

## RFQ HSR 19-13 Addendum 4: Summary of Changes (August 30, 2019)

1. On Title Page, add row at bottom of table to indicate Addendum 4:

Revision(s)	Date	Description
0	7/17/19	Initial Release
1	8/02/19	Addendum 1
2	8/15/19	Addendum 2
3	8/23/19	Addendum 3
<u>4</u>	<u>8/30/19</u>	<u>Addendum 4</u>

2. In Part A, Section 1.0, revise the third sentence of the third paragraph as follows:

Offerors ~~included~~including on the Shortlist in response to this RFQ will be invited to submit detailed proposals (Proposals) in response to a Request for Proposals (RFP).

3. In Part A, Section 2.1, revise the definition of “Key Firm” as follows:

**Key Firm** - Each of the firms identified to perform one of roles identified in Part C, Exhibit A, Section 2 of this RFQ. Key Firms must be Offerors or Equity Members or first-tier Subcontractors to an Offeror or Equity Member.

4. In Part A, Section 3.1, delete the first sentence of the last paragraph as follows:

~~Please refer to Part C, Exhibit A, Section 1 for a map of the Project area, identified as Figure 1.~~

5. In Part A, Section 4.1, revise row 7 of Table 1 “Key RFQ Dates” as follows:

**Table 1: Key RFQ Dates**

Date	Event
<u>Tuesday, September 3, 2019</u> <del>Friday, August 30, 2019</del>	Deadline for questions regarding the RFQ due by 5:00 p.m. Pacific Time

6. In Part A, Section 4.1, revise row 9 of Table 1 “Key RFQ Dates” as follows:

**Table 2: Key RFQ Dates**

Date	Event
<u>Tuesday, October 8, 2019</u> <del>Thursday, October 3, 2019</del>	Statement of Qualifications (SOQ) Deadline Delivered to the address in Part A.8.4, below, <b>by 12:00 p.m. Pacific Time</b>

7. In Part A, Section 4.1, insert new row after row 6 of Table 1 “Key RFQ Dates” as follows:

**Table 3: Key RFQ Dates**

Date	Event
<u>Friday, August 30, 2019</u>	<u>Issue Addendum 4</u>

8. In Part A, Section 9.1, revise Item 3 as follows:

- 3. A parent or sister company of the Equity Member ~~or Key Firm~~, if such company serves as a Guarantor.

9. In Part A, Section 9.2, revise Item 9 as follows:

- 9. The SOQ contains a fully completed Form H, Past Projects, for each project identified in response to Section B.1 of Part B, Volume 3. The SOQ contains a fully completed Form H, Past Projects, for each project identified in response to Part B, Volume 1, Section C, Part 1, and identifies past projects meeting all the requirements set forth therein. ~~At least one Form H submitted is for a project with a Project Construction Value over \$500 million.~~

10. In Part A, Section 9.2, revise Item 13 as follows:

- 13. The Offeror or the Offeror Team is capable of obtaining a payment bond in the amount equal to the Delivery Period value of NTP 1 ~~contract value~~, and a performance bond equal to 50 percent of the Delivery Period value of NTP 1 ~~contract value~~, from a surety with an A.M. Best’s Rating Service classification of “A-VI” or better as evidenced by a Surety Letter (Part B, Volume 1, Section B).

11. In Part B, Volume 1, Section A, revise Item 7. Form G as follows:

Form G must be executed by the duly authorized official of the Offeror, and each Equity Member, as applicable ~~and each Key Firm~~.

12. In Part B, Volume 1, Section D, add Item 2 as follows:

**2. Express Commitments from Key Firms**

The Offeror shall submit a letter of commitment from each identified Key Firm. Such letters shall be on the company letterhead of the identified Key Firm, shall include a point of contact for each Key Firm, and shall contain an express commitment regarding the firm's intention to fill each Key Firm role, as identified in Part C, Exhibit A, Section 2, that the Key Firm is anticipated to fill. Such letters shall also include the Key Firm's role in the organization as the Offeror, an Equity Member, or a first tier Subcontractor.

13. In Part B, Volume 2, Section A, revise the second sentence of the first paragraph as follows:

If the entity has been in existence for less than three fiscal years, Offeror shall ~~should~~ expressly state that such entity has been in existence for less than three fiscal years and shall provide financial statements for the number of fiscal years it has been in existence.

14. In Part B, Volume 2, Section A, third paragraph, revise Item 2 as follows:

**2. U.S. Dollars** - Financial statements must be provided in U.S. dollars, if available. If financial statements are not available in U.S. dollars, the Offeror must include summaries of the financial statements for the applicable time-periods converted to U.S. dollars by a certified public accountant or chief financial officer of the entity. The prevailing foreign exchange rate (from a stated and verifiable source) at the date of the financial statement should be used for the conversion.

15. In Part B, Volume 2, Section B, revise the first sentence as follows:

If available, credit ratings and credit rating reports for the past three years must be supplied for each Offeror, Equity Member, and Guarantor that submits financial statements with its SOQ.

16. In Part B, Volume 3, Section B, revise 3.1.7, item a, sub-item ii. as follows:  
ii. Customer Information Systems (primarily departure/arrival boards and platform information)GIS;

17. In Part B, Volume 3, Section B, delete 6.1.2 as follows, and re-number subsequent sub-sections:

~~6.1.2 Overhead Contact System~~

~~The Offeror shall demonstrate:~~

- ~~a. Ability to operate and maintain a high-speed Overhead Contact System (OCS);~~
- ~~b. Understanding of the complexities and limitations of operating and maintaining a high-speed OGS; and,~~
- ~~c. Understanding of the applications of motorized operating switches and fast earth devices to provide safer and shorter maintenance of the system.~~

18. In Part C, Exhibit A, Section 1, revise the last paragraph as follows:

The RFP will more clearly delineate the Project limits and scope of work responsibilities described above. The Track and Systems work will be issued through multiple Notices to Proceed (NTP) within the geographic limits of the Silicon Valley to Central Valley segment. Each NTP will require separate Board approval prior to issuance of that NTP. ~~Please refer to Figure 1 above for a visual depiction of project termini.~~

19. In Part C, Exhibit D, Form A, revise the addenda table on the first page as follows:

Addendum No.	Release Date
1	August 2, 2019
2	August 15, 2019
3	August 23, 2019
4	August 30, 2019

20. In Part C, Exhibit D, Form G, delete the references to “each Key Firm” as follows:

The \_\_\_\_\_,  
(Offeror, and each Equity Member, as applicable~~and each Key Firm~~)

\_\_\_\_\_  
(Offeror, and each Equity Member, as applicable~~and each Key Firm~~)

\_\_\_\_\_  
(Offeror, and each Equity Member, as applicable~~and each Key Firm~~)