



June 8, 2018

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Calvin Gibson  
Office of Civil Rights  
Federal Railroad Administration  
1200 New Jersey Avenue, SE  
Washington, D.C. 20509

Subject: Uniform Report of Disadvantaged Business Enterprise Awards or Commitments and Payments Submission due June 1, 2018

Dear Mr. Gibson:

In compliance with the best practices of Title 49 of the Code of Federal Regulations Part 26, the California High-Speed Rail Authority (Authority) is pleased to submit its Uniform Report of Disadvantaged Business Enterprise Awards or Commitments and Payments for the reporting period of October 1, 2017 through March 31, 2018 to the Federal Railroad Administration (FRA).

Awards/Commitments Made During This Reporting Period

During the reporting period the Authority awarded one federally-assisted contract that is applicable to the Authority's Small and Disadvantaged Business Enterprise Program (SB/DBE Program), the \$30 million Early Train Operator contract awarded to DB Engineering & Consulting USA, Inc. Consistent with the Authority's SB/DBE Program, DB Engineering has committed to meet a 30 percent overall small business utilization goal which is inclusive of Small Businesses (SBs), Microbusinesses (MBs), Disadvantaged Business Enterprises (DBEs) and Disabled Veteran Business Enterprises (DVBEs). They have also committed to meeting the Authority's 10 percent DBE utilization goal and 3 percent DVBE utilization goal. To date, \$9 million has been committed to SB/DBE/DVBE/MB firms on this contract.

Payments on Ongoing Contracts

The Authority currently has over thirty active design-build or professional services contracts that have received federal funding and been reported on in past versions of this report. However, no federal funding was expended on these contracts during the October 1, 2017 through March 31, 2018 reporting period. The grant agreement with FRA authorized by the 2009 American Recovery and Reinvestment Act under which that funding was expended expired as of September 30, 2017. The

Authority continues to have access to federal funding under the grant agreement with FRA authorized by the Omnibus Appropriations Act of 2010, but did not begin drawing on this funding during the reporting period. As a result, no contracts are listed under "Payments on Ongoing Contracts" in section C of this report. Once the Authority begins to draw on this funding once again, utilization reporting on these ongoing contracts will resume.

Total Payments on Contracts Completed This Reporting Period

No applicable contracts were closed during the reporting period.

Please feel free to contact me at (559) 445-6764 or by email at [jose.camarena@hsr.ca.gov](mailto:jose.camarena@hsr.ca.gov) should there be any questions regarding this report submission.

Sincerely,



José L. Camarena  
Contract Compliance Administrator  
(559) 445-6764  
[jose.camarena@hsr.ca.gov](mailto:jose.camarena@hsr.ca.gov)

Attachment(s): Uniform Report of DBE Commitments/Awards and Payments Issued June 2018

CC: Alice Rodriguez, California High-Speed Rail Authority Deputy Director of External Affairs


General Reporting

UNIFORM REPORT OF DBE COMMITMENTS/AWARDS AND PAYMENTS										
**Please refer to the Instructions sheet for directions on filling out this form**										
1	Submitted to (check only one):	<input checked="" type="checkbox"/> FRA	<input type="checkbox"/> FHWA	<input type="checkbox"/> FAA	<input type="checkbox"/> FTA--Recipient ID Number					
2	AIP Numbers (FAA Recipients); Grant Number (FTA Recipients)									
3	Federal fiscal year in which reporting falls:	FY 2018			4. Date This Report Submitted: June 1, 2018					
5	Reporting Period	<input checked="" type="checkbox"/> Report due June 1 (for period Oct. 1 - Mar. 31)			<input type="checkbox"/> Report due Dec. 1 (for period April 1 - Sept. 30)					
6	Name and address of Recipient:									
7	Annual DBE Goals(s):	Race Conscious Projection - N/A			Race Neutral Projection - 10%			OVERALL Goal - 30% <sup>1</sup>		

