

## Consolidated Annual Report (CAR)

**DATE:** July 30, 2018

**TO:** DGS

**FROM:** Catrina Blair, Small Business Advocate CHSRA

**Cc:** Minerva Anguiano, CalSTA  
Brian Kelly, CEO, CHSRA  
Pam Mizukami, Chief Deputy Director, CHSRA  
Jeannie Jones, Chief Administrative Officer, CHSRA  
Alice Rodriguez, Deputy Director of External Affairs, CHSRA  
Russell Fong, Chief Financial Officer, CHSRA

**SUBJECT:** 2017-18 Consolidated Annual Report (CAR)

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The California High-Speed Rail Authority is pleased to submit the Consolidated Annual Report for fiscal year 2017-2018.

The following documentation is included within this submission:

1. Cover Letter
2. STD 810 - Contracting Activity Report
3. STD 810\_A - Infrastructure Bond Activity Report
4. STD 810\_C - Consulting Services Report
5. STD 810\_E - Ethnicity, Race, Gender and Sexual Orientation (ERGSO)
6. STD 810\_S - SB/DVBE Option and DVBE Incentive Report

Questions or concerns related to this report can be directed to Catrina Blair, Small Business Program Manager at [Catrina.blair@hsr.ca.gov](mailto:Catrina.blair@hsr.ca.gov) or office (916) 669-6560.

## Consolidated Annual Report (CAR)

July 30, 2018

Department of General Services  
Procurement Division, Office of Small Business & DVBE Services  
707 3<sup>rd</sup> Street – Room 1-400  
West Sacramento, CA 95605

The California High-Speed Rail Authority (Authority) has enclosed its fiscal year 2017-2018 Consolidated Annual Report (CAR). This report covers the contracts funded by the State of California, awarded by the Authority during the reporting period.

The following information relates to our overall Small Business participation goal, including Small Businesses (SB), Microbusiness (MB) and Disabled Veteran Business Enterprise (DVBE) for the last three fiscal years (rounded to the nearest dollar):

Fiscal Year	Total Contract \$	SB/MB \$	SB/MB %	DVBE \$	DVBE %
2017-2018	\$ 34,747,212	\$ 12,472,795	35.90%	\$ 1,274,746	3.67%
2016-17	\$ 52,771,644	\$ 19,978,757	37.86%	\$ 1,612,978	3.06%
2015-16	\$ 55,346,986	\$ 16,740,390	30.25%	\$ 5,399,665	9.76%
Trend	Decreasing	Decreasing	Decreasing	Decreasing	Increasing

Achieving the goals for SB/DVBE participation continues to be a major goal for the Authority. The Authority is pleased to report that our State funded contracts have significantly exceeded the State's Small Business participation goal of 25% and have exceeded the required 3% Disabled Veteran Business Enterprise participation goal.

Most of the contracts procured by the Authority are federally funded and therefore subject to mandatory federal policies and procedures, which do not lend themselves to the Department of General Services CAR reporting guidelines. Per instructions from the Department of General Services, such contracts are not included in this report.

Questions or concerns related to this report can be directed to Catrina Blair, Small Business Program Manager at [Catrina.Blair@hsr.ca.gov](mailto:Catrina.Blair@hsr.ca.gov) or office (916) 669-6560.


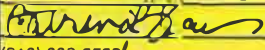
Sincerely,



Catrina Blair  
Small Business Advocate

Cc: Minerva Anguiano, Administration and Finance Manager, CalSTA  
Brian Kelly, CEO, CHSRA  
Pam Mizukami, Chief Deputy Director, CHSRA  
Jeannie Jones, Chief Administrative Officer  
Alice Rodriguez, Deputy Director of External Affairs, CHSRA  
Russell Fong, Chief Financial Officer, CHSRA

Attachments: STD 810 - Contracting Activity Report  
STD 810\_A - Infrastructure Bond Activity Report  
STD 810\_C - Consulting Services Report  
STD 810\_E - Ethnicity, Race, Gender and Sexual Orientation (ERGSO)  
STD 810\_S - SB/DVBE Option and DVBE Incentive Report

