvalued, and our requests to resolve the above issues have gone unanswered even though we spent personal time and money to travel to meet with the CEO to express our concerns. Regardless of the underlying causes, all these elements have led to a 45% decrease in BAC membership (from 40 members in 2018 to 22 members in 2020), leading some to wonder whether the Authority is intent on dismantling the BAC committees.

   Recommendation: Initiate discussions with BAC members on what's working and what isn't, to identify approaches that will facilitate continued enthusiasm and support from the volunteer membership, including building the partnership that has been lacking so far. Establish an offboarding process for departing members and organizations that identifies issues causing them to leave the BAC. Working with the BAC members, develop a process for filling openings in the BAC left by departing organizations that includes identifying underrepresented minorities and regions to ensure adequate coverage by all groups. Request recruitment of additional members from current and new Community Base organizations or Professional organizations to help the BAC regain momentum.

CALIFORNIA
High-Speed Rail Authority

BOARD MEMBERS

Thomas Richards
CHAIR

Nancy Miller
VICE CHAIR

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James C. Ghielmetti

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EX OFFICIO
BOARD MEMBERS

Honorable
Dr. Joaquin Arambula

Honorable Jim Beall

Brian P. Kelly
CHIEF EXECUTIVE OFFICER

GAVIN NEWSOM
GOVERNOR

September 29, 2020

Shari Tavafrashti, PE
HSR BAC, Chair
Women's Transportation Seminar
1714 Franklin Street 100-146
Oakland, CA 94564

Laura Uden, Ph.D., CMQ/OE, PMP, CSEP
HSR BAC, Vice Chair
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Melanie Okora, Ph.D.
HSR BAC, Secretary
Sacramento Black Chamber of Commerce
1812 J Street, Suite 2
Sacramento, CA 95811

Dear Professional Services Committee Members:

Thank you for your letter dated August 11, 2020, regarding your concerns facing small businesses on the High-Speed Rail Authority (Authority) project.

As the Authority builds the largest infrastructure project in the nation, we recognize the need to collaborate with business and community partners to support the Authority in meeting its small business objectives. As mentioned in your letter, the Board approved the formation of the Business Advisory Council (BAC) on April 19, 2012, to provide input and assist the Authority in identifying barriers for small businesses. Authority CEO Brian Kelly considers the BAC to be an essential component in fostering collaboration between the Authority and the small business community and is eager to implement new programs that will increase transparency and improve governance.

In your letter, you expressed concerns with the function of the BAC. As described below, many of your issues/recommendations require process or program changes that the Authority has already implemented or are currently working to execute. It must be noted that as a State department, the Authority has a fiduciary responsibility to the people of California and must adhere to the laws and regulations that govern the state's processes.

Below are your concerns addressed in your letter and the Authority's responses to those concerns:

1. Inability to achieve SBE/DBE/DVBE utilization goals for all individual contracts although firms are available to perform the work. Lack of oversight and enforcement of utilization goals causing some contracts to fall significantly short of the intended utilization.

HSR Response: The Authority's Contract Compliance Unit provides oversight and is responsible for monitoring and tracking utilization. Several factors such as scope of work, changes/delays to the contract, and availability of viable businesses who have the capacity to perform are considered when meeting utilization goals. Currently, prime contractors are obligated to meet regularly with the Authority's compliance team to discuss utilization, as well as preparing mitigation efforts to increase participation. Additionally, BAC members are provided quarterly reports arranged by prime contractors that identify contracting opportunities so members can assist in the outreach effort to locate viable small businesses among their constituency.

2. Significant delays in payment to small businesses on the Program, and lack of governance of compliance with the Prompt Payment Act.

HSR Response: The Authority's Chief of Accounting advised that the Authority is operating in compliance with the Prompt Payment Act and has taken measures to ensure that Authority processes are in accordance with the State Administrative Manual. However, the Authority is aware of the difficulties small businesses encounter when payment delays occur with prime contractors. Therefore, the Authority's Small Business and Legislative teams have begun working together to draft a legislative proposal to strengthen small business payment language within the contract terms and conditions. We look forward to discussing this content at an upcoming BAC meeting.