Awards/Commitments this Reporting Period										
A	AWARDS/COMMITMENTS MADE DURING THIS REPORTING PERIOD (total contracts and subcontracts awarded or committed during this reporting period)	A	B	C	D	E	F	G	H	I
		Total Dollars	Total Number	Total to DBE/Other (dollars)	Total to DBE/Other (number)	Total to DBE/Other - Race Conscious (dollars)	Total to DBE/Other - Race Conscious (number)	Total to DBE/Other - Race Neutral (dollars)	Total to DBE/Other - Race Neutral (number)	Percentage of total dollars to DBE/Other
8	Prime contracts awarded this period	\$ 30,000,000.00	1	\$ -	0			\$ -	0	0.00%
9	Subcontracts awarded/committed this period	\$ 9,000,000.00		\$ 9,000,000.00	8			\$ 9,000,000.00	8	30.00%
10	TOTAL			\$ 9,000,000.00	8			\$ 9,000,000.00	8	30.00%

B	Breakdown by Gender & Ethnicity	Contracts Awarded to DBEs this Period								
		A			B			C		
		Women	Men	Total	Women	Men	Total	Women	Men	Total
11	Black American	\$ -	\$ 1,200,000.00	\$ 1,200,000.00	0	1	1	0.00%	4.00%	4.00%
12	Hispanic American	\$ 1,500,000.00	\$ 1,500,000.00	\$ 3,000,000.00	1	1	2	5.00%	5.00%	10.00%
13	Native American	\$ -	\$ -	\$ -	0	0	0	0.00%	0.00%	0.00%
14	Asian-Pacific American	\$ -	\$ -	\$ -	0	0	0	0.00%	0.00%	0.00%
15	Subcontinent Asian Americans	\$ -	\$ -	\$ -	0	0	0	0.00%	0.00%	0.00%
16	Non-Minority	\$ 1,800,000.00		\$ 1,800,000.00	2		2	6.00%		6.00%
17	Other <sup>2</sup>			\$ 3,000,000.00			3			10.00%
18	TOTAL			\$ 9,000,000.00			8			30.00%

Payments Made this Period							
C	PAYMENTS ON ONGOING CONTRACTS (report activity of ongoing contracts) <sup>3</sup>	A	B	C	D	E	F
		Total Number of Contracts	Total Dollars Paid	Total Number of Contracts with DBE/Other	Total Payments to DBE/Other	Total Number of DBE/Other Primes Paid	Percent to DBE/Other
19	Prime and Sub contracts currently in progress	0	\$ -	0	\$ -	0	0.00%

D	TOTAL PAYMENTS ON CONTRACTS COMPLETED THIS REPORTING PERIOD <sup>2</sup>	A	B	C	D	E
		Number of Contracts Completed	Total Dollar Value of Contracts Completed	DBE/Other Participation Needed to Meet Goal (Dollars)	Total DBE/Other Participation (Dollars)	Percent to DBEs/Other
20	Race Conscious					
21	Race Neutral	0	\$ -	\$ -	\$ -	-
22	Totals	0	\$ -	\$ -	\$ -	-
23	Submitted By: José L. Camarena, Contract Compliance Administrator	24. Signature: 		25. Phone Number: 559-445-6764		

**NOTES**

<sup>1</sup> Line Item 7: "Race Neutral Projection" inclusive of DBEs and "Overall Goal" is inclusive of DBEs, DVBEs and SBEs

<sup>2</sup> Line item 17 "Other" captures non-DBE Contract Award Values, specifically Certified (SBE) and Certified (DVBE) Primes and subcontractors.

<sup>3</sup> No contracts are reported under Section C of this report because the Grant Agreement under the American Recovery and Reinvestment Act (ARRA) expired September 30, 2017 and the Authority has not yet begun drawing on the grant agreement under the 2009 Omnibus Appropriations Act. As a result no federal funding was expended to applicable contracts during this reporting period

The values in this report reflect the best available data as of May 25, 2018. Utilization values may be subject to change should past invoices or payments be revised or if the SB/DBE/DVBE/MB crediting status of subcontractors changes.