Agency: Transportation Agency						Year of Report: 2017 - 2018								
Department: California High-Speed Rail Authority														
Part 1						Part 2								
Certified Disabled Veteran Business Enterprise (DVBE) Participation in State Contracting Activity						Certified Small Business (SB) / Microbusiness (MB) Participation in State Contracting Activity								
TOTAL CONTRACT AWARDS						TOTAL CONTRACT AWARDS								
CONTRACTING ACTIVITY CATEGORY	Total Contract Dollars*	Total \$ to DVBE Prime Contractors	Total \$ to DVBE Sub-Contractors	Total DVBE Prime & Subs \$	Percentage (Column D divided by Column A)	F	Total Contract Dollars*	Total \$ to SB/MB Prime Contractors	Total \$ to SB/MB Sub-Contractors	Total SB/MB Contract Dollars	Percentage (Column J divided by Column G)			
	A	B	C	D (B+C)	E (D/A)		G	H	I	J (H+I)	K			
1. Goods (Non-IT)	\$ 210,547	\$ 2,069	\$ -	\$2,069	0.98%		\$210,547	SB \$ 206,555	SB \$ -	SB \$206,555	98.10%			
							MB \$ 170,519	MB \$ -	MB \$170,519	80.99%				
2. Services** (Non-IT)	\$ 33,500,332	\$ -	\$ 1,152,500	\$1,152,500	3.44%		\$33,500,332	SB \$ 70,000	SB \$ 10,807,500	SB \$10,877,500	32.47%			
							MB \$ -	MB \$ -	MB \$0	0.00%				
3. Construction	\$ -	\$ -	\$ -	\$0	0.00%		\$0	SB \$ -	SB \$ -	SB \$0	0.00%			
							MB \$ -	MB \$ -	MB \$0	0.00%				
4. IT (Goods & Services)	\$ 1,022,958	\$ 120,177	\$ -	\$120,177	11.75%		\$1,022,958	SB \$ 760,164	SB \$ -	SB \$760,164	74.31%			
							MB \$ 458,057	MB \$ -	MB \$458,057	44.78%				
5. Cal-Card Data	\$ 13,376	\$ -	\$ -	\$ -	0.00%		\$13,376	SB \$ -	SB \$ -	SB \$0	0.00%			
							MB \$ -	MB \$ -	MB \$0	0.00%				
TOTALS	\$34,747,212	\$122,246	\$1,152,500	\$1,274,746	3.67%		\$34,747,212	SB \$1,036,719	SB \$10,807,500	SB \$11,844,219	34.09%			
							MB \$628,576	MB \$0	MB \$628,576	1.81%				
TOTAL NUMBER OF DVBE CONTRACTS:				30	DVBE	TOTAL NUMBER OF SB/MB CONTRACTS:				169	SB	86	MB	35.90%
Did you report using Award amounts per PCC 10111? <input checked="" type="checkbox"/> YES <input type="checkbox"/> NO														
If not, please explain why?														
Comments:														
Preparer's Information:						Approver's Information								
Name (Print): Ivor Newman						Name (Print): Catrina Blair								
Title: Small Business Outreach Coordinator						Title: Small Business Advocate								
Signature: 				Date: 7/31/18		Signature: 				Date: 7/30/18				
Telephone: (916) 330-5631				Fax:		Telephone: (916) 669-6560				Fax:				
Email Address: Ivor.Newman@hsr.ca.gov						Email Address: Catrina.Blair@hsr.ca.gov								
Street Address: 770 L Street, Suite 620,						City: Sacramento, CA				Zip: 95814				
<small>*The amounts shown in Columns A and G should be the same. If not, submit an explanation with this report.  **Includes Architect and Engineering Services (A&amp;E)  DVBE = Disabled Veteran Business Enterprise      SB = Small Business      MB = Microbusiness</small>						Return Annual Department Reports To: Department of General Services Procurement Division/OSDS Attn: Reports Coordinator 707 3rd Street, Room 1-400, IMS Z-1, MS 210 West Sacramento, CA 95605								
INCLUDE Form 810 Supplemental Report, Form 810 A Infrastructure Bond Acts Report, Consulting Services Report and Ethnicity, Race and Gender Reports with submission of the annual report.														



Fiscal Year: 2017 - 2018

Agency: Transportation Agency

Department: California High-Speed Rail Authority

# INFRASTRUCTURE BOND ACTS OF 2006 (AB 761) (GC 14838.1.f)

Report Effective Date: 7/1/08

Report Due Date Annually: 8/1

Respond to the following question:

Any contracts funded by the bonds listed below?