3. Lack of equal opportunities for Professional Services firms on Design Build contracts.

HSR Response: BAC members met with CEO Kelly on August 9, 2019 where goal separation of Professional Services for Design Build contracts was discussed. A follow-up letter from the Authority provided a formal response to the BAC members, committing that Professional Services goal separation would be considered on the Track & Systems procurement. However, it was also requested that BAC members help garner interest in the procurement by inviting members of their respective associations to participate in two outreach events. Due to low participation, availability, and interest from professional service small businesses, the Authority determined that it would be unfavorable to the success of the Track and Systems procurement to require goal separation of Professional Services.

4. Lack of sufficient small business support services to help small businesses participate and compete on the Program.

HSR Response: The Authority's SB Program details the responsibility of prime contractors to assist businesses with overcoming barriers in order to obtain bonding, insurance, and technical assistance. Specifically, Section V, Subpart E of the SB Program identifies the measures prime contractors must take to ensure that small business subcontractors are supported. In addition, the Authority has taken the following steps as additional value to support small businesses:

- The Authority has partnered with the California Capital Procurement and Technical Assistance Center. Under the Memorandum of Understanding (MOU) with the Authority, SB firms are provided one-on-one technical assistance and access to credit and capital through loan guarantee programs.
- The Authority held a Supportive Services Symposium on October 23, 2019, which was specifically designed to assist small businesses with meeting organizations that provide access to bonding, insurance, and technical assistance. BAC members were also invited to host an exhibit table and invite qualified businesses within their respective associations.
- The Authority held a "matchmaking" event on March 6, 2020 which focused on recruiting small businesses to participate in the Track and Systems procurement with prime contractors. The BAC members were also invited and were offered an exhibit table, as well as priority appointments for "matchmaking".

5. BAC Issue: Disempowerment of the BAC membership and significant reduction in its numbers.

HSR Response: At the meeting on August 9, 2019 with the Authority and BAC members, CEO Kelly committed to investigate travel reimbursement issues that concerned BAC members. Based on that investigation, it was discovered that the Authority had no record of BAC membership applications on file. BAC members were also unable to provide copies originally submitted at the creation of the BAC. After meeting with the Authority's Human Resources, Accounting, and Legal, as well as the Department of General Services (DGS) (oversight Department for the State's small business program), it was determined that an application for BAC membership was required to be on file to justify travel reimbursement to members for day trips to attend council meetings. A letter, dated October 22, 2019, was sent to BAC members notifying members of this requirement.

The Authority is committed to a stronger partnership with the BAC and moving forward, will implement the following processes that we believe will foster a more productive BAC:

- One additional meeting at the beginning of 2021 calendar year.

  - This meeting will be facilitated by an Authority staff member with the sole purpose of assisting the BAC in identifying the top five objectives for 2021. This meeting will be structured in such a way as to focus on issues that can be addressed either by a policy change, process change, or legislative change.
- Option for committee members to meet in between full council meetings.
  - Committee members can meet independent of the Authority to accomplish the objectives set forth by the committees.
- One meeting at the end of the year with the executive team to discuss relevant small business concerns regarding the project.
  - This meeting will give the committee chairs an opportunity to meet with some of the Authority's executive team.
- The Authority will revise the BAC bylaws to expand membership to include small businesses, business development centers and other non-profit organizations with an interest in small business. This revision will mirror how DGS conducts their Business Advisory Council, which has been extremely successful for over 10 years and will create an opportunity for a more professionally diverse BAC.

The Authority has a dynamic team that is truly focused on the interests of businesses and the people of California and is steadfast in their desire to move forward and make the changes within our power to increase participation on the project. Thank you again for your recommendations and ongoing collaboration with the Authority.

If you have any questions, please feel free to contact Catrina Blair at (916) 669-6560, or e-mail at Catrina.blair@hsr.ca.gov

Sincerely,

JEANNIE JONES
Chief Administrative Officer, CHSRA

cc: Brian P. Kelly, Chief Executive Officer, CHSRA
Catrina Blair, Small Business and Title VI Program Manager