Yes

(Complete the form below)

No

X

(Form 810 A is complete)

## Check all applicable bond(s). Complete Parts 1 & 2.

The Highway Safety, Traffic Reduction, Air Quality, and Port Security Bond Act (Proposition 1B)

The Housing and Emergency Shelter Trust Fund Act (Proposition 1C)

The Kindergarten-University Public Education Facilities Bond Act (Proposition 1D)

The Disaster Preparedness and Flood Prevention Bond Act (Proposition 1E)

The Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Bond Act (Proposition 84)

### Part 1

### Part 2

Certified Disabled Veteran Business Enterprise (DVBE) Participation

Certified Small Business (SB) / Microbusiness (MB) Participation

#### TOTAL CONTRACT DOLLARS AWARDED USING INFRASTRUCTURE BONDS

#### TOTAL CONTRACT DOLLARS AWARDED USING INFRASTRUCTURE BONDS

Totals	Bond Total Contract Dollars	Bond Total \$ to DVBE Prime Contractors	Bond Total \$ to DVBE Sub-Contractors	Bond Total DVBE Prime & Subs \$	Bond Total DVBE % (Column D divided by Column A)	Bond Total Contract Dollars	Bond Total \$ to SB/MB Prime Contractors	Bond Total \$ to SB/MB Sub-Contractors	Bond Total SB/MB Contract Dollars	Bond Total SB/MB % (Column I divided by Column F)
	A	B	C	D (B+C)	E	F	G	H	I (G+H)	J
	\$ -	\$ -	\$ -	\$ -	0.00%	\$ -	SB \$ -	SB \$ -	SB \$ -	0.00%
							MB \$ -	MB \$ -	MB \$ -	0.00%
Totals (SB/MB \$) & (SB/MB %)										\$ - 0.00%

\*The Small Business Improvement Plan must include an I Bond Action Plan when participation specific to I Bonds is less than 25%.

These totals must be included on Form 810 report.

Comments:

#### Preparer's Information

#### Approver's Information

Name: Ivor Newman

Name: Catrina Blair

Title: Small Business Outreach Coordinator

Title: Small Business Advocate

Signature: *Ivor Newman*

Signature: *Catrina Blair*

Telephone: (916) 330-5631

Telephone: (916) 669-6560

Email: Ivor.Newman@hsr.ca.gov

Email: Catrina.Blair@hsr.ca.gov

Date: 7/30/18

Date: 7/30/18

FORM 100  
CONSULTING SERVICES REPORT  
(Rev. March 2016)

Agency	Transportation Agency	Department	California High-Speed Rail Authority	Fiscal Year	2017-2018	STATE OF CALIFORNIA DEPARTMENT OF GENERAL SERVICES PROCUREMENT DIVISION	
Address	770 L Street, Suite 620	City	Sacramento, CA	Zip	95814	Return to:	
Preparer's Information		Approver's Information					
Name	Ivor Newman	Title	Small Business Outreach Coordinator	Name	Catrina Blair	Title	Small Business Advocate
Signature	<i>Ivor Newman</i>	Signature	<i>Catrina Blair</i>				
Telephone	(916) 330-5631	Telephone	(916) 669-6560	Date	7/30/18		
Email	Ivor.Newman@hsr.ca.gov	Email	Catrina.Blair@hsr.ca.gov	Wasi Sacramento CA 95803			

Line#	REPORT CODES & AMOUNTS												CONTRACTORS NAME	CONTRACTOR ID Number (Last 4 digits of FEIN or SSN)	# of BIDS	CONTRACT ACTION DATES				CONTRACT PURPOSE & BENEFICIARY
	E	Entered \$	BID TYPE Code	LOW BID Y/N	A	Amended \$	BID TYPE Code	LOW BID Y/N	C	Completed \$	BID TYPE Code	LOW BID Y/N				SIGNED	WORK STARTED	WORK AMENDED	WORK COMPLETED	
11	\$	38,458,680			12	\$	(5,983,500)			10	\$	14,226,684				0				
1	X	\$	4,000,000.00	7	N/A								Towill, Inc.	2877		9/12/2017	7/1/2017		10/31/2020	Cap Outlay Right of Way
2	X	\$	65,296.00	7	N/A								UIC International Union of Railways	N/A		11/30/2017	1/1/2018		12/31/2022	Program Mgmt & Oversight
3	X	\$	1,000,000.00	2	Y								Realty Property Management	8673		9/5/2017	7/1/2017		1/30/2021	Cap Outlay Right of Way
4	X	\$	1,000,000.00	2	Y								Realty Property Management	8673		9/5/2017	7/1/2017		1/30/2021	Cap Outlay Right of Way
5	X	\$	1,000,000.00	2	Y								Realty Property Management	8673		9/5/2017	7/1/2017		1/30/2021	Cap Outlay Right of Way
6	X	\$	302,500.00	7	N/A								Goodin, MacBride, Squeri & Dav LLP	7459		7/11/2017	3/1/2017		12/31/2018	Cap Outlay Other
7	X	\$	70,883.52	5	N/A								West Publishing Corporation	6973		9/7/2017	7/1/2017		6/30/2020	Cap Outlay 3rd Party
8	X	\$	1,000,000.00	2	Y								Realty Property Management	8673		11/27/2017	9/5/2017		6/1/2021	Cap Outlay Right of Way
9	X	\$	11,000.00	5	N/A								California Reportline, LLC	5979		1/8/2018	1/8/2018		12/31/2018	Admin
10	X	\$	30,000,000.00	1	N/A								DB Engineering & Consulting USA	6708		12/19/2017	12/19/2017		11/30/2023	Program Mgmt & Oversight
11	X	\$	9,000.00	7	Y								Time Printline Solutions Provider	1772		4/30/2018	4/30/2018		4/22/2019	Cap Outlay Other
12					X	\$	(3,000,000.00)	7	N/A				TY Lin International	8707		12/22/2017	2/20/2018	12/22/2017	1/31/2019	Cap Outlay Environmental
13					X	\$	(6,000,000.00)	7	N/A				ARCADIS US Inc.	3224		11/21/2017	11/7/2018	11/21/2017	4/30/2019	A&E
14					X	\$	773,000.00	1	N				Hamner Jewell & Associates	1695		1/25/2018	1/14/2015	1/25/2018	11/30/2018	ROW
15					X	\$	977,000.00	1	N				Hamner Jewell & Associates	1695		6/8/2018	1/14/2015	6/8/2018	11/30/2018	ROW
16					X	\$	(1,500,000.00)	7	N/A				Cooley LLP	85		8/15/2017	7/10/2018	8/15/2017	6/30/2018	Legal
17					X	\$	192,000.00	3	N/A				Kadesh & Associates LLC	8758		12/22/2017	12/24/2018	12/22/2017	12/31/2019	External Affairs
18					X	\$	50,000.00	3	N/A				Riggs & Riggs Inc.	2524		5/22/2018	2/26/2016	5/22/2018	3/28/2019	Cap Outlay Right of Way
19					X	\$	(10,000,000.00)	7	N/A				Kleinfelder	2513		4/19/2018	12/9/2015	4/10/2018	8/31/2019	Program Mgmt & Oversight
20					X	\$	24,500.00	7	N/A				Gregory D. Rinehart & Associates	7374		11/13/2017	7/15/2016	11/13/2017	6/14/2019	Cap Outlay Right of Way
21					X	\$	(500,000.00)	7	N/A				Baker and Miller PLLC	8690		7/17/2017	9/28/2015	7/17/2017	12/31/2018	Admin
22					X	\$	10,000,000.00	7	N/A				HNTB- Environmental SF to Merced	3092		10/17/2017	11/23/2015	10/17/2017	11/18/2018	Cap Outlay Environmental
23					X	\$	3,000,000.00	7	N/A				Parsons Transportation Group	2270		11/14/2017	12/4/2008	11/14/2017	6/30/2019	A&E
24								X	\$	65,696.00	7	N/A	UIC International Union of Railways	N/A		1/3/2013			12/31/2017	Subscription
25								X	\$	7,330,000.00	1	N	Property Specialist, Inc	3171		4/20/2017	4/20/2017		3/2/2018	ROW
26								X	\$	4,000,000.00	7	N/A	CH2M Hill, Inc.	8189		2/20/2014	2/20/2014		6/30/2018	Environmental
27								X	\$	101,528.00	5	Y	Enterprise Networking Solutions, Inc.	4432		2/9/2016	2/9/2016		3/3/2018	IT Services
28								X	\$	852,500.00	7	N/A	Akin Gump Strauss Hauer & Feld, LLP	8644		9/14/2012	9/14/2012		3/7/2017	Legal
29								X	\$	80,000.00	7	N/A	Cooperative Personnel Services	7209		6/22/2016	6/22/2016		6/30/2018	NA
30								X	\$	11,000.00	5	N/A	California Reportline, LLC	5979		12/29/2015	12/29/2016		12/31/2017	Communications
31								X	\$	1,500,000.00	7	N/A	Artec Foster Wheeler	4772		6/19/2015	6/19/2015		4/30/2018	Environmental



Agency	Transportation Agency										Department	California High-Speed Rail Authority										Return to:		
Address	770 L Street, Suite 620.										City	Sacramento, CA										Zip	95814	Consulting Services Report
32									x	\$	285,000.00	7	Y	I Powers Recruiting, Inc.	693		6/30/2016	6/30/2016		8/31/2017	Admin (HR)			
33									x	\$	960.00	4	N/A	DataSafe, Inc. (PO)	1471		7/6/2016	9/1/2016		9/1/2017	Admin			
34																								
35																								
36																								

Insert POB(s) above this section

Line# Explanation:

2 UIC does not have a federal tax ID as they are a European entity, and we were not able to locate an alternative ID number.

24 UIC does not have a federal tax ID as they are a European entity, and we were not able to locate an alternative ID number.

Insert POB(s) above this section

#### REPORT CODES & AMOUNTS SUMMARY

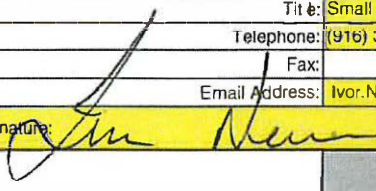
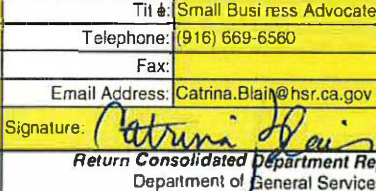
	Total Amount	Total Number	LOW/BID		BID TYPE CODE			TOTAL CMAS & MSA
			Y	N	3	4	5	
Entered \$	38,458,679.52	11	5	0	0	0	2	2
Amended \$	(5,983,500.00)	12	0	2	2	0	0	0
Completed \$	14,226,684.00	10	2	1	0	1	2	3

#### BID Type Code

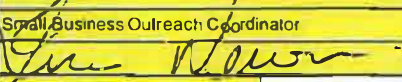
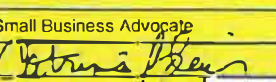
- 1 RFP - Request for Proposal
- 2 IFB - Invitation for Bid
- 3 NCB - Non-Competitive Bid
- 4 CMAS - California Multiple Award Schedules
- 5 MSA - Master Service Agreement
- 6 Emergency
- 7 Other



**Ethnicity, Race, Gender, Sexual Orientation (ERGSO) Report**

Agency: <b>Transportation Agency</b>		Department: <b>California High-Speed Rail Authority</b>				
Fiscal Year: <b>2017 - 2018</b>		<b>All Contracts</b>				
		<b>Goods</b>	<b>Services</b>	<b>Construction</b>	<b>Totals</b>	
		<b>Column A</b>	<b>Column B</b>	<b>Column C</b>	<b>Column D</b>	
<b>Ethnicity Classifications</b>						
P a a l a r t 1	Asian-Indian	\$ -	\$ -	\$ -	\$ -	
	Black	\$ -	\$ -	\$ -	\$ -	
	Hispanic	\$ -	\$ -	\$ -	\$ -	
	Native American	\$ -	\$ -	\$ -	\$ -	
	Pacific-Asian	\$ -	\$ -	\$ -	\$ -	
	Other	\$ -	\$ -	\$ -	\$ -	
<b>TOTALS</b>		\$ -	\$ -	\$ -	\$ -	
<b>Race Classifications</b>						
P a a r t 2	American Indian or Alaska Native	\$ -	\$ -	\$ -	\$ -	
	Asian	\$ -	\$ -	\$ -	\$ -	
	Black or African American	\$ -	\$ -	\$ -	\$ -	
	Native Hawaiian or Other Pacific Islander	\$ -	\$ -	\$ -	\$ -	
	White	\$ -	\$ -	\$ -	\$ -	
	Other	\$ -	\$ -	\$ -	\$ -	
<b>TOTALS</b>		\$ -	\$ -	\$ -	\$ -	
<b>Gender</b>						
P a a r t 3	Female	\$ -	\$ -	\$ -	\$ -	
	Male	\$ -	\$ -	\$ -	\$ -	
	Transgender	\$ -	\$ -	\$ -	\$ -	
	<b>TOTALS</b>	\$ -	\$ -	\$ -	\$ -	
<b>Sexual Orientation</b>						
P a a r t 4	Lesbian	\$ -	\$ -	\$ -	\$ -	
	Gay	\$ -	\$ -	\$ -	\$ -	
	Bisexual	\$ -	\$ -	\$ -	\$ -	
	<b>TOTALS</b>	\$ -	\$ -	\$ -	\$ -	
<b>Comments</b>						
Prepared By:			Approved By:			
P a a r t 5	Name: <b>Ivor Newman</b>			Name: <b>Catrina Blair</b>		
	Title: <b>Small Business Outreach Coordinator</b>			Title: <b>Small Business Advocate</b>		
	Telephone: <b>(916) 330-5631</b>			Telephone: <b>(916) 669-6560</b>		
	Fax:			Fax:		
	Email Address: <b>Ivor.Newman@hsr.ca.gov</b>			Email Address: <b>Catrina.Blair@hsr.ca.gov</b>		
Signature: 			Signature: 			
INCLUDE Form 810 and Consulting Services Reports with submission of this report.			<b>Return Consolidated Department Report To:</b> Department of General Services Procurement Division - OSDS Attn: <b>Reports Coordinator</b> 707 3rd Street, Room 1400, IMS 2-1, MS 210 West Sacramento, CA 95605			
<b>ERGSO Form (Rev. April 2015)</b>						

**Important Note:** The data contained herein was submitted voluntarily; therefore, it may not accurately depict the departments' overall contracting and purchasing activity.

Annual - Fiscal Year		2017 - 2018	
AGENCY: Transportation Agency		DEPARTMENT: California High-Speed Rail Authority	
<b>DVBE, SB, and MB Option</b>			
Part 1  PCC 10111  Mandated	Total Contract Dollars Awarded as a Result of Option:	# OF CONTRACTS AWARDED:	Public Contract Code 10111 Section (e) (7) the number of contracts and dollar amounts awarded annually pursuant to Section 14838.5 (a) and 14838.7 (a) of the Government Code to Disabled Veteran Business Enterprises (DVBEs), Small Businesses, and Microbusinesses (SB/MBs). NOTE: When entering the contract into the State Contract and Procurement Registration System (SCPRS), contracting method Number 8 is used to capture this data.
	Column A	Column B	
	\$ 1,197,662.19	10	
Comments			
<b>DVBE Incentive</b>			
Part 2  M & VC 999.5	Total Contract Dollars Awarded as a Result of DVBE Incentive:	# OF CONTRACTS AWARDED AS A RESULT OF DVBE INCENTIVE:	Participation resulting from Military and Veterans Code Section 999.5 (DVBE Incentive [SB 115]). Calculate the total contract dollars awarded and the number of contracts to all DVBEs as a result of the application of the DVBE Incentive. If your data collection system cannot identify contracts and dollars attributed to the DVBE Incentive, indicate that in the comments box.
	Column A	Column B	
	\$ -	0	
Comments			
<b>Preparer's Information:</b>		<b>Approver's Information:</b>	
Name: Ivor Newman		Name: Catrina Blair	
Title: Small Business Outreach Coordinator		Title: Small Business Advocate	
Signature: 	Date: 7/30/18	Signature: 	Date: 7/30/18
Telephone: (916) 330-5631	Fax:	Telephone: (916) 669-6560	Fax:
Email: Ivor.Newman@hsr.ca.gov		Email: Catrina.Blair@hsr.ca.gov	
Address: 770 L Street, Suite 620,		City & Zip Code: Sacramento, CA 95814	
		Return Consolidated Annual Report To: Department of General Services Procurement Division, Reports Coordinator 707 3rd Street, Room 1-400, IMS Z-1, MS 210 West Sacramento, CA 95605	
		INCLUDE Form 800, Form 810 A, Consulting Services Report and Ethnicity, Race, Gender and Sexual Orientation Reports with submission of the consolidated annual report.	